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1 **Assessment of Planetary Boundary Layer parametrizations and urban heat**

2 **island comparison: Impacts and implications for tracer transport**

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## ABSTRACT

18 Accurate simulation of planetary boundary layer height (PBLH) is key to  
19 greenhouse gas emission estimation, air quality prediction and weather fore-  
20 casting. This manuscript describes an extensive performance assessment  
21 of several Weather Research and Forecasting (WRF) model configurations  
22 where novel observations from ceilometers, surface stations and a flux tower  
23 were used to study their ability to reproduce planetary boundary layer heights  
24 (PBLH) and the impact that the urban heat island (UHI) has on the mod-  
25 eled PBLHs in the greater Washington, D.C. area. In addition, CO<sub>2</sub> mea-  
26 surements at two urban towers were compared to tracer transport simulations.  
27 The ensemble of models used 4 PBL parameterizations, 2 sources of initial  
28 and boundary conditions and 1 configuration including the building energy  
29 parameterization (BEP) urban canopy model. Results have shown low biases  
30 over the whole domain and period for wind speed, wind direction and temper-  
31 ature with no drastic differences between meteorological drivers. We find that  
32 PBLH errors are mostly positively correlated with sensible heat flux errors,  
33 and that modeled positive UHI intensities are associated with deeper mod-  
34 eled PBLs over the urban areas. In addition, we find that modeled PBLHs  
35 are typically biased low during nighttime for most of the configurations with  
36 the exception of those using the MYNN parametrization and that these biases  
37 directly translate to tracer biases. Overall, the configurations using MYNN  
38 scheme performed the best, reproducing the PBLH and CO<sub>2</sub> molar fractions  
39 reasonably well during all hours, thus opening the door to future nighttime  
40 inverse modeling.

## 41 **1. Introduction**

42 Turbulent mixing drives the transport of mass, heat and momentum in the planetary boundary  
43 layer (PBL) (Stull (1988)) and, therefore, numerical weather prediction (NWP) models need to  
44 include PBL parametrizations to ensure that this phenomenon is properly represented. In addition,  
45 atmospheric transport models rely strongly on the proper representation of the PBL by the NWP  
46 model driving them to properly account for the mixing of pollutants. These transport models are  
47 fundamental tools for air quality prediction as well as for the inference of trace gas (pollutant or  
48 greenhouse gas) sources using ‘top-down’ approaches (Nisbet and Weiss (2010)).

49 Many different PBL schemes are available; they differ from each other by the vertical mixing  
50 formulation (local vs. non-local) and the closure order. Local schemes only consider adjacent ver-  
51 tical levels in the fluxes computations, while nonlocal schemes take into account multiple levels,  
52 often from the surface up to the estimated PBL height, in representing the fluxes through the PBL.  
53 In addition, PBL schemes are coupled to the surface layer parametrizations, that generally are not  
54 interchangeable, and strongly influence the near surface variables and PBL mean properties, (Shin  
55 and Hong (2011)).

56 Recent studies have looked at different PBL schemes with the focus of atmospheric transport  
57 modeling in mind. For example, Angevine et al. (2012) and Feng et al. (2016) studied the per-  
58 formance of different PBL schemes in the Weather Research and Forecasting (WRF) model along  
59 with other physics options for the CalNex-2010 campaign (late spring, 2010). Kretschmer et al.  
60 (2012, 2014) compared the impact of two PBL schemes on CO<sub>2</sub> transport over Europe and eval-  
61 uated them with radiosondes during late summer. Sarmiento et al. (2017) studied the behavior  
62 of PBL schemes and their interactions with Land Surface models and the land use representation  
63 over Indianapolis (Indiana, USA) for a month in late winter and a month in summer. Lian et al.

64 (2018) studied WRF PBL schemes and their impacts on CO<sub>2</sub> transport for a month in winter over  
65 Paris (France) area. Díaz-Isaac et al. (2018) did a comparison of multiple WRF physics schemes  
66 for a summer month in the Midwest of the United states. Over the Washington DC - Baltimore  
67 (Maryland, USA) area, WRF PBL schemes were also evaluated as part of the DISCOVER-AQ  
68 campaign (Hegarty et al. (2018)) during July 2011. These studies demonstrate that there is much  
69 interest in finding the best performing configuration for WRF so that the errors introduced in trace  
70 gas transport are minimized. However, the results obtained are somewhat dependent on the region  
71 and period studied, the observations used for verification, the methods applied to derive PBLH and  
72 the WRF version.

73 PBLH observations are not very common. Their availability is sparse, in space and time, and  
74 rely strongly on operational radiosondes that sample the PBL only twice a day. This lack of  
75 measurement data limits understanding of PBL dynamics and validation studies, and therefore pa-  
76 rameterization development. The introduction of new measurement techniques for mixing height,  
77 such as those based on ceilometers and particle Lidars, has the potential to be a game changer for  
78 model validation due to the greater temporal coverage and resolution that they provide. In the last  
79 few years, Lidar observations and ceilometers have been used to evaluate WRF simulations (Ware  
80 et al. (2016); Feng et al. (2016); Hegarty et al. (2018)).

81 Impervious urban surfaces are characterized by lower albedo, lower specific heat capacity, higher  
82 thermal conductivity and much smaller rainfall retention than rural surfaces (Oke (1982)). These  
83 properties cause higher Bowen ratios (larger sensible heat fluxes and lower latent heat fluxes) and  
84 surface temperatures in the urban landscape and, therefore, induce perturbations in the wind, air  
85 temperature, water vapor content as well as in the boundary layer height, (Angevine et al. (2003);  
86 Zhang et al. (2009, 2011)). Understanding how the meteorological models reproduce this feature  
87 is also essential for atmospheric transport.

88 The WRF model undergoes continuous development with two releases per year as new mea-  
89 surements and techniques become available, therefore new comparisons and testing are needed.  
90 In addition, it is clear from previous studies that there is no single configuration that works best  
91 under all circumstances and validation for specific areas and periods are required.

92 In this work, we intend to better understand the performance of eight configurations of WRF  
93 over the Washington DC/Baltimore area during winter, to uncover similarities and differences in  
94 PBL parametrizations regarding PBLH and urban heat island related variables and the impacts on  
95 tracer transport with the aim of identifying the best performing configuration for the purpose of  
96 greenhouse gas (GHG) inverse modeling in the North East Corridor - Baltimore / Washington DC  
97 test bed (Lopez-Coto et al. (2017a)). In Section 2, the eight model configurations as well as the  
98 surface stations, CO<sub>2</sub> measurements, flux tower and ceilometers used for comparison are described.  
99 In Section 3, the model performance is presented as well as an analysis between the heat island  
100 produced by each configurations and how it impacts on PBLH. In Section 4, implications of our  
101 findings on tracer transport and inverse modeling are discussed. Last, in Section 5, the main  
102 conclusions obtained are highlighted.

## 103 **2. Methods**

### 104 *a. Observational data*

#### 105 1) SURFACE STATIONS

106 The Integrated Surface Database (ISD) consists of global hourly and synoptic observations from  
107 more than 100 original data sources that collectively archived hundreds of meteorological vari-  
108 ables. It is compiled by the NOAA's National Climatic Data Center (NCDC) and accessible  
109 through the web (<https://www.ncdc.noaa.gov/isd>). The primary data sources include the Auto-



110 mated Surface Observing System (ASOS), Automated Weather Observing System (AWOS), Syn-  
111 optic, Airways, METAR, Coastal Marine (CMAN), Buoy, and various others, from both military  
112 and civilian stations including both automated and manual observations (Smith et al. (2011)).  
113 More than 14,000 active stations worldwide are updated daily in the database. As described in  
114 Smith et al. (2011), ISD contains 54 quality control (QC) algorithms, which serve to process  
115 each data observation through a series of validity checks, extreme value checks, internal (within  
116 observation) consistency checks, and external (versus another observation for the same station)  
117 continuity checks. For the month of February 2016 and the domain of interest, six ISD surface  
118 stations had data with the highest level of quality control flag, Figure 1.

## 119 2) CO<sub>2</sub> MEASUREMENTS

120 Three towers equipped with Cavity Ring Down Spectrometers are used to measure CO<sub>2</sub>. The  
121 sites, NDC, HAL and BUC are located near Washington, D.C., Baltimore, M.D., and a more back-  
122 ground area in the Delmarva peninsula about 100 km away from the urban centers respectively.  
123 Further details about the stations, calibration and quality control can be found in Karion et al.  
124 (2020).

125 CO<sub>2</sub> enhancements were computed subtracting from each hourly observation, the measurements  
126 at the background tower (BUC) similarly to other work in urban areas (Lauvaux et al. (2016).)

## 127 3) CEILOMETERS AND PLANETARY BOUNDARY LAYER HEIGHT (PBLH) RETRIEVAL

128 Two Vaisala ceilometers were used to derive PBLHs during the period of interest: the CL-31 at  
129 the National Weather Service (NWS) Sterling Field Support Center (SFSC) in Sterling, VA and  
130 the CL-51 at Beltsville, MD (HUBV) (Figure 1)

131 Both ceilometers use an InGaAs laser diode with a 910 nm wavelength. They use a single lens  
132 optics system where the inner part of the lens is employed for transmitting and the outer part for  
133 receiving light. This system provides a good overlap of the transmitter and the receiver field-of-  
134 view over the whole measuring range, conferring an improved near-range performance compared  
135 to two lens systems and allows reliable detection of very low nocturnal stable layers below 200 m.  
136 The latest model, the CL-51, is equipped with a larger lens and a more powerful laser transmitter  
137 module. These improvements increase the reporting range and signal-to-noise ratio.

138 Thirty-minute averaged two-way attenuated backscatter profiles with a vertical resolution of 20  
139 m from the surface are processed to derive PBL heights using the Wavelet Covariance Transform  
140 (WCT; Davis et al. (2000); Compton et al. (2013)) method for unstable/neutral conditions and  
141 the Hybrid-Lowest for stable conditions (Hicks et al. (2015)). The stability was determined in  
142 base to the averaged low-altitude Bulk Richardson values (below 0.2 km); values lower than -  
143 0.01 were considered unstable, near neutral if between or equal to -0.01 and 0.01, and stable if  
144 greater than 0.01. The Hybrid-Lowest method is a combination of the WCT method and the Error  
145 Function-ideal profile (ERF; Steyn et al. (1999)) method. They are combined such that the WCT  
146 method detects the significant gradient layers and the ERF method determines which of the layers  
147 correspond to PBL height below significant elevated aerosol layers. We note that the Hybrid-  
148 Lowest algorithm expects there to be a residual layer at night and attempts to locate the PBL  
149 height beneath it. In addition, a height constraint based on the lifting condensation level (LCL) is  
150 applied for both techniques. Details of the methods can be found in Hicks et al. (2015, 2019).

151 The PBLHs derived from the ceilometers, as described above, were manually filtered after visual  
152 inspection by removing those corresponding to rain events and other apparent artifacts on the  
153 backscatter signals such as a dirty lens or malfunctioning hardware leading to an acceptance rate  
154 of 77.4 % (N=1100) for SFSC and 73.4 % (N=1043) for HUBV.

155 In Hicks et al. (2015, 2019) the consistency rate (Co) parameter was defined as the percentage  
156 of the ceilometer PBLH observations that measured within  $\pm 300$  m when compared to radioson-  
157 des. The consistency rate reported in these previous works for the PBLH retrieval methods em-  
158 ployed was 65 % for unstable conditions and 74 % for stable conditions. These comparisons were  
159 performed in the context of the NWS CL31 Planetary Boundary Layer project, (Atkinson et al.  
160 (2017)). Here we use the consistency rate (Co) parameter to compare the simulated PBLH to the  
161 ceilometer observations.

#### 162 4) FLUX TOWER

163 At HUBV, a micrometeorological tower has been collecting micrometeorological parameters  
164 since 2006. The campus is located in a complex suburban/rural/industrial landscape, however, the  
165 campus itself has minimal urban development, and it is principally covered by a mix of deciduous  
166 (maple and mixed oak) and coniferous (mainly Virginia Pine) trees. At the tower, fast response  
167 instruments measure variables such as wind speed, temperature (CSAT, Campbell Scientific), wa-  
168 ter vapor, and CO<sub>2</sub> concentrations (LI7500, LICOR Inc) at 31.5 m above ground level ( $\sim 15$  m  
169 above the canopy). Before the eddy covariance technique (Stull (1988)) is used to estimate turbu-  
170 lent fluxes, a flow rotation to the wind field is applied (McMillen (1988)). Also, scalar fluxes are  
171 corrected due to density fluctuations (Webb et al. (1980)).

#### 172 *b. Model configurations*

##### 173 1) METEOROLOGICAL MODEL

174 Simulations for the month of February 2016 were conducted with the Weather Research and  
175 Forecasting (WRF) model. February was selected because is a representative month of winter  
176 in the study area. In addition, inverse modeling studies are carried out mostly during winters

177 so that biogenic activity is small and cause little influence on CO<sub>2</sub> estimated fluxes. The Ad-  
178 vanced Research WRF (ARW) core uses fully compressible, non-hydrostatic Eulerian equations  
179 on an Arakawa C-staggered grid with conservation of mass, momentum, entropy, and scalars (Ska-  
180 marock et al. (2008)).

181 Two datasets were tested as initial and boundary conditions: North America Regional Reanalysis  
182 (NARR) three hourly data (Mesinger et al. (2006)) and High Resolution Rapid Refresh (HRRR)  
183 model hourly analyses (Benjamin et al. (2016)) following Blaylock et al. (2017), both provided  
184 by the National Center for Environmental Prediction (NCEP). As in Lopez-Coto et al. (2017b),  
185 the model was configured with 3 nested domains (with feedback) of 9, 3 and 1 km horizontal  
186 resolution respectively. However, for the case of HRRR, only two domains were used being 3 and  
187 1 km horizontal resolution. 60 vertical levels with monotonically increasing thickness from the  
188 surface resulted in 34 levels below 3 km for better boundary layer representation. Adaptive time  
189 step was selected with a Courant-Friedrichs-Lewy (CFL) criterion of 1. The RRTMG radiation  
190 scheme, (Mlawer et al. (1997)), Thompson microphysics scheme, (Thompson et al. (2004, 2008)),  
191 Noah land surface model, (Chen and Dudhia (2001)) and the Kain-Fritsch cumulus scheme, for  
192 the 9 km domain only, (Kain (2004)) were used and kept constant across configurations.

193 Four PBL schemes were compared, three local schemes and one non-local scheme: 1) YSU is  
194 a nonlocal, first order closure scheme. It includes a countergradient correction term in the down-  
195 gradient diffusion and represents the entrainment explicitly (Hong et al. (2006)). Later on, Hong  
196 (2010) removed the counter-gradient flux terms and included other changes for stable boundary  
197 layers. The PBL height in the YSU scheme is determined from the Rib method calculated from the  
198 surface to the top of the PBL. A threshold value of zero is used for stable cases, while 0.25 is used  
199 for unstable conditions. 2) QNSE is a local, 1.5- order local closure, scheme (Sukoriansky et al.  
200 (2005)). It is intended to account for wave phenomena within stable boundary layers. The QNSE

201 theory is valid for stable stratification and weakly unstable conditions. The PBLH is diagnosed  
202 based on a TKE threshold. 3) BouLac is also a local, 1.5-order local closure scheme including  
203 a prognostic equation for TKE, (Bougeault and Lacarrere (1989)). It is designed for use with  
204 the BEP (Building Environment Parametrization) multi-layer, urban canopy model (Martilli et al.  
205 (2002); Salamanca et al. (2011a,b)). BouLac diagnoses PBL height as the height where the vir-  
206 tual potential temperature exceeds the surface virtual potential temperature by 0.5 K. Here we use  
207 this scheme with and without the BEP parametrization. 4) MYNN is a local scheme (Nakanishi  
208 and Niino (2004, 2006)). In particular, we tested the 1.5- order closure scheme (MYNN2). The  
209 expressions of stability and mixing length are based on the results of large eddy simulations rather  
210 than on observations. In recent years, MYNN has undergone extensive development, including the  
211 addition of BouLac mixing length in the free atmosphere, changing the turbulent mixing length to  
212 be integrated from the surface to the top of the boundary layer plus a transition layer depth, the  
213 addition of a scale-aware mixing length following Ito et al. (2015) and the addition of an eddy  
214 mass-flux option (Angevine et al. (2018); Olson et al. (2019)) that confers to this scheme some  
215 non-local characteristics as well. For the PBL height diagnosis, a hybrid method is used, which  
216 blends a theta-v-based definition in neutral/convective boundary layer and a TKE-based definition  
217 in stable conditions. We tested here MYNN with and without the eddy mass-flux option.

218 The land-use classification plays a role in the model since it determines the values for the surface  
219 properties as the roughness length, albedo and heat capacity which are important for the surface  
220 energy balance and heat and momentum fluxes to the atmosphere. Here we tested two datasets  
221 available in WRF: The USGS dataset and the more up to date NLCD 2011. The main difference  
222 between these datasets, as concerns this work, is the representation of the urban land use; in  
223 the USGS, only one urban category is defined while in the NLCD, four categories exist going  
224 from developed open space to developed high intensity (Figure 1). For the configuration using

225 the Building Energy Parameterization (BEP) multilayer Urban Canopy Model (UCM) a modified  
226 version of USGS was used that included 3 urban categories taken from the NLCD dataset where  
227 the developed open space and low intensity categories were added together. In addition, the BEP  
228 specific parameters for Washington, DC, and Baltimore, MD, cities were taken from the NUDAPT  
229 dataset already included in the WRF data distribution.

230 All the options described above total to eight different configurations that were tested here (Table  
231 1).

232 Model-data comparison was performed similarly for each data source. Hourly (or half-hourly)  
233 measurements for each station were compared to model predictions extracted at the location and  
234 time of each observation. Then, daily cycles, bias (model - observations), standard deviation of the  
235 differences and percentiles were computed for all stations together. In the case of the ceilometers,  
236 metrics for each ceilometer are provided as well as both combined.

## 237 2) TRACER TRANSPORT MODEL

238 The CO<sub>2</sub> transport was simulated similarly to Lopez-Coto et al. (2017a). We used the Stochastic  
239 Time-Inverted Lagrangian Transport model (STILT; Lin (2003); Nehrkorn et al. (2010)), driven  
240 by meteorological fields generated by four of the configurations described above (MYNN, YSU,  
241 QNSE, BOUL+UCM). Five-hundred particles were released from both urban sites (NDC and  
242 HAL) hourly, and were tracked as they moved backwards in time for 24 h. The footprint was  
243 calculated from the particle density and residence time in the layer that sees surface emissions,  
244 defined as 0.5 PBLH (Gerbig et al. (2003)) and then convolved with CO<sub>2</sub> fluxes provided by  
245 ACES inventory (Gately and Hutyra (2017)).

### 246 3. Results

#### 247 a. Surface variables

248 Overall, over the month of February, the temperature bias ranged from -0.92 K for MYNNe+nlcd  
249 to 1.96 K for BOUL+UCM while standard deviation ranged from 1.60 K for YSU to 2.04 K for  
250 MYNNe and YSU+NARR. Wind speed bias was negative for all but BOUL, ranging from -1.12  
251 m/s for MYNNe+nlcd to 0.69 m/s for BOUL. The standard deviation ranged from 1.70 m/s for  
252 YSU and QNSE to 2.16 m/s for BOUL+UCM. Wind direction bias ranged from  $-5.03^\circ$  for QNSE  
253 to  $6.43^\circ$  for BOUL+UCM while standard deviations did from  $36.62^\circ$  for BOUL to  $47.12^\circ$  for  
254 MYNN (Table 2). It is interesting that by looking at YSU and YSU+NARR, it seems that the  
255 HRRR driver provided better results; however, by looking at MYNN and MYNNe, the conclusions  
256 would be the opposite. It is worth noting that due to the circular nature of the wind direction,  
257 differences larger (lower) than  $180^\circ$  ( $-180^\circ$ ) were measured in the opposite direction, for example,  
258 if the model had a wind direction of 175 while the observations were at -160, the difference is  
259 equal to -25, not 335. This step removes the fat tails of the distribution and makes them much  
260 more Gaussian and hence gains significance for the mean and standard deviation calculated here.

261 In the overall statistics, the urban canopy model decreased the performance of the BouLac  
262 parametrization for the 3 surface variables analyzed here making it too warm and more variable  
263 regarding wind speeds and direction errors. In addition, the MYNN scheme showed the largest  
264 wind direction error variability, especially when it was driven by HRRR. On the other hand, in-  
265 cluding the eddy mass flux option in MYNN had a positive impact on wind speed and direction  
266 but using the NLCD dataset caused the model to be colder and reduced the wind speeds.

267 The daily cycle of the temperature differences (Fig 2a) reflects that BOUL was too warm during  
268 nights while during the day the median bias was close to zero. The addition of the UCM did

269 however increase the bias during the day as well. QNSE was colder during nighttime while for  
270 MYNN and MYNNe the median temperature bias was similar during day and night. The inclusion  
271 of NLCD caused the temperatures to decrease during day time. The wind speed errors had a  
272 clear daily cycle for BouLac, with winds being too strong during night time (Fig 2b). The UCM  
273 corrected this bias at the cost of increasing the (negative) bias during daytime. For the rest of  
274 configurations, the bias was slightly more negative during daytime but not as marked as for BOUL.  
275 No significant cycle was observed for the wind direction errors for any configuration (Fig 2c).

## 276 *b. Planetary Boundary Layer Heights*

### 277 1) OBSERVED PLANETARY BOUNDARY LAYER HEIGHTS

278 Figure 3 shows the daily cycle observed at the two ceilometer locations for the month of Febru-  
279 ary 2016. The observed PBLHs are in good agreement with previous climatological results (Seidel  
280 et al. (2012)), and more specifically with the results published for the area under study by Hegarty  
281 et al. (2018).

282 Beltsville (HUBV) shows typically higher PBL depths during the day as well as sharper transi-  
283 tions during the morning and especially during the evening as compared to Sterling (SFSC). This is  
284 likely due to the more urban surroundings for that location and the typical westerly flows dominant  
285 over the region that likely transport air masses with deeper PBL from the denser Washington DC  
286 metro area adjacent to this location (Angevine et al. (2003); Zhang et al. (2009, 2011)). However,  
287 we also note that the differences between ceilometers between both locations might have played a  
288 role as well on the observed differences.



## 289 2) PERFORMANCE OF MODEL CONFIGURATIONS

290 Table 3 shows the overall statistics for the PBLH differences for the eight tested configurations  
291 using both ceilometers together and for each ceilometer independently. For both ceilometers,  
292 the consistency rate ranged from 52 % for QNSE and BOUL to 60 % for MYNN. The mean  
293 values were higher than the median values indicating the differences were skewed to higher values.  
294 In the extreme case, QNSE provided the largest values for all the statistics estimators but the  
295 lowest consistency parameter. For each ceilometer, the results resemble the global values, showing  
296 slightly better model performance for Beltsville (HUBV) than for Sterling (SFSC). Reasons for  
297 that are not clear but it is possible that the Vaisala CL-51 ceilometer at HUBV, which has a better  
298 signal-to-noise ratio than the CL31 at SFSC, might have achieved a higher quality PBLH retrieval.  
299 Overall, the three variants of MYNN provided the best consistency rate and the lowest standard  
300 deviation followed closely by YSU.

301 To better understand the performance of each model configuration, we analyzed both the daily  
302 cycle of the differences (Fig. 2d) and the daily cycle of the relative differences, Figure 4. Noc-  
303 turnal PBLH bias is typically smaller than daytime values. Although in relative terms, they are  
304 comparable, or larger at night due to the typically low measured nocturnal PBLH values.

305 BOUL provided the lowest nocturnal PBLH values, reaching median bias between -50 % and  
306 -60 % of the observed values, followed by YSU (- 50%). During daytime, both BOUL and YSU  
307 performed much better providing median relative bias close to zero. The inclusion of the urban  
308 canopy parameterization increased PBLH values, slightly improving the BouLac scheme's noctur-  
309 nal performance but at the cost of an increased daytime bias. The QNSE configuration gave the  
310 largest PBLH values during the day, followed by BOUL+UCM. QNSE performed better during  
311 nighttime but still over-predicted the PBLH. It also showed the largest IQR. On the other hand,

312 MYNN performed well during most hours, slightly under-predicting PBLH during the evening.  
313 The usage of NARR driver data did however decrease the MYNN performance during the night  
314 causing a slight underestimation of the PBLH during these hours. This configuration had also  
315 the eddy mass flux option activated, however, the decreased nighttime performance cannot be at-  
316 tributed to it because this option only gets triggered during convective situations. The inclusion  
317 of the NLCD dataset had a positive impact on the prediction, causing MYNN+nlcd to be nearly  
318 unbiased for all hours. Interestingly, most of the configurations showed a noticeable PBLH drop at  
319 18 EST probably coinciding with the evening transition. It is not clear however whether this result  
320 is caused by a too quick evening transition in the models or a problem on the retrieved PBLH in  
321 this complex situation. Overall, MYNN produced the best predictions of the PBLH for all hours.

### 322 *c. Surface fluxes*

323 Table 4 shows the sensible and latent heat flux errors at Beltsville (HUBV). Sensible heat flux  
324 bias ranged from  $-23 \text{ W m}^{-2}$  for MYNN to  $12 \text{ W m}^{-2}$  for MYNN+nlcd while the standard devi-  
325 ation ranged from  $86 \text{ W m}^{-2}$  for YSU+NARR to  $97 \text{ W m}^{-2}$  for BOUL+UCM. For the latent heat  
326 flux, the bias ranged from  $-11 \text{ W m}^{-2}$  for MYNN+nlcd to  $21 \text{ W m}^{-2}$  for QNSE. The standard  
327 deviation ranged from  $57 \text{ W m}^{-2}$  for BOUL+UCM to  $65 \text{ W m}^{-2}$ .

328 The daily cycle of the sensible heat flux differences (Fig 2e) shows that all the configurations  
329 are nearly unbiased from 17 EST to 7 EST with the exception of MYNN+nlcd, which shows a  
330 slight positive bias during those hours. During daytime, the model performance is more variable:  
331 YSU, YSU+NARR, MYNN, BOUL and QNSE are nearly unbiased during the morning while  
332 showing negative bias during the afternoon; MYNN shows negative bias during all daytime hours,  
333 being the largest in the afternoon; BOUL+UCM presents positive bias during all daytime, being  
334 the largest during the late morning and MYNN+nlcd is nearly unbiased during these hours.

335 The daily cycle of the latent heat flux differences (Fig 2f) shows a similar behavior during non-  
336 daylight hours (17 - 7 EST) as in the previous case with very little to no bias for all the schemes.  
337 However, in this case MYNNe+nlcd and BOUL+UCM are the best performing configurations  
338 with almost zero bias while the rest show a slightly positive bias. During daytime hours, all  
339 the configurations show a positive bias that is the largest close to noon, with the exception of  
340 MYNNe+nlcd which has a negative bias.

341 Figure 5 presents a scatter plot of the mean daily cycle of PBLH differences vs. the sensi-  
342 ble heat flux differences. As expected, all configurations show a positive correlation between  
343 the two, with the exception of BOUL+UCM. However, both the magnitude of the dependence,  
344 as measured by the slope of a linear model, and the intensity of the correlation, as measured by  
345 the Pearson correlation coefficient, differs between configurations. BOUL and YSU exhibit the  
346 largest slope of them all, followed by MYNNe, QNSE, YSU+NARR, MYNN, MYNNe+nlcd  
347 and BOUL+UCM, which is the only one with negative slope. The correlations are between  
348 0.66 and 0.8 for MYNN, YSU+NARR, MYNNe, YSU, QNSE and BOUL, but below 0.35 for  
349 MYNNe+nlcd and BOUL+UCM. This analysis shows that when the model underestimates the  
350 sensible heat flux, the PBLH tends to be underestimated as well. This is true for all the configura-  
351 tions but BOUL+UCM and to a lesser extent for MYNNe+nlcd.

#### 352 *d. Urban Heat Island Effect*

353 The Urban Heat Island (UHI), computed here as the difference between the area-averaged sur-  
354 face skin temperature (TSK) for the urban area and the non-urban area, is reproduced similarly  
355 by all configurations being about 2 - 3 K during nights with a peak during early evening (Fig 6a).  
356 During the daytime, the median values are close to zero for all configurations but BOUL+UCM  
357 which showed UHI intensities over 2 K for these hours. As shown by Basara et al. (2008), and by

358 comparison to the rest of the models, the values shown by BOUL+UCM are rather large. Over-  
359 all, all models reproduced the larger sensible heat fluxes in the urban areas as expected (Wood  
360 et al. (2013)). However, QNSE and BOUL+UCM showed the largest contrast between urban and  
361 non-urban sensible heat fluxes ( $\Delta HFX$ ) of all configurations being the largest for the latter (Fig  
362 6c). In addition, the peak was at least three hours earlier than for the rest of configurations. The  
363 usage of NARR driver data in MYNN caused the sensible heat flux differences between urban and  
364 non-urban areas to increase while the addition of the NLCD land cover dataset had the opposite  
365 effect.

366 On the other hand, BOUL+UCM had the smallest difference between urban and non-urban latent  
367 heat fluxes ( $\Delta LE$ ) while QNSE had the largest (Fig 6d). The median Bowen ratio over urban areas  
368 during daytime was between 5 to 15 times larger than those for the non urban areas for most of  
369 the models, with MYNNe+nlcd being the smallest and QNSE the largest (Fig 6b). These values  
370 are within the range of observed values but on the large side of the typical ones (Oke (1982)).  
371 However, BOUL+UCM showed two peaks, at 8 a.m. and 4 p.m. (EST), with values of up to 20  
372 times those of the non urban areas. This feature is not seen in any other configuration and can be  
373 attributed to the UCM since the BOUL configuration without UCM behaved similarly to the rest  
374 of configurations.

375 QNSE and BOUL+UCM had consistently the largest PBLH difference between the urban  
376 and non-urban areas ( $\Delta PBLH$ ) while MYNNe+nlcd had the lowest (Figure 7). QNSE and  
377 BOUL+UCM also had positive  $\Delta PBLH$  during daytime while the rest of configurations had a  
378 median value close to zero during these hours. As with the UHI, the maximum differences were  
379 simulated during the early evening, about 17 - 18 EST depending on the configuration. The us-  
380 age of NARR driver data as well as the inclusion of the eddy mass flux option in MYNN caused  
381 the median PBLH differences to decrease. For YSU,  $\Delta PBLH$  was the most different of all con-

382 figurations having a median value near zero during nighttime but with the distribution skewed to  
383 negative values indicating that in many occasions the PBL was deeper in the non-urban areas than  
384 in the urban areas. Attending to the results published by Godowitch et al. (1985) and Angevine  
385 et al. (2003) and by comparison with the rest of models, this result is not expected and seems odd.  
386 The reasons for this are not clear because neither the UHI nor the  $\Delta HFX$  showed a cycle that could  
387 suggest this type of behavior.

388 To understand the relationship between the PBLH differences between the urban and non-urban  
389 areas and the Urban Heat Island (UHI) intensity, Figure 8 shows a scatter-plot for all simulated  
390 values for the month of February along with the slope of a linear model between the two variables  
391 for each model configuration. Overall, negative UHI intensities resulted in deeper PBLs over the  
392 non-urban areas while positive UHI intensities were associated with deeper PBLs over the urban  
393 areas, as expected, (Godowitch et al. (1985); Angevine et al. (2003)). This relationship shows a  
394 somewhat linear trend where larger UHI values resulted in larger PBLH differences between urban  
395 and non-urban areas for all configurations but YSU. Slopes ranged from  $-1.8 \pm 2.1$  m/K for YSU  
396 to  $72.7 \pm 2.4$  m/K for QNSE. BOUL and BOUL+UCM had higher slopes than MYNN while the  
397 inclusion of the NLCD dataset reduced the slope considerably from 47.2 to 29.7 m/K. Correlation  
398 coefficients ranged from 0.02 and 0.06 for YSU and YSU+NARR respectively to 0.65 and 0.64  
399 for BOUL and MYNNe+nlcd respectively. The rest of the configurations also had correlation  
400 coefficients larger than 0.5, except for BOUL + UCM, which had a correlation of 0.4.

401 The near zero correlation coefficient and slope showed by YSU and YSU+NARR is caused by  
402 the large hysteresis shown in the median cycle of these two variables for both configurations (Fig-  
403 ure 9). During night and until late morning, the median UHI intensity decreases while the PBLH  
404 difference between urban and non-urban areas slightly increases. This behavior is the opposite to  
405 the rest of configurations and previously published works (Spangler and Dirks (1974); Godowitch

406 et al. (1985); Dupont (1999); Angevine et al. (2003)) where decreasing the UHI intensity results  
407 in a reduced PBLH difference between urban and non-urban areas.

#### 408 **4. Implications for tracer transport and inverse modeling**

409 As expected, the different performance of each configuration is reflected in the tracer transport.  
410 The daily cycle of the integrated footprint from the STILT model (Figure 10 (a) ) reflects large  
411 differences between configurations. The strongest daily cycle (largest amplitude) is the one for  
412 BOUL+UCM while the weakest is for MYNN. During the night BOULC+UCM and YSU behave  
413 similarly while QNSE and MYNN behave similarly to each other as well. On the other hand,  
414 during the day the similarities are changed and YSU and MYNN show similar response while  
415 QNSE resembles the BOUL+UCM values. Indeed, the differences with respect to MYNN (Figure  
416 10 (b) ) are between 10 to 50 % for YSU and BOUL+UCM during nighttime and about -30 %  
417 for QNSE and BOUL+UCM during daytime. These differences are reflected in the CO<sub>2</sub> mole  
418 fraction and thus in the bias as well. Figure 10 (c) shows that mean daily cycle for MYNN is the  
419 least biased for all hours. During nighttime, MYNN shows a positive bias between 1 to 2 ppm  
420 while the rest show much stronger biases with up to 6 ppm for BOUL+UCM. During daytime,  
421 YSU still shows a positive bias about 1 to 2 ppm while MYNN fluctuates around 0 ppm. On the  
422 other hand, BOUL+UCM and QNSE show negative biases between -1 and -4 ppm depending on  
423 the hour.

424 In general, strong underestimation of PBLH during nights as shown by the configurations tested  
425 in this work with the exception of MYNN, results in large accumulation of pollutants emitted from  
426 local sources and thus strong nighttime positive bias. During daytime, the situation is different as  
427 most models show a small relative PBLH bias. Nevertheless, the CO<sub>2</sub> daytime bias is non-zero and  
428 different in direction depending on the configuration. The smaller daytime bias in most models

429 supports the typical practice in inverse modeling of only using afternoon hours. However, the  
430 results shown here imply that MYNN has the potential of extending the inversion analysis to  
431 nighttime as well due to the much smaller biases (and comparable to daytime) during this time of  
432 the day.

433 The fact that positive UHI intensities are associated with deeper modeled PBLs over the urban  
434 areas and that positive UHI are generally simulated by all models during nights implies that the  
435 pollutant mixing during these hours in the urban areas is more active than in the rural counterpart.  
436 In addition, it could also favor the development of urban centripetal circulations, as described in  
437 Oke (1995), further impacting the pollutant advection. However, the fact that YSU is reproducing  
438 in many occasions deeper nocturnal PBLHs over the non-urban areas would imply a more active  
439 mixing outside of the city and the inhibition of the urban centripetal circulation.

440 In addition, inverse modeling based on the concept of footprints (observations' sensitivity to sur-  
441 face fluxes) relies on Lagrangian Particle Dispersion Models (LPDMs) driven by meteorological  
442 fields as those generated in this work. The footprints depend mostly on the advection of the par-  
443 ticles (driven by the wind field), the turbulent mixing (driven by the turbulent velocity variances)  
444 and the planetary boundary layer height (PBLH). Deeper modeled PBLHs than observed would  
445 result in artificial dilution of the footprints and, therefore, source term overestimation. In addition,  
446 some Lagrangian models parametrize the turbulent velocities as a function of the heat flux at the  
447 surface. The fact that PBLH errors are mostly positively correlated with sensible heat flux errors  
448 implies that an overestimation of the heat flux will cause an overestimation of the turbulent mixing  
449 as well as PBLH, having a non-linear impact on the overall strength of the footprints.

## 450 **5. Conclusions**

451 We show that using ceilometers we were able to analyze the daily cycles of the PBLH and found  
452 that most PBL schemes largely underestimate PBLH during nights. We also show that with these  
453 measurements, correlations between PBLH errors and heat fluxes errors can be calculated and  
454 serve to identify models that do not follow the proper trend. These results could not be obtained  
455 using operational radiosondes as they are very limited in time (only twice a day).

456 We find the BEP urban canopy model did not improve the model performances in general and it  
457 had an adverse impact on PBLH and sensible heat flux as compared to measurements. The UCM  
458 partially corrected the Boulac nocturnal positive wind speed bias and negative PBLH bias at the  
459 cost of increasing the negative bias as well as increasing the positive PBLH bias during daytime.  
460 In addition, the UHI and ratio urban-rural of Bowen ratio did not compare well with the rest of  
461 configurations or previously published results.

462 We find that modeled PBLHs are typically biased low during nighttime for most of the config-  
463 urations with the exception of those using the MYNN parametrization. In addition, we find that  
464 PBLH errors are mostly positively correlated with sensible heat flux errors, and that modeled pos-  
465 itive UHI intensities were associated with deeper modeled PBLs over the urban areas. Overall, the  
466 configurations using MYNN scheme performed the best, reproducing the PBLH reasonably well  
467 during all hours.

468 We show that strong underestimation of PBLH during nights results in large accumulation of  
469 pollutants emitted from local sources and thus strong nighttime positive CO<sub>2</sub> bias. However,  
470 MYNN results suggest that, given the low night-time biases for this model, which are similar in  
471 magnitude to the daytime biases, an inversion analysis may be extended into nighttime hours.



472 Last, we find that while most of the configurations performed as expected on reproducing the ur-  
473 ban heat island effect, noticeable differences remain that may have an impact on weather and tracer  
474 dispersion simulations in urban and regional studies. Further research is needed and experimental  
475 intensive campaigns must be carried out to address these issues and differences as well as to better  
476 understand the differences between PBL schemes during other seasons for the Washington, DC, /  
477 Baltimore, MD, area.

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484 intended to imply that the materials or equipment identified are necessarily the best available for  
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TABLE 1. WRF model configurations

Label	Version	PBL scheme	Surface Layer	IC/BC	Land use	Urban canopy model
YSU	3.8	YSU	MOST	HRRR	USGS	—
YSU+NARR	3.8	YSU	MOST	NARR	USGS	—
MYNN	3.8	MYNN	MYNN	HRRR	USGS	—
MYNN <sub>e</sub>	3.9.1.1	MYNN+edmf	MYNN	NARR	USGS	—
MYNN <sub>e</sub> +nlcd	3.9.1.1	MYNN+edmf	MYNN	NARR	NLCD	—
BOUL	3.8	BouLac	MOST	HRRR	USGS	—
BOUL+UCM	3.8	BouLac	MOST	HRRR	USGS33	BEP
QNSE	3.8	QNSE	QNSE	HRRR	USGS	—

703 TABLE 2. ISD statistics mean bias (model - observations), standard deviation (SD) and the interquartile range  
 704 (IQR) of the differences. February 2016.

		YSU	YSU+NARR	MYNN	MYNNe	MYNNe+nlcd	BOUL	BOUL+UCM	QNSE
T (K)	Bias	0.09	-0.63	-0.56	-0.55	-0.92	1.26	1.96	-0.71
	SD	1.60	2.04	1.76	2.04	2.00	1.92	2.02	1.62
	IQR	1.63	2.16	1.84	2.16	2.33	2.03	2.30	1.68
ws (m/s)	Bias	-0.45	-0.53	-0.88	-0.60	-1.12	0.69	-0.70	-0.91
	SD	1.70	1.81	1.96	1.73	1.72	2.00	2.16	1.70
	IQR	2.03	2.17	2.37	2.02	2.12	2.31	2.40	1.99
wd ( $^{\circ}$ )	Bias	1.04	0.99	-1.42	-0.07	-3.27	5.79	6.43	-5.03
	SD	36.64	42.11	47.12	41.63	43.99	36.62	37.53	40.03
	IQR	27.91	36.42	34.76	37.26	42.84	27.49	28.80	29.73

TABLE 3. Global statistics for the PBLH errors (model minus observed). February 2016.

BOTH	YSU	YSU+NARR	MYNN	MYNNe	MYNNe+nlcd	BOUL	BOUL+UCM	QNSE
Co (%)	56	55	60	57	59	52	55	52
Mean (m)	-38	-73	-57	-32	15	-50	50	152
SD (m)	494	496	462	496	480	519	513	542
Median (m)	-93	-119	-72	-89	-47	-126	-25	77
IQR (m)	484	502	460	462	479	516	571	630
SFSC	YSU	YSU+NARR	MYNN	MYNNe	MYNNe+nlcd	BOUL	BOUL+UCM	QNSE
Co (%)	50	51	58	51	56	46	48	47
Mean (m)	-17	-41	-27	-11	43	-15	53	163
SD (m)	497	509	459	528	479	535	523	545
Median (m)	-94	-121	-69	-110	-37	-116	-46	96
IQR (m)	579	541	469	530	519	623	655	692
HUBV	YSU	YSU+NARR	MYNN	MYNNe	MYNNe+nlcd	BOUL	BOUL+UCM	QNSE
Co (%)	63	60	62	63	61	58	61	56
Mean (m)	-61	-107	-88	-55	-15	-86	48	139
SD (m)	491	480	463	460	480	499	503	539
Median (m)	-91	-114	-77	-80	-56	-133	-9	59
IQR (m)	396	459	440	416	433	411	481	554

705 TABLE 4. Global statistics for the sensible (HFX) and latent (LE) heat fluxes errors (model - observed) at  
 706 Beltsville (HUBV) (units:  $\text{W m}^{-2}$ ). February 2016.

HFX	YSU	YSU+NARR	MYNN	MYNNe	MYNNe+nlcd	BOUL	BOUL+UCM	QNSE
Mean	-13	-15	-23	-16	12	-19	8	-20
SD	90	86	96	89	96	94	97	92
Median	-2	-2	-9	-5	16	-7	3	-10
IQR	46	48	56	51	65	57	61	61
LE	YSU	YSU+NARR	MYNN	MYNNe	MYNNe+nlcd	BOUL	BOUL+UCM	QNSE
Mean	8	9	11	13	-11	13	0.5	21
SD	58	59	61	61	58	58	57	65
Median	5	6	9	8	0.2	11	0.6	12
IQR	23	27	26	30	22	29	16	39



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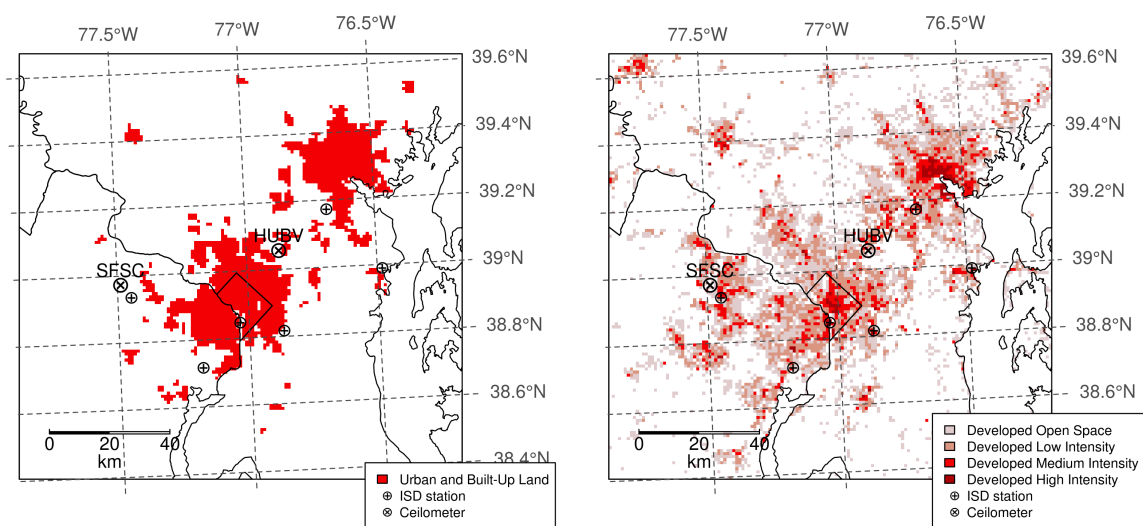
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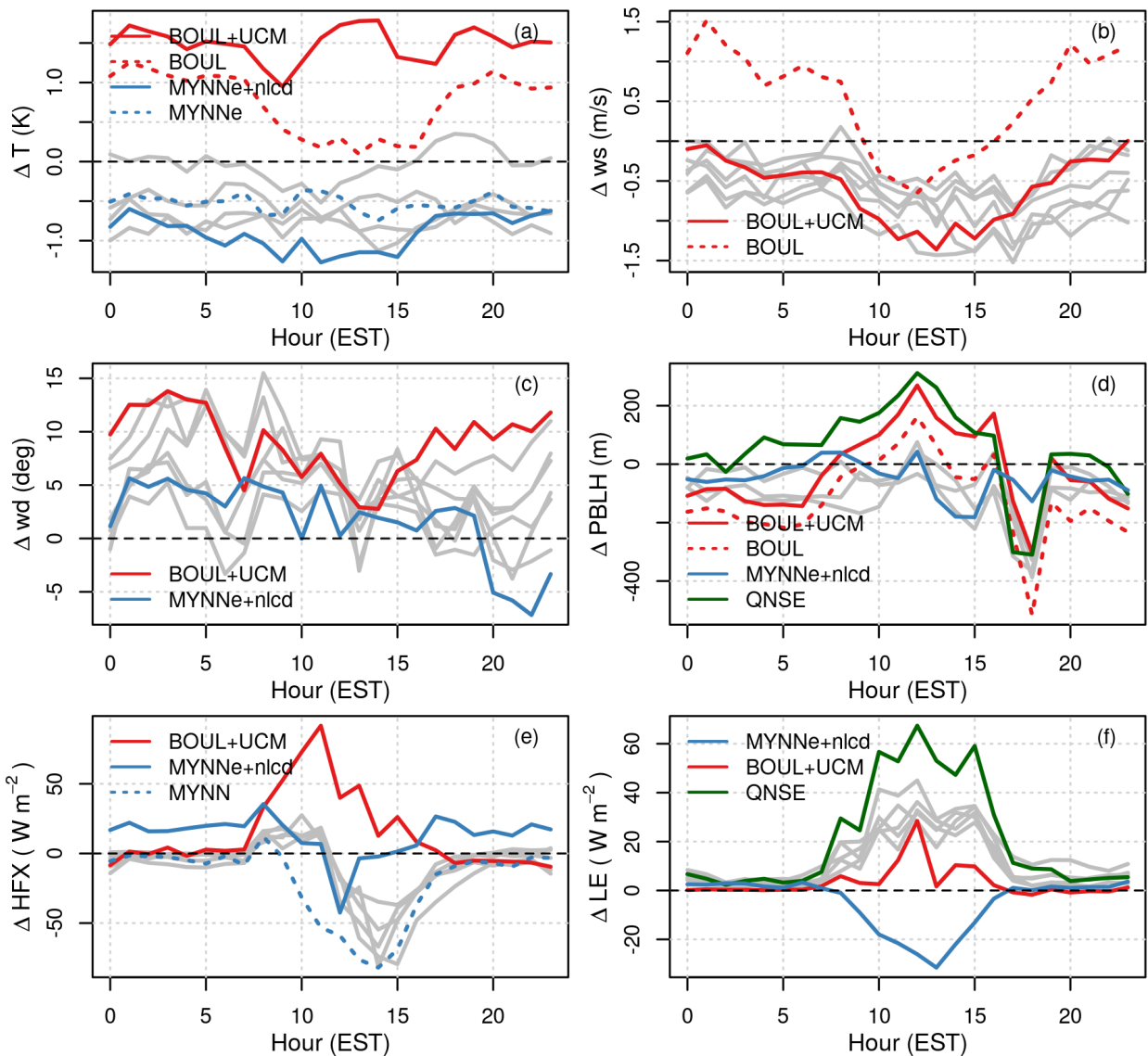
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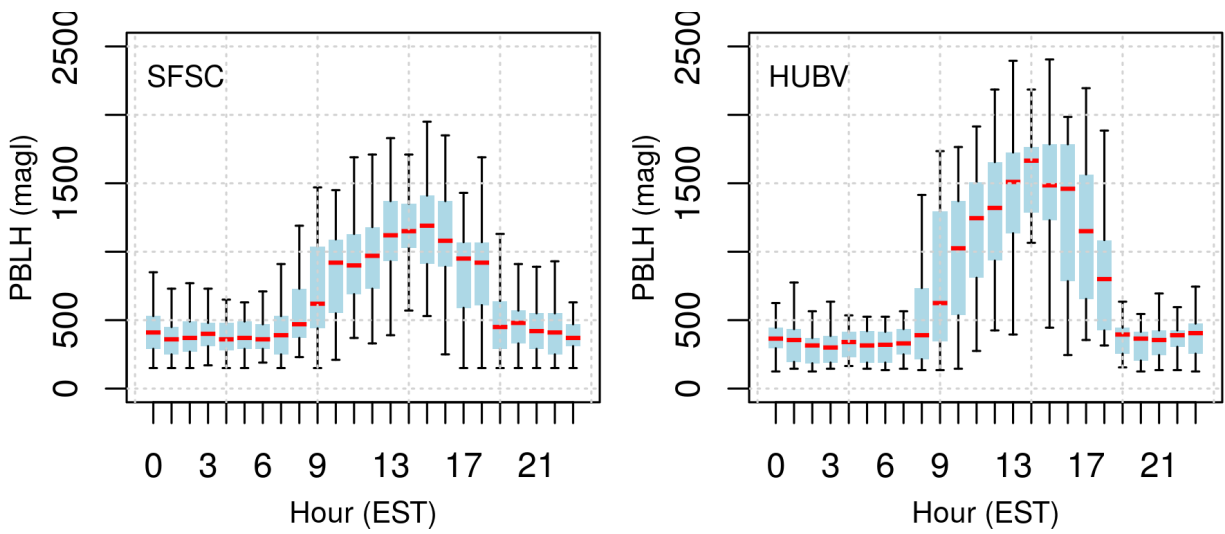
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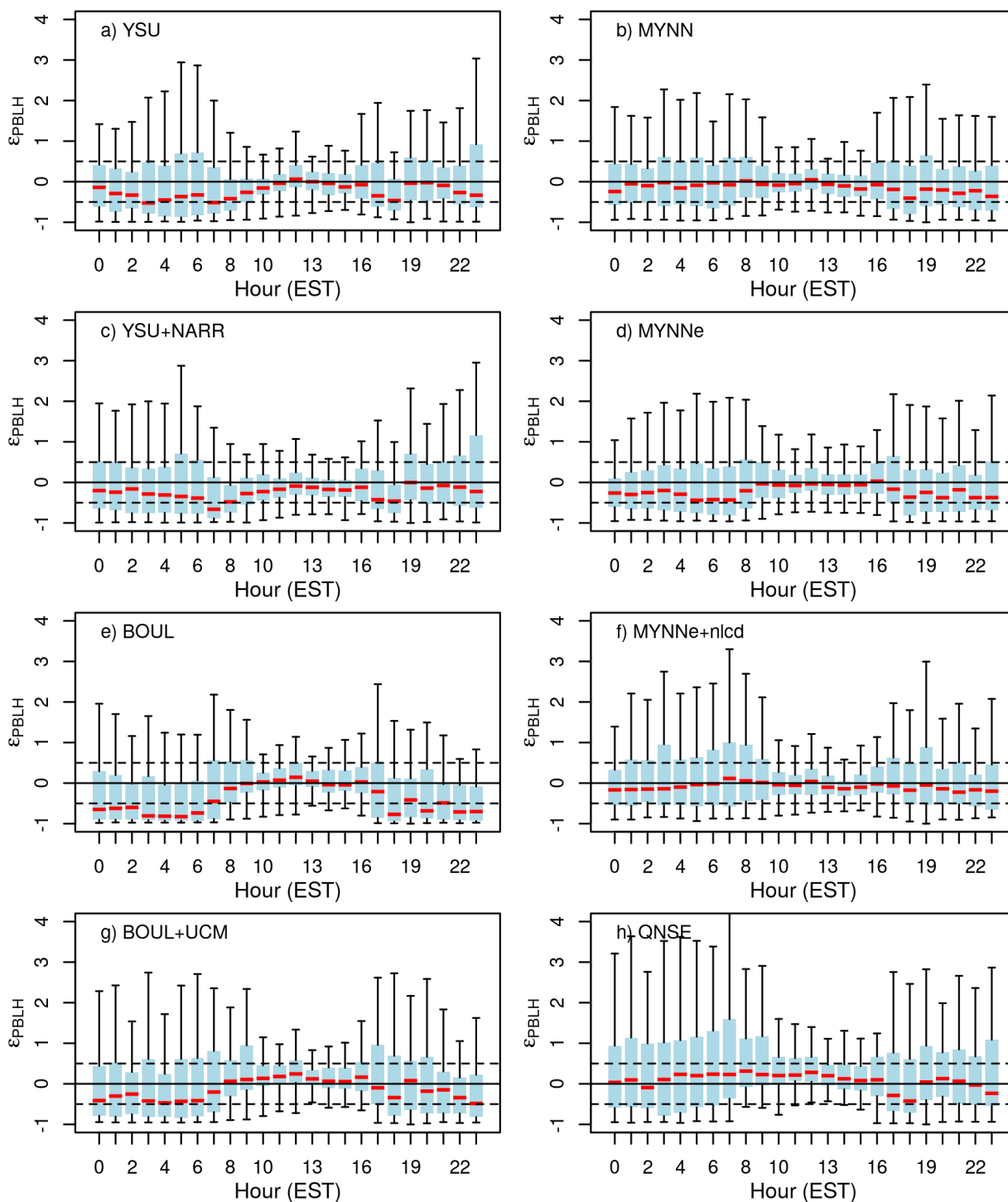
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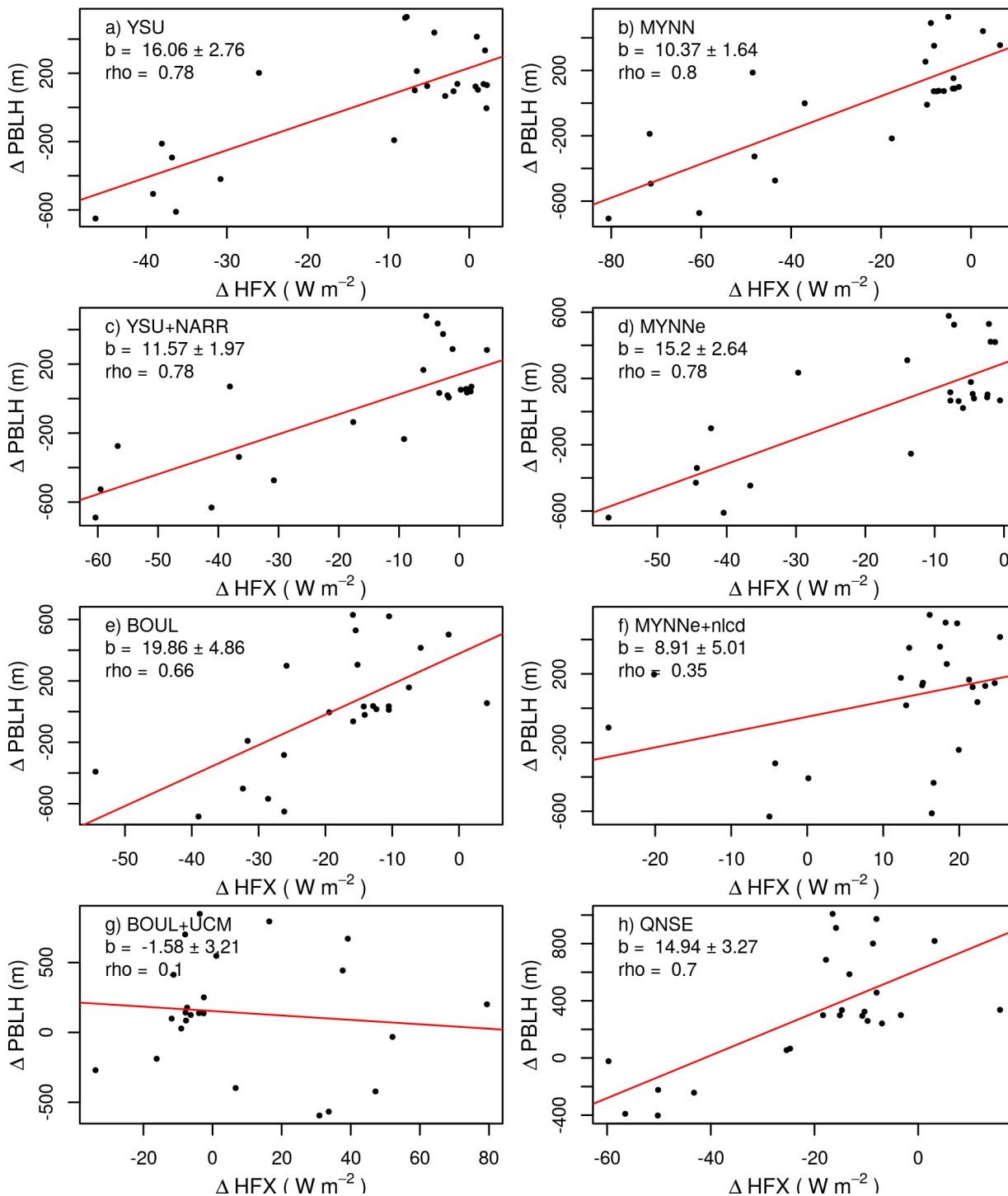
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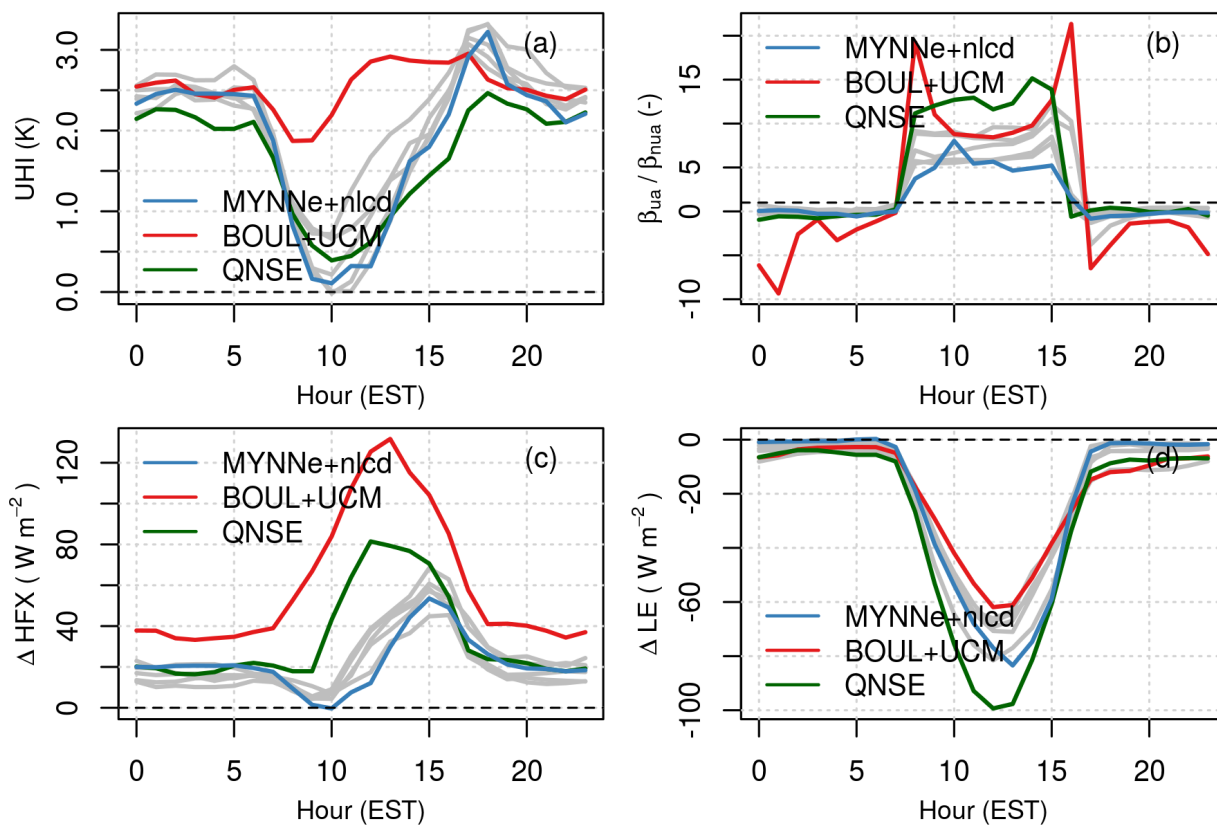
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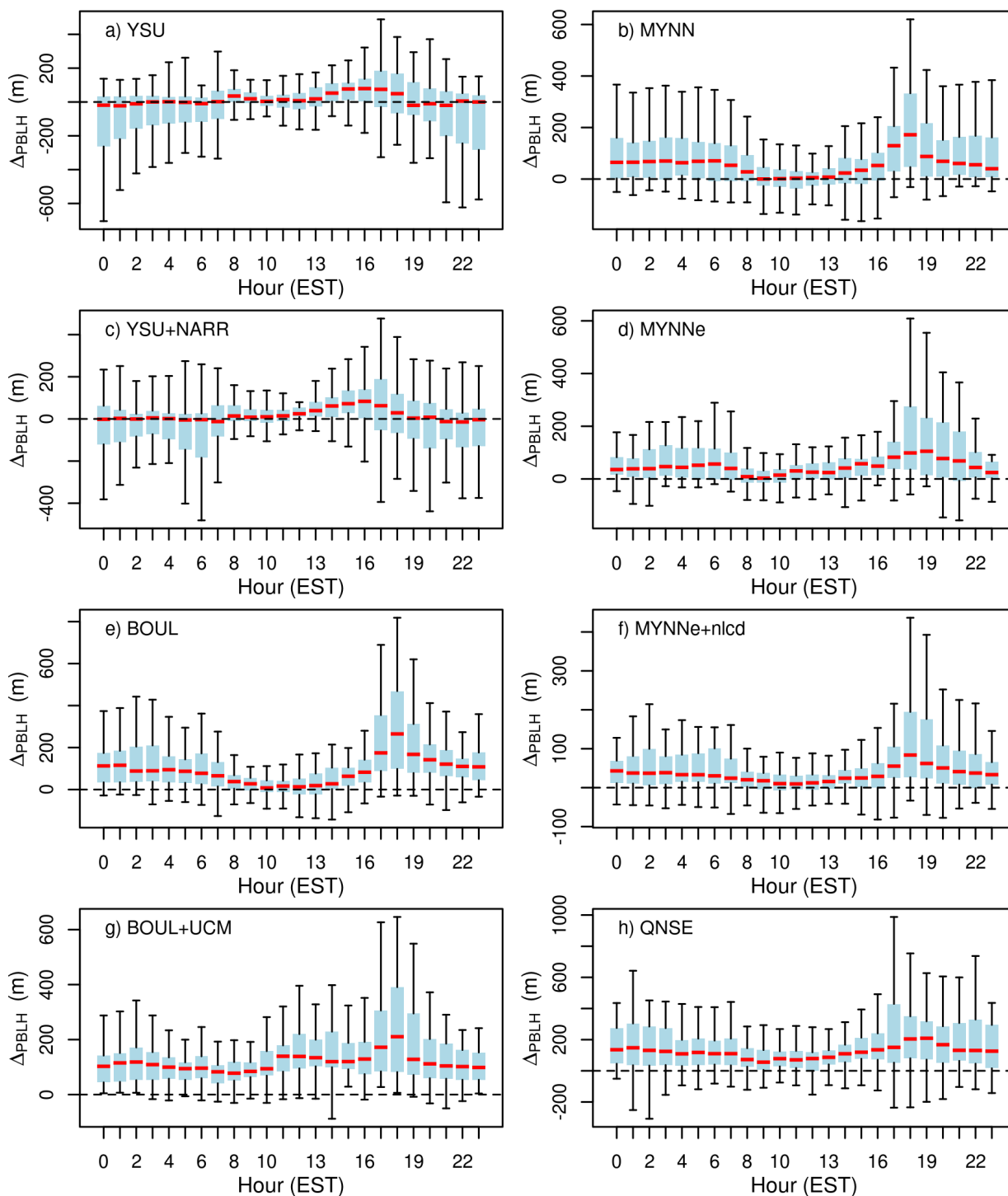
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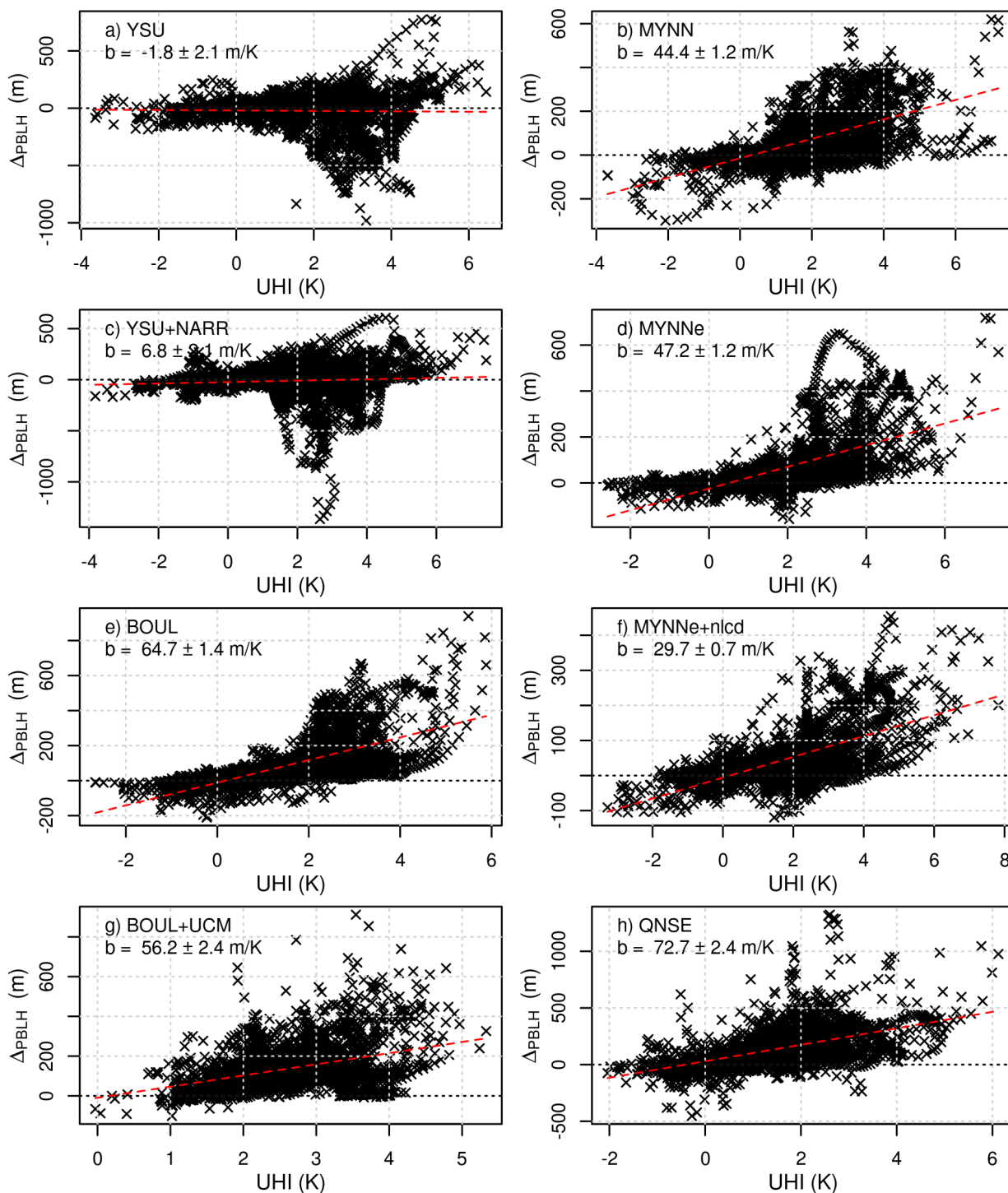
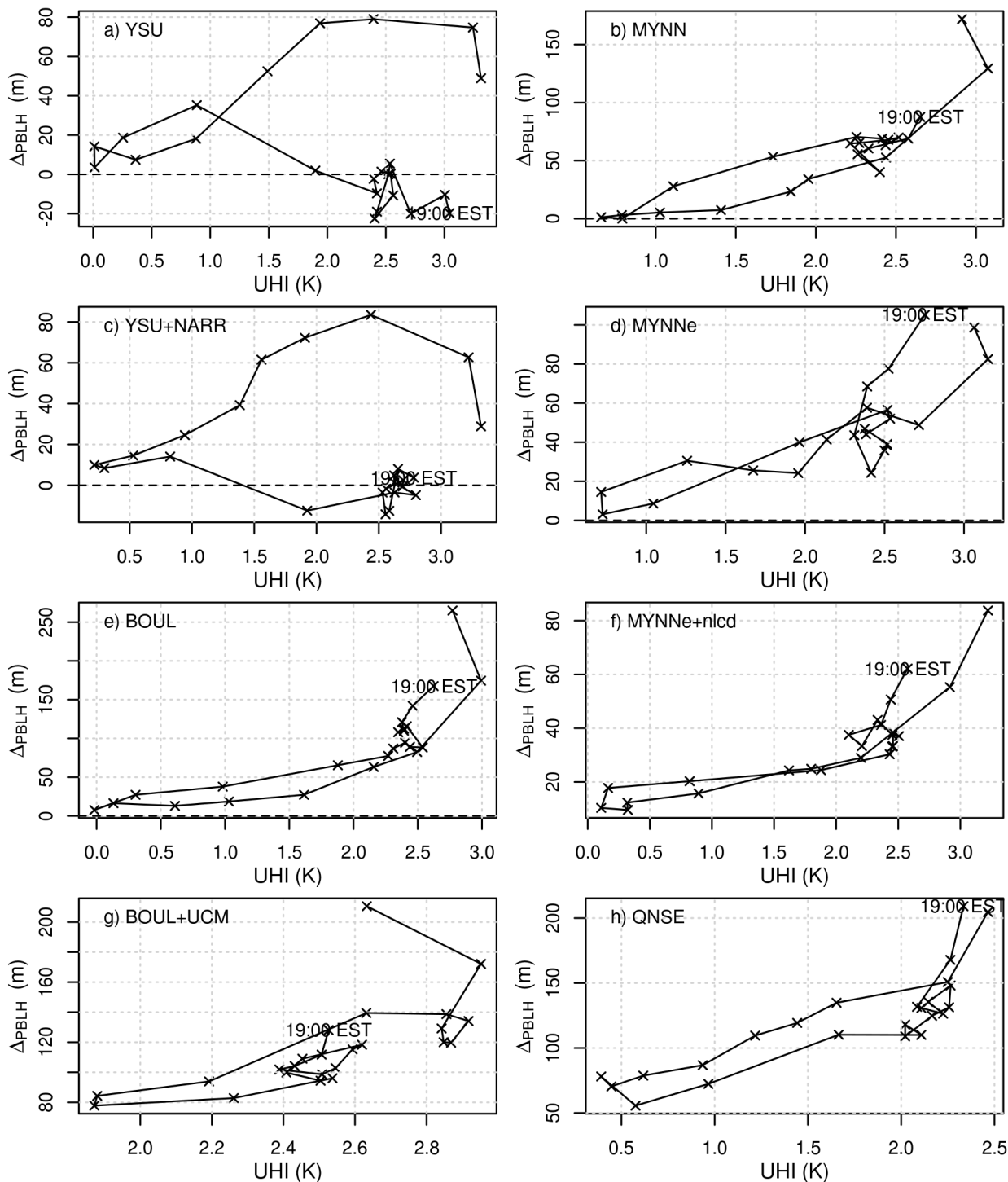
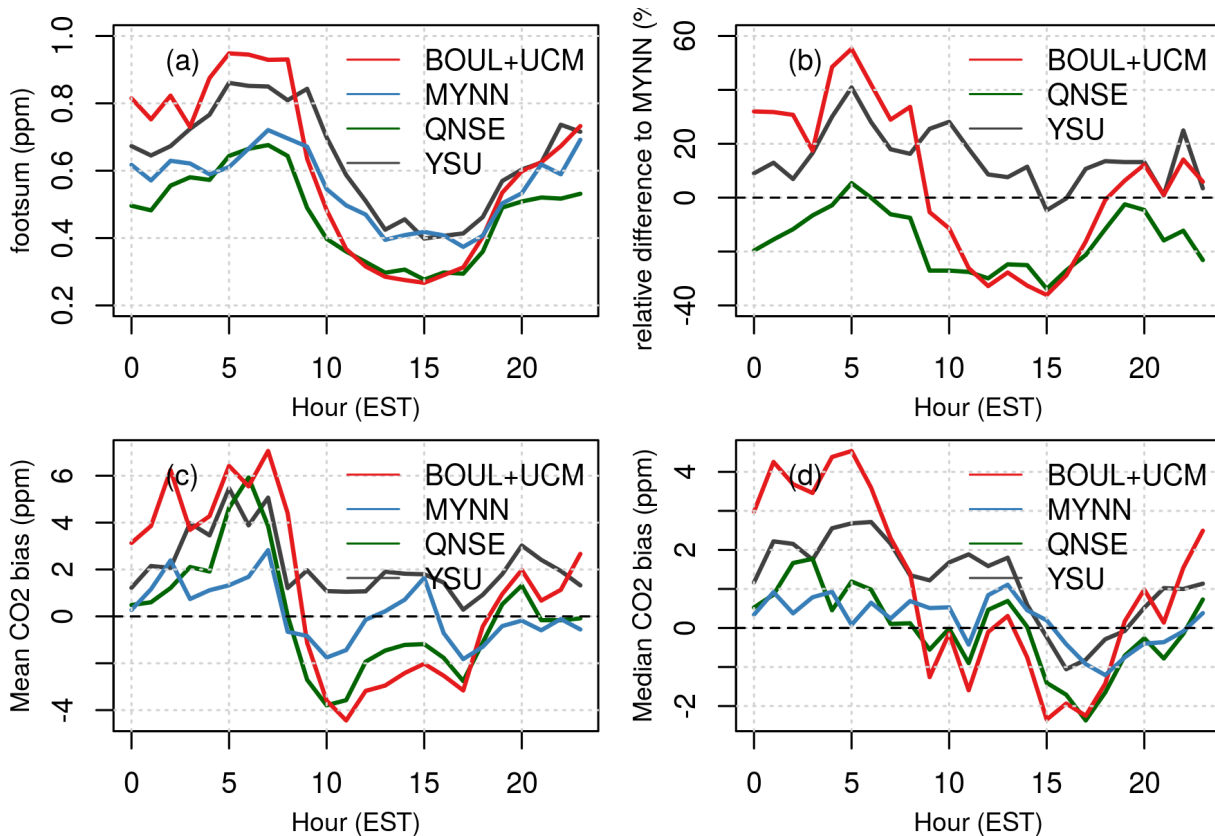


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