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Large-scale Structure and Turbulence Transport in the Inner Solar Wind: Comparison of Parker Solar Probe’s First Five Orbits with a Global 3D Reynolds-averaged MHD Model

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Abstract

Simulation results from a global magnetohydrodynamic model of the solar corona and solar wind are compared with Parker Solar Probe (PSP) observations during its first five orbits. The fully three-dimensional model is based on Reynolds-averaged mean-flow equations coupled with turbulence-transport equations. The model includes the effects of electron heat conduction, Coulomb collisions, turbulent Reynolds stresses, and heating of protons and electrons via a turbulent cascade. Turbulence-transport equations for average turbulence energy, cross helicity, and correlation length are solved concurrently with the mean-flow equations. Boundary conditions at the coronal base are specified using solar synoptic magnetograms. Plasma, magnetic field, and turbulence parameters are calculated along the PSP trajectory. Data from the first five orbits are aggregated to obtain trends as a function of heliocentric distance. Comparison of simulation results with PSP data shows good agreement, especially for mean-flow parameters. Synthetic distributions of magnetic fluctuations are generated, constrained by the local rms turbulence amplitude given by the model. Properties of this computed turbulence are compared with PSP observations.

Unified Astronomy Thesaurus concepts: Interplanetary turbulence (830); Solar wind (1534); Interplanetary magnetic fields (824); Solar corona (1483); Solar atmosphere (1477)

1. Introduction

The Parker Solar Probe (PSP; Fox et al. 2016) has recently completed its eighth solar encounter and the rate and significance of discoveries made thus far (e.g., Bale et al. 2019; Howard et al. 2019; Kasper et al. 2019; McComas et al. 2019) are expected to increase as the spacecraft orbit moves further inward. Novel observations of magnetic switchbacks, plasma jets, dust, and energetic particle populations have energized heliophysics research. The accumulation of these data also afford an opportunity to examine trends and average properties based on the observations themselves, assisted by comparison with large-scale three-dimensional (3D) modeling. Here we carry out such comparisons, based on the first five complete PSP orbits spanning heliocentric distances from 28 to 200 $R_\odot$. The framework that we employ is a full 3D magnetohydrodynamic (MHD) model that follows both resolved (typically large-scale), plasma flows and electromagnetic fields, as well as local statistical properties of unresolved MHD-scale turbulence.

The paper is organized as follows: Section 2 provides an overview of the numerical model, emphasizing the physical content of the Reynolds-averaged equations that are coupled to a turbulence-transport model. The subsections provide details of the model parameters employed here with boundary conditions based on magnetograms. Section 3.1 describes the data analysis procedures employed, and in Section 3.2, direct comparisons of the model results with PSP observational data sets are presented for the first five orbits. A different view of the model comparisons with PSP data is provided in Section 3.3 where data from the first five orbits are aggregated to extract radial trends that are then compared to five model runs with corresponding magnetogram boundary conditions. Section 3.4 explores the possibility that fluctuations and variability observed in particular orbits can be explained using synthetic data with properties constrained by the turbulence modeling solutions. Section 4 summarizes the comparisons and what has been learned from those comparisons. Particular points of emphasis are the physics that is included in the model, its successes and deficiencies, and possible directions for improvement. The Appendix uses PSP observations to briefly investigate the structural similarity of autocorrelations of different turbulent fields, an assumption that is integral to the turbulence-transport model used here.

2. Model and Underlying Theory

The modeling approach we employ is based on the premise that the large-scale features of the solar wind are well described by two-fluid MHD, noting that internal energy is meaningfully separated into electron and proton fluid ingredients (Cranmer et al. 2009). The dynamics of these large-scale features is determined to a significant degree, but not completely, by boundary conditions, so that information flow is mainly along characteristics. Features separated in angle by more than a few tens of degrees do not communicate well (see, e.g., Matthaeus & Goldstein 1986). At smaller scales the system is subject to local turbulence interactions, which, although formally deterministic, are conveniently approximated by a statistical treatment, such as Reynolds averaging (e.g., McComb 1990; Usmanov et al. 2018).

In particular, the model includes a global 3D compressible MHD two-fluid (protons and electrons) treatment of the solar corona and solar wind together with turbulence transport and heating from the coronal base to interstellar space (Usmanov et al. 2016). Mean-field (Reynolds-averaged) solar wind equations are solved simultaneously with model equations that describe turbulence transport, which is represented by equations for
average turbulence energy, normalized cross helicity, and the correlation length of magnetic fluctuations.

2.1. Reynolds Averaging

We employ a Reynolds-averaged Navier–Stokes approach, based on Reynolds decomposition (McComb 1990). Physical fields, e.g., \( \hat{a} \), are separated into a mean and a fluctuating component:

\[
\hat{a} = a + a',
\]

making use of an averaging operation: \( a = \langle \hat{a} \rangle \). The ensemble average is associated with the resolved large scales of motion. Then \( a' \) is a fluctuating component, here assumed to be of arbitrary amplitude, random, and residing at small scales. By construction, \( \langle a' \rangle = 0 \). Application of this decomposition to the MHD equations, together with a set of approximations appropriate to the observed solar wind, leads to a set of mean-flow equations that are coupled to small-scale fluctuations via appropriate closures, along with an additional set of equations that describe the statistics of turbulence as discussed below. For more background, see Usmanov et al. (2011, 2012, 2014, 2016), Chhiber et al. (2017), Usmanov et al. (2018), and Chhiber et al. (2019b).

2.2. Two-fluid Reynolds-averaged MHD Equations with Turbulence Transport

We describe the electrons and protons by fluid equations with separate energy equations. Furthermore, we assume that their bulk velocity is the same (Isenberg 1986). The Reynolds decomposition (Equation (1)) applied to the time-dependent two-fluid MHD equations in the frame of reference corotating with the Sun yields the following set of equations for the large-scale mean flow (Usmanov et al. 2018):

\[
\frac{\partial N_e}{\partial t} + \nabla \cdot (N_e \mathbf{v}) = 0, \tag{2}
\]

\[
\frac{\partial (\rho \mathbf{v})}{\partial t} + \nabla \cdot \left[ \rho \mathbf{v} \mathbf{v} - \frac{1}{4\pi} \mathbf{B} \mathbf{B} + \left( P_s + P_e + \frac{\langle B^2 \rangle}{8\pi} + \frac{B^2}{8\pi} \right) \mathbf{I} + \mathbf{R} \right] + \rho \left[ \frac{GM_s}{r^2} + 2\Omega \times \mathbf{v} + \Omega \times (\Omega \times r) \right] = 0, \tag{3}
\]

\[
\frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{v} \times \mathbf{B} + \sqrt{4\pi \rho} \mathbf{e}_m), \tag{4}
\]

\[
\frac{\partial P_s}{\partial t} + (\mathbf{v} \cdot \nabla) P_s + \gamma P_s \nabla \cdot \mathbf{v} + (\gamma - 1) \left( \frac{P_E - P_s}{\tau_{SE}} + f_p Q_L \right), \tag{5}
\]

\[
\frac{\partial P_E}{\partial t} + (\mathbf{v} \cdot \nabla) P_E + \gamma P_E \nabla \cdot \mathbf{v} = (\gamma - 1) \left[ \frac{P_s - P_E}{\tau_{SE}} - \nabla \cdot \mathbf{q}_E + (1 - f_p) Q_L \right], \tag{6}
\]

where underbracketed terms represent the influence of turbulence on the mean flow. The independent variables are heliocentric position vector \( \mathbf{r} \) and time \( t \). Dependent variables are velocity in the corotating frame \( \mathbf{v} \), magnetic field \( \mathbf{B} \), number density \( N_e \), the thermal pressure \( P_s \) of solar wind (thermal) protons, and thermal pressure of electrons \( P_E \). All pressures are assumed to be isotropic. We neglect the electron mass \( m_e \) compared with the proton mass \( m_p \). Consequently, the mass density is \( \rho = m_e N_e \). Parameters appearing in the equations are the sidereal solar rotation rate \( \Omega \), the gravitational constant \( G \), adiabatic index \( \gamma (=5/3) \), solar mass \( M_s \), a timescale of Coulomb collisions \( \tau_{SE} \) between protons and electrons, and fraction of turbulent energy absorbed by protons \( f_p \). The unit vector in the radial direction is \( \hat{r} \) and \( \mathbf{I} \) is the unit matrix. The electron heat flux \( q_E \) is taken to be collisional (Spitzer & Härm 1953) below \( 5\times 10^{-3} \). (Chhiber et al. 2016) and Hollweg’s collisionless model (Hollweg 1974, 1976) is used above those heights. In the collisionless model \( q_E \) is directed along the magnetic field, which implies that the electron heat flux is dominated by the electron strahl (e.g., Verscharen et al. 2019). \( Q_L \), defined below, is the source of energy deposition/extraction due to turbulent dissipation. The timescale of Coulomb collisions between protons and electrons can be written as \( \tau_{SE} = 1/\nu_e \) where \( \nu_e \equiv [8(2\pi m_e)^{1/2}e^4N_e \ln \Lambda]/[3m_p(k_B T_p)^{3/2}] \) is the electron–proton collision rate (Hartle & Sturrock 1968) and \( \ln \Lambda = \ln \left[ 3(m_e T_e)^{1/2}/2\pi^2\mu_0 N_e^2 \right] \) is the Coulomb logarithm. Here \( e \) is the elementary charge, \( N_e = N_p \) is number density of electrons, and \( k_B \) is the Boltzmann constant.

The mean-field momentum Equation (3) and induction Equation (4) are coupled to small-scale fluctuations through the Reynolds stress tensor \( \mathbf{R} = \rho (\mathbf{v}' \times \mathbf{v}'') \), and the turbulent electric field \( \mathbf{e}_m = (\mathbf{v}' \times \mathbf{b}') \), where \( \mathbf{v}' \) and \( \mathbf{b}' = \mathbf{B}'/\sqrt{4\pi \rho} \) are the fluctuations in velocity and magnetic fields, respectively. Note that we have neglected density and pressure fluctuations (Matthaeus et al. 1990). In the present implementation we also neglect the turbulent electric field \( \mathbf{e}_m \) and off-diagonal terms in the Reynolds stress tensor (see Usmanov et al. 2018); all other turbulence terms appearing in the dynamical equations are retained.

2.3. Turbulence-transport Equations

Transport equations for fluctuations in the rotating frame are obtained by subtracting the mean-field equations from the full MHD equations and averaging variances and correlations of the differences (Matthaeus et al. 1994a; Usmanov et al. 2014):

\[
\frac{\partial Z^2}{\partial t} + (\mathbf{v} \cdot \nabla) Z^2 + \frac{Z^2(1 - \sigma_t)}{2} \nabla \cdot \mathbf{u} + \frac{Z_2^2}{2 \rho} \mathbf{R} : \nabla \mathbf{u} + 2 \sigma_t \mathbf{v} \cdot (\nabla \times \mathbf{v}) - (\mathbf{V}_A \cdot \nabla) (Z^2 \sigma_t) \nabla \cdot \mathbf{V}_A = \frac{\alpha^+ (\sigma_t) Z^3}{\lambda}, \tag{7}
\]

\[
\frac{\partial (Z^2 \sigma_t)}{\partial t} + (\mathbf{v} \cdot \nabla) (Z^2 \sigma_t) - (\mathbf{V}_A \cdot \nabla) Z^2 + \frac{Z_2^2}{2 \rho} \nabla \cdot \mathbf{u} + 2 \mathbf{V}_A \cdot (\nabla \times \mathbf{u}) + 2 \sigma_t \mathbf{v} \cdot \nabla \mathbf{V}_A + 2 \sigma_t \mathbf{e}_m \cdot (\nabla \times \mathbf{u}) \nabla \cdot \mathbf{V}_A = \frac{\alpha^- (\sigma_t) Z^3}{\lambda}, \tag{8}
\]

\[
\frac{\partial \lambda}{\partial t} + (\mathbf{v} \cdot \nabla) \lambda = \beta f^+ (\sigma_t) Z, \tag{9}
\]
where $Z^2 = \langle \nu^2 + b^2 \rangle$ is twice the turbulence energy per unit mass, $\lambda$ is the correlation length of turbulent fluctuations, $\sigma_2 = \langle \nu^2 + b^2 \rangle Z^{-2}$ is the normalized cross helicity, and $\sigma_D = \langle \nu^2 - b^2 \rangle Z^{-2}$ is the (constant) normalized energy difference. Other notations: velocity in the inertial frame $u = v + \Omega \times r$, Alfvén velocity $V_\lambda = B(4\pi \rho)^{-1/2}$, Kármán-Taylor constants $\alpha$ and $\beta$ (Matthaeus et al. 1996; Breech et al. 2008), and functions of cross helicity $f^\pm(\sigma) = (1 - \sigma^2)^{1/2} \pm (1 - \sigma^2)^{1/2}/2 \sigma$ which account for the effect of dynamical alignment (Matthaeus et al. 2004). The right-hand side of Equation (7) is the von Karman turbulence heating rate (de Kármán & Howarth 1938) adapted for MHD (Hossain et al. 1995; Wan et al. 2012; Bandopadhyay et al. 2018) and plasma (Wu et al. 2013). The fluctuation energy loss due to von Karman decay is balanced in a quasi-steady state by an internal energy supply term in the pressure Equations (5)-(6), which takes the form $Q_T = \alpha f^+(\sigma) \rho Z^2 / (2 \lambda)$. The following key assumptions were made in deriving Equations (7)-(9): local incompressibility of fluctuations, which are also assumed to be transverse to and axisymmetric about the mean field; constant normalized difference energy $\sigma_D$ (entering Equation (7) as a parameter); and a single correlation length $\lambda$ (see Zank et al. 2017). The latter assumption implies structural similarity of autocorrelation functions of the turbulent fields $\nu^\prime, v^\prime, \nu^\pm$ and $\sigma^\pm$ and therefore that the similarity/correlation scales of each of these fields are identical. See the Appendix for a test of this assumption using PSP data. Notably, we set the polytropic index to the adiabatic constant $\gamma = 5/3$ so that solar wind heating emerges solely from the turbulent cascade and the divergence of electron heat flux.

2.4. Model Parameters and Numerical Implementation

We solve the mean-flow equations together with the turbulence-transport equations in the spherical shell between the coronal base (just above the transition region) and the heliocentric distance of 5 au; the computational domain is divided into two regions: the inner (coronal) region of 1–30 $R_\odot$, and the outer (solar wind) region between 30 $R_\odot$ and 5 au. The relaxation method, i.e., the integration of the (time-dependent) equations in time until a steady state is achieved, is used in both regions. The simulations have a resolution of 702 × 120 × 240 grid points along $\times \theta \times \phi$ coordinates. The computational grid has logarithmic spacing along the heliocentric radial ($r$) direction, with the grid spacing becoming larger as $r$ increases. The latitudinal ($\theta$) and longitudinal ($\phi$) grids have equidistant spacing, with a resolution of 1° each. In terms of physical scales, the grid spacing corresponds to several correlation lengths of magnetic fluctuations (e.g., Ruiz et al. 2014), thus providing strong motivation for the statistical model we employ for unresolved, subgrid-scale turbulence.

Boundary conditions are specified at the coronal base using Wilcox Solar Observatory (WSO) and ADAPT magnetograms (which are based on the GONG magnetogram; Arge et al. 2010). WSO and ADAPT magnetograms are scaled by a multiplicative factor of 8 and 2, respectively, and smoothed using a spherical harmonic expansion up to 9th and 15th order, respectively. Input parameters specified at the coronal base include: the driving amplitude of Alfvén waves ($30 \text{ km s}^{-1}$), the density ($8 \times 10^3 \text{ particles cm}^{-3}$), the correlation scale of turbulence (10,500 km), and temperature ($1.8 \times 10^6 \text{ K}$). The cross helicity in the initial state is set as $\sigma_a = -\sigma_0 B_r / B_{r,\text{max}}$, where $\sigma_0 = 0.8, B_r$ is the radial magnetic field, and $B_{r,\text{max}}$ is the maximum absolute value of $B_r$ on the inner boundary. The input parameters also include the fraction of turbulent energy absorbed by protons $f_p = 0.6$, energy difference $\sigma_D = -1/3$, and Kármán-Taylor constants $\alpha = 2/3, \beta = 0.128$. Further details on the numerical approach and initial and boundary conditions may be found in Usmanov et al. (2018), who also examined the influence of varying these parameters on the model results.

The model is well tested and has been shown to yield reasonable agreement with observations (Breech et al. 2008; Usmanov et al. 2011, 2012; Chhiber et al. 2017, 2018, 2019a; Usmanov et al. 2018; Ruffolo et al. 2020; Bandopadhyay et al. 2020a). For the present study we performed ten runs using both WSO and ADAPT magnetograms corresponding to each of the five PSP solar encounters considered. In the following we show results based on the magnetogram that yielded the best agreement with PSP data for each orbit. The runs shown are: (I) ADAPT map with central meridian time 2018 November 6 at 12:00 UTC for orbit 1, (II) WSO map for Carrington rotation (CR) 2215, for orbit 2, (III) WSO map CR 2221 for orbit 3, (IV) WSO map CR 2226 for orbit 4, (V) WSO map CR 2231 for orbit 5. The ADAPT maps have a 1° resolution both in heliolatitude and heliolongitude. The synoptic magnetograms from the WSO have 5° resolution in heliolongitude and 30° equidistantly distributed over the sine of heliolatitude. A detailed examination of differences between simulations based on magnetograms from different observatories is left for future work.

3. Results

3.1. PSP Data

We use publicly available data for the first five orbits of PSP covering the period between 2018 October to 2020 July. Magnetic field data are from the fluxgate magnetometer (MAG), part of the FIELDS instrument suite ( Bale et al. 2016), and plasma data are from the Solar Probe Cup (SPC) on the SWEEP suite (Kasper et al. 2016; Case et al. 2020). Level 2 MAG data and Level 3 SPC moment data (see Case et al. 2020) are resampled to 1 s cadence using a linear interpolation. SPC data are cleaned using a Hampel filter in the time domain (Pearson 2002; Bandopadhyay et al. 2018; Parashar et al. 2020). PSP’s heliocentric position and heliolatitude at the time of these measurements are shown in Figure 1. Perihelia are at 35.6 $R_\odot$ for orbits 1–3, and at 38.2 $R_\odot$ for orbits 4 and 5. PSP stays close to the ecliptic plane in its highly elliptical orbit (Fox et al. 2016).

3.2. Comparisons of Time Series

We use trilinear interpolation to obtain model results along the PSP trajectory (at 1 minute cadence). To compare PSP data with mean (resolved) fields from the model (see Section 2.2) we coarse-grain PSP observational data so they correspond roughly to the mean variables in the Reynolds-averaging procedure. To accomplish this we smooth the 1 s cadence PSP data and compare it with the model output at the corresponding time. This process is repeated for each orbit, and the resulting time series are shown in Figure 2.
data using a boxcar average over a moving window of 2 hr duration\(^5\), and then downsample the data to 1 hr cadence. Unless otherwise indicated, in the following \(\langle \ldots \rangle\) refers to this boxcar average which, in principle, when applied to the PSP fields, produces a coarse-graining that corresponds to the mean fields explicitly resolved in the Reynolds-averaged simulations. Note that the grid resolution of the simulations corresponds to a temporal scale of about 1 hr, assuming a solar wind speed of 300 km s\(^{-1}\) (roughly the average value during PSP’s solar encounters; see below). This procedure is applied to proton velocity \(v\), density \(n_p\), and thermal speed \(\omega_p\), as well as the magnetic field \(B\). The proton temperature is then \(T_p = m_p \langle \omega_p^2 \rangle / k_B\).

To compare the observations with turbulence parameters from the model (see Section 2.3) we compute velocity and magnetic fluctuations from the 1 s cadence PSP data as follows. The magnetic field \(\mathbf{B}\) is converted to Alfvén units using the formula \(b = B / \sqrt{4\pi \langle \rho \rangle}\), where \(\langle \rho \rangle\) is the boxcar-averaged proton mass density. Velocity and magnetic fluctuations are then computed as \(v' = v - \langle v\rangle\) and \(b' = b - \langle b\rangle\). From these, Elsässer variables are computed as \(z_\pm = v' \pm b'\) (Elsässer 1950). The average turbulence energy is then \(Z^2 = (v'^2) + (b'^2)\), and the normalized cross helicity is \(\sigma = (Z_+^2 - Z_-^2) / (Z_+^2 + Z_-^2)\), where \(Z_\pm = (z_\pm)^2\). Both \(Z^2\) and \(\sigma\) are downsampled to 1 hr cadence.

As described in Section 2.3 and the Appendix, the assumption of a single correlation scale implies that \(\lambda\) from the model can be associated with any of the turbulent fields \(b'\), \(v'\), and \(z_\pm\). See the Appendix for a test of this assumption using PSP data. We choose to compare the modeled \(\lambda\) with the observed magnetic correlation length since magnetic field data from PSP are higher quality than plasma data, especially outside of the solar encounter periods. The correlation time of magnetic fluctuations \(\tau_c\) is computed as the time lag at which the Blackman–Tukey autocorrelation function (Matthaeus & Goldstein 1982) falls to \(1/e\) of its value at zero lag. The averaging for computing the autocorrelation is performed over 24 hr intervals, thus yielding a daily value for \(\tau_c\). Assuming the validity of the Taylor hypothesis and using the daily mean of the solar wind speed, the correlation time is converted to a correlation length \(\lambda\). Note that the Taylor hypothesis has been shown to be reasonably valid during these first PSP perihelia (Chhiber et al. 2019b, 2020; Chen et al. 2020; Perez et al. 2021).

Figures 2–6 show comparisons between PSP data from the first five orbits with global simulation data.\(^6\) In these figures the plots of the radial components of ion velocity \(V_R\) and the magnetic field \(B_R\) are supplemented by a shaded region that is obtained from turbulence energy \(Z^2\) in the simulation. This represents turbulent fluctuations that are not explicitly resolved. \(Z^2\) can be used to obtain estimates for turbulent magnetic and velocity energies by assuming an appropriate value for the Alfvén ratio \(r_A = \langle v'^2 \rangle / \langle b'^2 \rangle\). Following observations during the PSP encounters (Chen et al. 2020; Parashar et al. 2020) we assume \(r_A = 0.5\), which is also consistent with the constant value of energy difference \(\sigma = -1/3\) assumed in our model (Section 2.4). Then the magnetic fluctuation energy becomes \(B^2 = Z^2 / (4\pi r_A / (r_A + 1))\).

An analogous procedure is used to obtain the partitioning of fluctuation energy among the three Cartesian components of polarization. The transport model in Section 2.3 adopts the approximation that the fluctuations have purely transverse polarizations. However, it is well known that incompressive, Alfvénic-type fluctuations must, at finite amplitude, include three components of polarization. Following observations (Belcher & Davis 1971) we adopt a partitioning in which the RTN components of magnetic fluctuations have variances in a 5:4:1 ratio (Belcher & Davis 1971), where we associate the “3” and “4” factors with the \(N\) and \(T\) components, respectively. Therefore, radial fluctuations have a variance \(B_R^2 = (B_T^2) / 10\). This allows us to generate an envelope around the resolved field \(B_R(t)\) that spans the range \(B_R(t) - \sqrt{(B_T^2)}(t)\) to \(B_R(t) + \sqrt{(B_T^2)}(t)\). A similar procedure is used to generate an envelope around \(V_R\), except that we assumed the RTN components had variances in a ratio 2:2:1, where the “1” factor is associated with the \(R\) component (Oughton et al. 2015).

Before proceeding with a detailed discussion of the results, we note that PSP data have relatively larger gaps and lower cadence far from the encounter periods (roughly 10 days about perihelia), especially for plasma measurements (Case et al. 2020). With that caveat stated, we move on to Figure 2, where comparisons for the first orbit are illustrated. For the radial velocity, we see no detailed agreement of PSP and the simulated values. Even the envelope of fluctuations fails to encompass the variations in the PSP values of \(V_R\). Note that the first encounter was somewhat atypical in that PSP observed fast wind emanating from a low-latitude coronal hole (Badman et al. 2020) and this was not captured in the model, possibly due to low resolution of the magnetogram data. The situation is

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\(^5\) The selection of an averaging interval can influence the results of these types of analyses. Several previous papers document the kinds of effects that are expected. Isaacs et al. (2015) showed general effects of averaging interval choice in 1 au data. For PSP, Parashar et al. (2020) showed the effects of averaging on the determination of correlation lengths and densities. Figures 6 and 7 of Ruffolo et al. (2020) show how the choice of averaging interval suppresses fluctuations but does not appear to change the temporal/radial trend of quantities such as Alfvén speed. The window of 2 hr chosen here is selected to be “safely” in the range of several correlation scales to emphasize trends at the outer scale of the turbulence rather than variations within the inertial range.

\(^6\) We do not show \(T\) and \(N\) components (in a heliocentric RTN coordinate system; e.g., Fränz & Harper 2002) of ion velocity and magnetic fields in the time-series comparisons in the present subsection. On average, \(V_T\) and \(B_T\) tend to stay close to zero throughout an orbit, both in the model and in observations. The tangential velocity \(V_T\) shows a systematic increase near perihelia in the observations (Kasper et al. 2019), which is not captured by the model (see also Réville et al. 2020). The observations also show a slight increase in \(B_T\) near perihelia, and this is captured well by the model. Both \(V_P\) and \(B_P\) are shown in Section 3.3 (see Figure 7). See also Figure 4 of Ruffolo et al. (2020) for a time-series comparison of \(T\) and \(N\) components of ion velocity and magnetic fields between PSP’s first orbit and the model.
considerably better for the comparison of values of $B_R$. The resolved simulation values have a very similar shape to the PSP data, although the magnitudes are systematically low. However, the shaded region provides an envelope that neatly spans the range of observed PSP values near perihelion (marked with a vertical solid line). The third panel compares the proton densities. The general shapes of simulated and PSP values are similar, although the rapid variations of the observed values are not captured by the simulated data. Note the dip in density that occurs simultaneously with the spike in velocity near 2018 November 10, once again signifying connectivity to that equatorial coronal hole. Note also that no density fluctuation amplitude is available in our subgrid formulation, which assumes small-scale incompressibility. The panel showing the proton temperatures again shows only modest agreement. Note that plasma data from PSP were not available in the first week of this period, and the associated PSP curves are empty in that range in all panels except $B_R$.

The panel that compares subgrid turbulence energies $Z^2$ indicates somewhat better comparison with the levels and some of the modulated features of the PSP observations are accounted for reasonably well in the simulated data. The next panel, the correlation scale $\lambda$, does not compare at all well, with the simulated value being an order of magnitude larger than the same quantity computed from PSP data near the encounter (see discussion in Section 3.3). Finally, the normalized cross helicity comparison gives mixed results, showing very good agreement near perihelion and accurate transition to negative values around 2018 November 14. Note that this transition indicates a crossing of the heliospheric current sheet (HCS) by PSP into a region of opposite magnetic polarity (Szabo et al. 2020). The subsequent return to positive $\sigma_c$ around 2018 November 26 reaches reasonable values, but with a time shift between PSP and the simulation results. Finally, in the days leading to 2018 December 12, the simulation fails altogether (see discussion in Section 3.3).

Figures 3–6 are presented in the same format as Figure 2 and contain the same comparisons of data from simulation and PSP observation, in these cases for orbits 2 through 5, respectively.

Figure 3 shows orbit 2 simulation versus PSP comparisons that are somewhat better than those shown for orbit 1, especially for $V_R$ and $T_p$. Large transients seen in the radial velocity in Figure 2 are essentially absent in Figure 3. We also note that $Z^2$ from the model tends to skirt the upper envelope of the PSP data.

Plasma measurements during the third PSP encounter were not available and measurements outside the encounter are somewhat patchy, as seen in Figure 4. Transient features seen in $V_R$ are once again not captured by the simulation, which shows a very steady radial wind speed of about 340 km s$^{-1}$. However, the radial magnetic field is well represented. We remind the reader that the correlation lengths $\lambda$ plotted in these comparisons are the magnetic correlation lengths. There is somewhat better agreement between the model and observations for this quantity compared with the first two orbits. We also note the presence of relatively high cadence changes in $\sigma_c$ during the third orbit,7 some of which are captured well by the model.

In Figure 5 one sees a set of comparisons for orbit 4 that fit the prior pattern, with transient $V_R$ periods in the PSP data not well represented in the simulation, and in contrast, a better comparison with the radial magnetic field, although some HCS crossings are missed. This latter effect is evident from the cross helicity comparison as well. Density, temperature, and turbulence level display reasonable agreement, with some systematic time shifting of the peaks relative to the maxima of the simulation data. As with the other orbits, the simulation correlation length is systematically larger than that measured by PSP.

The comparisons in Figure 6 for orbit 5 are consistent with those seen previously. The asymmetry in $B_R$ across the perihelion is captured well by the model. The quality of the comparison of cross helicities is rather mediocre for this orbit, 7 More frequent crossings of the HCS are the likely reason, although the patchiness of the SPC data during this orbit may also be contributing.

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7 More frequent crossings of the HCS are the likely reason, although the patchiness of the SPC data during this orbit may also be contributing.
while the $Z^2$ values are systematically above the PSP observations, as are the correlation scales.

In the next section we discuss the overall trends that can be inferred from aggregating data over five orbits, as well as possible reasons for some of the discrepancies between the model and the observations.

### 3.3. Radial Trends Aggregated from Five Orbits

A different approach to comparing PSP and simulation data is to look at the radial trends in each, in the sense of a superposed radial epoch. By combining data from different PSP orbits and employing simulation data based on the corresponding magnetograms for separate orbits, we can understand better the behavior of the mean radial trends as well as the influence of transients.

The left column of Figure 7 shows data aggregated from the first five orbits as a function of heliocentric distance. Also shown are results from simulated PSP trajectories through five simulations corresponding to the five orbits (Runs I–V). Note that the five orbits yield five envelopes each for each of $V_R$, $V_T$, $B_R$, and $B_T$, taking into account the rms fluctuation level from the model as described in Section 3.2. For visualization purposes the envelope shown in Figure 7 is generated by picking the maximum and minimum values from the envelopes for the five orbits within radial bins of size $1 R_\odot$. The cross helicity has been sector-rectified so that positive $\sigma_c$ indicates outward (away from Sun) propagation of Alfvén waves (e.g., Barnes 1979; Roberts et al. 1987); i.e., when the mean radial magnetic field (at 1 hr cadence) has positive polarity the cross helicity is multiplied by $-1$: for all time $t$ when $B_R(t) \geq 0$ we let $\sigma_c(t) \rightarrow -\sigma_c(t)$.

To obtain a clearer picture of radial trends, we compute mean values and standard deviations within radial bins of size $10 R_\odot$ for each quantity shown in the left column of Figure 7.
This is done separately for PSP data and the model, and the results are shown in the right column of Figure 7; symbols show mean values and vertical bars represent one standard deviation above and below the mean. Our goal here is to examine long-term radial trends in the ecliptic region during solar-minimum conditions, as observed by PSP during its first five orbits. In the hourly-cadence PSP data presented in the left column of Figure 7, only 1.7% of points have $V_R > 500$ km s$^{-1}$; 2.9% have $V_R > 450$ km s$^{-1}$, and 5.5% have $V_R > 400$ km s$^{-1}$; therefore, the data set is overwhelmingly representative of slow wind conditions. Note that fast wind is often described as having $V_R > 500$ (e.g., Dasso et al. 2005).

The radial trend of mean radial velocities in Figure 7 is very similar in the PSP data and the simulations. The spread in radial velocities at each position, indicated by bars above and below the symbols, is much greater for the PSP data, consistent with the discussion above for Figures 2–6. We see from the second panel of the figure that the strong tangential flows apparently observed near perihelia (Kasper et al. 2019) are not reproduced in the modeled $V_T$. Note that the envelope of modeled fluctuations (left column) is symmetric about the mean $V_T$, and therefore cannot account for the systematic increase in the observed mean $V_T$ near perihelia. The reasons behind this discrepancy are not currently understood and lie beyond the scope of the present study (see Réville et al. 2020). The comparisons of radial trends in $B_R$, $B_T$, $n_p$, and $T_p$ are much tighter, as is evident in the very good coincidence of the mean values from simulations and from PSP in these three quantities. The relatively small standard deviation bars in $B_R$, $n_p$, and $T_p$ reflect the smoothness of these quantities in the averaged PSP data and also demonstrate the accuracy of the global MHD simulation.

The comparisons of the turbulence parameter $Z^2$ are reasonable; however, the modeled turbulence energy clearly skirts the upper envelope of the observations (left column),

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**Figure 5.** PSP orbit 4 data in comparison with a global simulation based on the WSO map for CR 2226 (Run IV). The description follows Figure 2. Minor ticks on the time axis correspond to 2 days.

**Figure 6.** PSP orbit 5 data in comparison with global simulation based on WSO map for CR 2231 (Run V). The description follows Figure 2. Minor ticks on the time axis correspond to 2 days.

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8 We do not show PSP observations of $V_T$ above 160 $R_\odot$ since the data are extremely noisy.
Figure 7. Left: model results (red curve) compared with PSP data (blue "+" symbols) from the first five orbits. Top two panels include shaded regions representing fluctuation amplitudes derived from the model (see text). Table 1 shows computed fits of the PSP data to radial power laws. Right: mean values within bins of 10 $R_E$ from the model (red diamonds) and PSP data (blue circles). Bars above and below symbols represent the standard deviation; bars that extend to negative values are not shown on logarithmic axes. $\sigma_c$ has been sector-rectified (see text).
interesting that the mean temperature profiles compare extremely well while the turbulence quantities, which ultimately drive heating, fall short of the same level of accuracy. This constrains potential directions for improvement of the turbulence model, which performs very well in accounting for the resolved quantities and notably the temperature.

### 3.4. Synthetic Magnetic Fluctuations Constrained by Turbulence-transport Model

The subgrid-scale model employed in previous sections to describe properties of the turbulence provides only a statistical picture but no information about the waveform of the fluctuations. Adding an envelope centered on the resolved mean fields, such as the radial magnetic and velocity fields in Figures 2–6, leads to a suggestion of a possible range of values, but stops short of providing the reader with an explicit representation of the full magnetic (or velocity) signal. However, armed with values of the variance $Z^2$, the correlation scale $\lambda$, and cross helicity $\sigma_c$, the turbulence model provides a basis for generating consistent random realizations of the relevant variables. For simplicity only the variance is employed in this (first) exercise of this type.

To proceed, one must adopt a probability distribution for the fluctuations, using it to generate a realization for comparison with the observed PSP signal. Such realizations are clearly not unique, but they permit exploration of how properties of the underlying probability distribution can influence the quality of the comparison with the observations. Interplanetary magnetic fluctuations at 1 au have near-Gaussian probability distributions in the inertial range (e.g., Padhye et al. 2001; Bandyopadhyay et al. 2020b). However, observations of the near-Sun magnetic field by PSP (Bale et al. 2019) indicate that fluctuations in the radial component do not have symmetric distributions; instead, these are biased toward reversals in the magnetic polarity and their probability distributions are characterized by a significant skewness (R. Chhiber 2022, in preparation). Therefore, the random distribution used to generate realizations of synthetic (radial) magnetic fluctuations in near-Sun space must have finite skewness as a property. Here we use a gamma distribution for this purpose, wherein the skewness scales as the inverse square-root of the so-called shape parameter, also known as the order of the distribution (e.g., Thom 1958). Note that we do not make any attempt here to relate the distribution of fluctuations to underlying physical processes; we simply use a distribution that captures some properties of the data (see below).

Our procedure for generating the synthetic fluctuations is as follows. Using PSP’s second encounter as an example, we begin with the average turbulence energy $Z^2$ from Run II, interpolated to the PSP trajectory to obtain a time series at 1 minute cadence, which is then converted to an average magnetic fluctuation energy $(B')^2$, assuming an Alfvén ratio of 0.5 (as in Section 3.2). We again assume that the RTN components of the magnetic fluctuations have variances in a 5:4:1 ratio (Belcher & Davis 1971), so that radial fluctuations have a variance $B_R'^2 = (B')^2/10$. Next, the mean value of the standard deviation $(B')^{2/2}$ is computed within 24 hr bins along the time series. Within each 24 hr bin, a sequence of 1440 random numbers is generated, which follow a gamma distribution of order 6.\footnote{The choice of order 6 for the gamma function is based on visual inspection of the resulting synthetic time series.}

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mean of each distribution is subtracted, and the distribution is rescaled so that its standard deviation is equal to the mean \( \sqrt{\langle B_r^2 \rangle} \) in the respective bin. Thus we obtain a synthetic time series of radial magnetic fluctuations \( B_r \) at 1 minute cadence (within the inertial range of turbulence observed by PSP; e.g., Chen et al. 2020), constrained by the average local fluctuation amplitude given by the turbulence-transport model.

The top panel of Figure 8 shows the time series of the radial magnetic field obtained by adding the synthetic fluctuations \( B_r \) to the mean radial magnetic field \( B_R \) from the model. It is evident that the synthetic signal exhibits the kind of “one-sided” behavior that is seen in the PSP data. This would be lacking in a symmetric synthetic signal (not shown) produced with a non-skewed probability distribution. What is lacking in the skewed synthetic signal, as seen in the bottom panel of Figure 8, is the clustering of large excursions (including switchbacks) that is evidently characteristic of the PSP signal (Chhiber et al. 2020; Dudok de Wit et al. 2020).

Figure 8. Top: the red curve shows the mean radial magnetic field along PSP’s second orbit, obtained from Run II. The black curve shows a synthetic time series (1 minute cadence) of the full radial magnetic field obtained by adding synthetic fluctuations \( B_r \) to the mean radial magnetic field from the model. These synthetic fluctuations are constrained by the local rms turbulence levels from the model and are generated using a gamma function distribution of order 6 (see text). Bottom: the radial magnetic field observed by PSP is overlaid as a green curve, plotted at 1 minute cadence. Black and red curves are the same as in the top panel.

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4. Conclusions and Discussion

In this paper we have presented comparisons of PSP data and global heliospheric MHD simulations, employing several novel approaches. We have employed data and simulations corresponding to five PSP orbits, providing at least a crude view of the variability expected from orbit to orbit in each data type. Our analyses also involved averaging observational and simulation data in similar ways to achieve commensurate average values and variances. This is facilitated by the Reynolds-averaged structure of the simulation code, which also computes parameters describing local statistics of unresolved turbulence using a well studied (but, of course, approximate) transport model for MHD turbulence in an inhomogeneous medium. This strategy enables a statistical comparison of the PSP data with simulations that would not be possible using only the directly resolved simulation variables, due to the inevitable limitation of spatial resolution (e.g., Miesch et al. 2015).

The several comparisons shown lead to insights concerning the PSP observations as well as a characterization of the limitations of the adopted MHD simulation framework. Direct comparisons of PSP and simulation time series show that the coarse features of the observations are reasonably well represented in a steady-state model driven by magnetograms. The main shortcomings appear to be the MHD code’s inability to reproduce transient and finer scale features of the resolved variables. The statistical turbulence parameters are apparently less accurate; in particular, correlation scales near perihielae are systematically overestimated in the turbulence model.

When the data are reduced to radial profiles, a very good correspondence is found in the comparisons. This agreement is clearer when averages and variances are computed in radial bins. Both resolved and turbulence variables agree well in the averaged radial trends, the only significant departures being seen in the tangential velocities and correlation lengths near perihielae and in the sector-rectified cross helicity near 1 au. While the reasons behind the discrepancy in \( \langle V_T \rangle \) are not presently understood, the offset in correlation scales could be due to PSP sampling slab-like fluctuations at an increasing rate near perihielae, which are not represented in the predominantly 2D turbulence modeled in our simulations (see Ruiz et al. 2011; Adhikari et al. 2020). The discrepancy in \( \sigma_c \) is understood to be at least partially due to the absence of fine-scale structure in the model, which, if present, would drive shear and produce gradients in the mean-flow fields, which can in turn reduce cross helicity (Breech et al. 2008). Overall it seems quite reasonable that averages over a sufficient number of orbits would suppress effects of transients. This would influence both the observations and the simulations; obtaining agreement between the two provides evidence of consistency with the underlying physics in the numerical model.

Finally we carried out an exercise of comparing the observations with radial magnetic-field time series generated from the resolved mean field supplemented by synthetic fluctuations, the latter being constrained by the local turbulence amplitude from the model. A key element in obtaining a reasonable level of comparison is the use of a skewed probability distribution in the generation of the synthetic field. With further refinements, this technique may be employed in numerical studies of energetic particle transport (e.g., Moradi & Li 2019; Chhiber et al. 2021b).

These comparisons of PSP data with the Reynolds-averaged MHD model of Usmanov et al. (2018) are encouraging with respect to further applications that are supported or enhanced by the ability to provide 3D coarse-grained context for interpretation of the observations. Future planned research in this direction will continue for subsequent PSP orbits. Analogous applications are also anticipated for ongoing missions that span the entire heliosphere, including Solar Orbiter (Müller et al. 2013), and missions under development, such as PUNCH (DeForest et al. 2019), Helioswarm (Spence 2019), and IMAP (McComas et al. 2018). A point that we wish to emphasize is that a model such as the present one that incorporates self-consistent turbulence modeling permits a broader range of applications, including studies relating to solar energetic particles, particle scattering (e.g., Guo & Florinski 2016; Wiengarten et al. 2016; Chhiber et al. 2017, 2021a, 2021b), and other applications that require
information about fluctuations (e.g., Chhiber et al. 2019b; Réville et al. 2020). For example, a quantitative examination of the role of turbulent heating in producing the solar wind, a primary objective of the PSP mission, cannot be fully addressed without a self-consistent model that links the large-scale wind to the properties of fluctuations.

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Appendix

Structural Similarity of Second-order Correlation Functions Measured by PSP

The Usmanov et al. (2018) model employs a turbulence-transport model that adapts and extends the Breech et al. (2008) model. Rather than engage the full potential complexity of non-WKB transport theory (Zhou & Matthaeus 1990; Matthaeus et al. 1994b), these models adopt simplifying assumptions that reduce substantially the number and complexity of the full models. One key approximation is so-called structural similarity. In its most general form, this approximation asserts that the second-order correlation functions (Oughton et al. 1997) involving magnetic field, velocity, and both Elsässer fields, are of the same functional forms, apart from their respective energy normalizations, in a given sample of the turbulence. A corollary is that the correlation (or coherence) scales of these fields are identical. It is known that these correlation scales cannot be equated in general (see, e.g., Wan et al. 2012; Dosch et al. 2013) and related transport models have been developed that retain an additional number of independent length scales (Zank et al. 2017; Adhikari et al. 2020). Nevertheless, it is instructive to examine whether in the present context the simpler assumption we employ provides reasonable approximations to correlation lengths and correlation functions observed by PSP.

To this end, we computed the four relevant autocorrelations (see, e.g., Matthaeus & Goldstein 1982), for magnetic $b'$ and velocity $v'$ fluctuations, and Elsässer variables $z_\pm$, for 17 eight-hour intervals covering a few days around PSP’s first perihelion (2018 November 3 to 2018 November 8). Examples are shown in the left and middle panels of Figure 9, portrayed as functions of temporal lag. For the eight-hour sample on 2018 November 3 (left panel), observe that all four correlations are of very similar form. In the interval shown from 2018 November 4 (middle panel), three of the four are very similar to one another, while the fourth, the minority Elsässer amplitude, displays a more rapidly decaying autocorrelation than the others. This behavior is representative of averages as well. The right panel shows the averages of the four autocorrelations over several days near first PSP perihelion. Again, three of the four are extremely similar to one another, the exception being the minority amplitude associated with “inward” fluctuations, and in that case a modest departure from the others is observed. As one would expect, the computed average correlation times do not differ greatly, even if significant differences can be found in some subintervals. The average correlation times (computed by averaging the correlation times obtained from each interval) are 453, 433, 443, and 535 s, for $b'$, $v'$, $z_+$, and $z_-$, respectively. This demonstrates that for at least this limited sample, it is unlikely that great inaccuracy in the evolution of energy is likely to be introduced by the assumption of a single similarity scale, although this may have a moderate impact on the decay rate of the smaller minority Elsässer amplitude. We close with the caveat that the present analysis is preliminary; a more detailed investigation of such structural similarity is under way.