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1	The Process of Info-Autopoiesis – The Source of All Information
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8 Abstract

9 All information results from a process, intrinsic to living beings, of info-autopoiesis or information 10 self-production; a sensory commensurable, self-referential feedback process immanent to 11 Bateson's 'difference which makes a difference'. To highlight and illustrate the fundamental 12 nature of the info-autopoietic process, initially, two simulations based on one-parameter feedback 13 are presented. The first, simulates a homeostatic control mechanism (thermostat) which is 14 representative of a mechanistic, cybernetic system with very predictable dynamics, fully dependent on an external referent. The second, simulates a homeorhetic process, inherent to biological 15 self-referenced, 16 systems, illustrating a autonomous system. Further, the active 17 incorporation/interference of viral particles by prokaryotic cells and the activation of CRISPR-Cas 18 can be understood as info-autopoiesis at the most fundamental cellular level, as well as constituting 19 a planetary network of self-referenced information. Moreover, other examples of the info-20 autopoietic nature of information are presented to show the generality of its applicability. In short, 21 info-autopoiesis is a recursive process that is sufficiently generic to be the only basis for 22 information in nature: from the single cell, to multi-cellular organisms, to consideration of all types 23 of natural and non-natural phenomena, including tools and artificial constructions.

24 Keywords:

25 Gregory Bateson, Science of Information, Info-autopoiesis, Homeostasis, Homeorhesis

Introduction
I think that tastes, odors, colors, and so on . . . reside in consciousness. Hence if the living creature were removed, all these qualities would be wiped away and annihilated.
—GALILEO GALILEI

33 Gregory Bateson is well known for defining information as a difference which makes a difference 34 (Bateson 1978, 453). Such a succinct and deceptively simple definition is certainly subject to 35 possible misinterpretation. One such misinterpretation might involve suggesting that it is a 36 circuitous, self-referential play on the word difference. Indeed, the dictionary definition of 37 difference in the Merriam-Webster Online Collegiate Dictionary¹ does not seem to add much 38 clarity, yielding: (i) the quality or state of being dissimilar or different; and (ii) an instance of being 39 unlike or distinct in nature, form, or quality. Rather, it might even suggest that anything is a 40 difference. So indeed, at first glance we seem to be in a logical quandary and great confusion. To 41 seek clarity, we need to better define the context in which an assessment of difference is required.

42 At the centre of determination of difference is the organism-in-its-environment (O/E), i.e., all 43 living beings. For us humans, sometimes we are faced with looking at very complex differences 44 such as the ones we might experience in looking and analysing an abstract painting. This task may 45 challenge our ability to discern differences in various dimensions and guises of differences. Luckily for us, that is not where we begin our process of distinguishing differences. We start our 46 47 process of distinguishing differences at the time of our conception as living beings. How does the 48 single human cell know how to become two cells? What is the process of distinguishing differences 49 that then leads to a further division to four cells and so on, until the emergence of the child from 50 the womb, to begin an additional gestation period out of the womb? What is/are the 51 spatial/temporal difference(s) that this cumulative composite of cells detects that allows this 52 certain process to become effective? I am not a biologist so I do not want to delve into all of the 53 biological complexity that probably plays a role. But I do want to assert that at some point in this 54 process our five primary senses (touch, sight, hearing, smell and taste) come on line 24/7. This is 55 the only basis for our access to the world. One thing that can be said with certainty about our senses 56 is that they are functioning continuously, consciously or unconsciously, to detect spatial and/or 57 temporal differences in our dynamic environment. Their functioning is central to our continued existence. In the initial period of gestation out of the womb, our senses help us to sound the alarm 58 59 to be nurtured when hungry and held close for warmth, but we are possibly unaware that that is 60 the reason why we are doing it.

To begin the journey of determining differences using our five primary senses, it is important to 61 62 note that our senses deal with commensurable quantities/qualities, i.e., quantities/qualities that 63 have a common measure. For example, the sense of touch (whose multidimensional structure 64 includes mechanoreceptors, thermoreceptors, nocireceptors, proprioceptors) might be, for 65 simplicity, arbitrarily ascribed as being sensitive only to pressure. In that limited role, our sense of 66 touch is able to keep track of all pressure sensations that come into its sphere of action. As might 67 be imagined, from one instant of time to the next, pressure sensations are felt by the human in question and become part of her experience. This is how quantitatively and unambiguously "a 68

¹ https://www.merriam-webster.com/dictionary/difference

69 (pressure) difference" becomes qualitatively "a (pressure) difference which makes a difference".

70 In a similar way, the other dimensions of the sense of touch contribute with their own unique

71 quantitative/qualitative characteristics. Thus, *in toto* contributing to a multidimensional sensory

72 experience that consists of temporal/spatial differences. This is the process of information that

73 Bateson discovered and is applicable to any and all of our primary senses, which not only act

74 individually but in concert. Our primary senses provide for us our only contact with our

75 environment and are key to our development.

76 Implicit to this conception of information, applicable to all living beings, is that all information is

self-produced. In other words, information is the result of a process of *info-autopoiesis*, or a

78 process of self-production of information by all living beings. A corollary is that there is no

information in the environment, except for information produced by living beings.

80 The neologism *info-autopoiesis* (info = information; auto = self; poiesis = creation, production) is 81 not to be confused with *autopoiesis* (auto = self, poiesis = creation, production) "a word that could

directly mean what takes place in the dynamics of the autonomy proper to living systems"

(Maturana and Varela 1980: xvii). It is not intended to infer anything about *autopoiesis* (Maturana

and Varela 1980; XVII). It is not intended to infer anything about *autopotests* (Maturana and Varela 1973, 1980, 1987) beyond sharing the notion of self-production. The use of the

neologism *info-autopoiesis* is to refer specifically to the self-production of information. And it is

86 even worth quoting Varela (1981) at length, when referring to autopoiesis,

- 87 Our efforts were directed toward showing the following.
- 88 (1) The importance of the individual organization is fundamental, and the autonomous 89 character of the living system takes precedence, both logical and functional, over the 90 genetic understanding of the individual as a member of the species. The individual 91 organization can be shown to be one of self-construction through recursive production 92 of components, and it is this specific organization, autopoiesis, which is at the base of 93 the autonomy of living systems. The most clear paradigm of this autopoietic 94 organization is the cell and its metabolic net. Once the individual organization is clearly 95 defined, one can attempt to analyze the added complexities that autopoietic systems 96 have undergone in the history of Earth, including their reproductive capacities and 97 higher order aggregations.
- 98 (2) Informational and functional notions need not enter into the characterization of
 99 the living organization (emphasis added), as they belong to a domain different from
 100 the relations that define the system. Thus we proposed a critique to the current use of
 101 such notions as unnecessary for the definition of the logic of life, and claimed
 102 autopoiesis as necessary and sufficient to define the living organization, and, a fortiori,
 103 the phenomenology of the living. (Varela 1981, 36-37)

104 Taking Varela at his word, it appears that notions of information are of little interest in the 105 conceptualization of autopoiesis. Varela further notes,

106In A(utopoietic)S(ystems) we argued that *the notions of information and purpose are*107*dispensable (emphasis added*). This is because the living organization could be defined108without resorting to such notions, and thus, the explanation underlying the living109phenomena need not include them as constitutive components. Further, we argued, such110notions cannot enter into the definition of a system's organization because they pertain111to the domain of discourse between observers. Information and purpose can only enter112for pedagogical purposes. They do not enter into an operational explanation, for which

- 113 autopoiesis is complete, that is, based on distinctions of component properties that 114 generate a phenomenic domain.
- 115In retrospect, I believe this question needs further development (emphasis added). I116still hold to be valid the criticism of the naive use of information and purpose as notions117that can enter into the definition of a system on the same basis as material interactions118... (Varela 1981, 38)

119 The author believes that the current work may be used as a point of departure to attempt the 120 inclusion of information into the "dynamics of the autonomy proper to living systems".

To discuss the process of info-autopoiesis and its implications, this paper is divided into three sections. Firstly, in section 2, a cybernetic simulation of a thermostat illustrates the dynamic nature of info-autopoiesis and is used as a basis for comparison to the versatility of a homeorhetic feedback simulation, and to the CRISPR-Cas system in prokaryotes, and to several examples that differ in scope. Second, in section 3, a brief discussion puts all the pieces together to show how the process of info-autopoiesis is central to information creation. Finally, in section 4, the findings of the paper are summarized and pertinent conclusions are presented.

128 **2.** The generic nature of info-autopoiesis

It must be considered that there is nothing more difficult to carry out nor more doubtful of success, nor more dangerous to handle, than to initiate a new order of things. For the reformer has enemies in all those who profit by the old order, and only lukewarm defenders in all those who would profit by the new order, this lukewarmness arising partly for fear of their adversaries, who have the laws in their favor; and partly from the incredulity of men, who do not truly believe in anything new until they have had actual experience of it.

Niccolò Machiavelli (1469–1519), The Prince, Chapter 6.

129

130 Norbert Wiener tautologically states that "Information is information, not matter or energy. No

- 131 materialism, which does not admit this, can survive at the present day" (Wiener [1948] 1961, 132).
- 132 This is how Wiener accredited that information is a most pervasive and unique element that is
- abundant in the Universe. Even proposing the widely held perspective that information is a pre-
- existing and/or third fundamental quantity of the Universe (Wheeler 1990; Stonier 1997; Yockey
- 2005; Lloyd 2006; Umpleby 2007; Burgin 2010; Floridi 2011; Vedral 2010). A perspective that is
 also widely shared in the biosemiotics community (Brier 1999, 2008; Battail 2009, 2013; Barbieri
- also widely shared in the biosenholdes community (Brief 1999, 2008, Battan 2009, 20
 2012, 2013; Fresco et al. 2018; Jablonka 2002; Queiroz et al. 2008; Pattee 2013).
- 138 The definition of Bateson information as a difference which makes a difference challenges this 139 conception of information. What is widely acknowledged is that matter and/or energy are the only 140 fundamental quantities of the Universe. Further, matter and/or energy are in motion above a 141 temperature of zero degrees absolute. Roughly, in the temperature range in which living beings 142 are abundant, bordering 273 degrees absolute, matter and/or energy are always in motion. Life is 143 abundant in this Goldilocks Zone that gets bigger with every new discovery of life in extreme 144 environments. Further, the flourishing of life had much to do with the motion of matter and/or 145 energy, including sensorial organ development. All living beings are able to detect the spatial and 146 temporal dynamics of their environment. More practically, what is required is that living beings 147 be capable of comparing two spatial/temporal instances so as to discern differences as Bateson

148 information, i.e., as a difference which makes a difference. Thus, there is no need for declaring 149 information as a third quantity of the Universe. Information is a derived property of matter and/or 150 energy. Occam's razor applies to this argument ("Entities should not be multiplied without

necessity."). In a sense, Wiener is right, information is "not matter or energy". Fundamentally, information is differences in matter and/or energy detected by living beings. A perspective that

152 information is differences in matter and/or energy detected by living beings. A perspective that 153 brings us back to info-autopoiesis, i.e., information self-production by living beings. In short, all

154 information in nature is created by each and every organism-in-its-environment.

This is what we would like to illustrate and discover using simulations. A comparison between two (or more) spatial/temporal instances, at the most elementary level, can be implemented using a one-parameter feedback loop simulation, consisting of a sensor and a comparator. The comparator to do its job of comparing requires two commensurable inputs: a reference parameter that is set, internally or externally to the system, and a detected parameter supplied by a sensor.

160 To clarify the distinction between homeostasis (a return to a particular state by self-regulation) in

161 machine mechanisms and homeorhesis (a return to a particular trajectory by self-regulation) in

162 organisms, this section explores, through illustrative examples, the Batesonian difference which 162 makes a difference based on the charge that (The subscripts for the formula of the subscripts for the subscripts f

163 makes a difference based on the observation that "The role of feedback both in engineering design

and in biology has come to be well established" (Wiener [1948] 1961, vii; Uyanik et al. 2020; Kim

and Szurmant 2020). While the role of feedback is well established, a distinction exists between the behaviour of homeostatic, cybernetic mechanisms and homeorhetic organisms, as described

167 below.

168 To exemplify this approach, we initially examine the one-parameter feedback workings of a device

169 of common usage, a thermostat. Then we turn our attention to a one-parameter feedback simulation

170 of *homeorhetic reflex-actions* in an organism. Homeorhesis indicates system dynamics with

different transitions to multi-stable trajectories. Waddington suggested that in biological systems

homeorhesis (stability of dynamics rather than stability of states) instead of *homeostasis* prevails.
In other words, what '*is being held constant is not a single parameter but is a time-extended course*

175 in other words, what is being neta constant is not a single parameter but is a time-extended course 174 of change, that is to say, a trajectory' (Waddington 1968, 12). Distinctively, a biological system

which follows and returns to a homeorhetic trajectory, as opposed to a system which oscillates

around a particular homeostatic state. Next, we look at the process of the two stages of CRISPR-

177 Cas events in a prokaryote as info-autopoiesis at the cellular level.

178 2.1 A one-parameter feedback simulation of a homeostatic control mechanism

179 Figure 1 shows the relationship between a room and the surrounding environment. The room 180 incorporates an Air Conditioner unit which can cool/heat the room and the associated thermostat 181 control unit that controls its operation. The thermostat control unit consists of a one-parameter 182 Sensor and a Comparator. The one-parameter Sensor measures on a continuous basis the room 183 temperature T that is an input to the *Comparator* as the *detected parameter*. Another input to the *Comparator* is the temperature setting T_o arbitrarily set by the occupant of the room based on her 184 185 level of comfort and is regarded as the reference parameter. The role of the Comparator is to obtain the difference $e = T - T_0$ between the *detected parameter* and the *reference parameter*, 186 187 two commensurable values which are expressed as voltages. This difference is classically referred 188 to as error. This difference or error is the parameter used to trigger the On-Off switch of the Air 189 Conditioner unit. In cooling mode, the Air Conditioner turns On when e > 0, and turns Off when 190 e < 0. The opposite is true when the Air Conditioner is in heating mode. The *Comparator*, the 191 detected parameter and the reference parameter are the elements at the heart of the Batesonian

difference which makes a difference. As will be explained below, these components are as relevant
 to the simulation of a cybernetic homeostatic mechanism, as to that of a homeorhetic organism.

194 This configuration shows that the actions of the thermostat depend on the *Comparator* as a function 195 of the difference or error obtained from comparing the detected parameter to the reference 196 *parameter*. The circuit that comprises this system is a semi-closed-loop cybernetic feedback 197 circuit, not a closed-loop cybernetic feedback circuit, such as the biomolecular closed-loop 198 cybernetic homeostatic circuit in Aoki et al. (2019), since there is no direct connection between 199 the Air Conditioner and the Sensor. And also, there is no connection between the Comparator 200 output and the temperature setting. This brings about the existence of two semi-closed-loop 201 cybernetic feedback circuits. One feedback circuit comprises the Air Conditioner that exhibits two 202 outputs: one to the room, the other to the outside environment. The impact of the Air Conditioner 203 on the *Sensor* is by way of the air currents in the room as well as by way of the environmental 204 noise, which is the impact that the environment might exert on the walls of the room by being 205 more/less windy, hotter/colder than the room. This feedback circuit has an eventual effect on the 206 detected parameter of temperature at the one-parameter temperature Sensor, leading to a 207 subsequent action affecting the Comparator output that leads to an effect on the On/Off switch of the Air Conditioner. A second semi-closed-loop cybernetic feedback circuit mechanism to change 208 209 the temperature setting T_o is by way of the room occupants. In either of these two cases, the room 210 occupants can intervene to make the room temperature amenable to their needs.

- 211 The definition of information by Bateson as *a difference which makes a difference* may be used in
- the context of this thermostat example. Let us note that this definition of information implies a
- 213 quantitative portion (*a difference*) and a qualitative portion (*a difference which makes a difference*)
- 214 (Cárdenas-García and Ireland 2017, 2019). The quantitative portion is the *difference or error* e = 15
- 215 $T T_o$ that is calculated by the Comparator that results in the On/Off actuation of the Air 216 Conditioner; the qualitative portion is that given by the comfort level of the person inside the room
- that controls the setting T_o . Further, the person whose temperature comfort is at issue in the use of
- the Air Conditioner may have the use of a thermometer to compare its experienced comfort level.
- This is what drives the temperature setting T_o . Here, we identify, for illustrative reasons that
- 220 *difference or error, e,* represents Bateson *information*. This means that the terms *difference, error*
- 221 *or information* are treated as equivalent. We further note that in this homeostatic example the *error*
- is the term that acts as a cybernetic correction factor in pursuing the goal of temperature T_0 .
- In short, a four-step conceptualization of this semi-closed-loop cybernetic feedback system, is as follows:
- 1. A *comparator* (thermostat) is set to a *reference parameter* (room temperature setting);
- 226 2. The *sensor* (room temperature sensor) distinguishes the value of the *detected parameter*;
- 227 3. The *comparator* obtains the *difference or error* between the *detected parameter* and the *reference parameter*;
- 4. The detected *difference or error* is the *information* needed to send a signal to turn-on/turn-off
 the system governing the level of temperature in the room.

This four-step conceptualization for this homeostatic mechanism (thermostat) captures the fundamental nature of the Batesonian *difference which makes a difference*, to more fully quantify/qualify its applicability on a practical basis. This presentation disregards effects such as using an analogue or digital circuit in its implementation, or the effect that timed measurements might have on its dynamics. An additional point to note is that thermostats are a human creation. Therefore, their design, construction and use embody human effort and needs. Further, as will be explained below, their conceptualization, design, construction and use are also reflections of

238 Bateson information and info-autopoiesis.

239 2.2 A one-parameter feedback simulation of homeorhesis

240 An organism may be considered, for the most part, as a collection of reflex-actions, i.e., 241 involuntary and nearly instantaneous movements in response to a stimulus. An important 242 assumption here is that reflex-actions are phylogenetic behaviour. For example, a reflex-action 243 such as blinking in humans ontogenically is made possible by neural pathways called reflex arcs 244 which can act on an impulse before that impulse reaches the brain, implying a response to a 245 stimulus that phylogenetically relies on anticipatory-ontogenic derived behaviour. If such reflex-246 actions did not exist the human organism would not operate as intended. That is, phylogenetic 247 behaviour can be considered the first layer of homeorhesis.

- Figure 2 shows a feedback simulation of the human organism-in-its-environment (HO/E) depicting cyclic self-referenced reflex-action operations to keep homeorhetic trajectories. This figure is drawn with similar elements as that of Figure 1 to make an eventual comparison as to its functioning. But a significant difference is to draw the two elements that portray the organism (HO) and the environment (E) as comprising a whole. This is done to imply a HO subsumed in its E.
- 254 Some elementary actions of organisms are phylogenetic reflex-actions, that generally have 255 something to do with keeping our internal milieu within homeorhetic bounds. It is common that 256 feedback control mechanisms can be ascribed to these phylogenetic reflex-actions, though each type of reflex-action obeys its own non-mechanistic homeorhetic requirements. What we would 257 258 like to elucidate is how Bateson information, a difference which makes a difference, may be used 259 to explain how these homeorhetic processes can occur, although not surrogated, in feedback 260 simulations and compare its functioning to the previously presented one-parameter semi-closed-261 loop cybernetic feedback homeostatic mechanism.
- 262 Referring to Figure 2, consider the beginning of the HO/E cyclic interactions as the detection of 263 environmental noise by the senses of the organism. This is the only window that the HO/E has to 264 access the environment. Environmental noise is particular to each individual HO/E, since each individual HO/E has a particular set of senses that are attuned to its phylogenetic and ontogenetic 265 development within a specified environment. The primary motivation of the HO/E in sensing the 266 noisy environment that may resemble white noise, particular to the HO/E, is to maintain its 267 individuation and homeorhetic trajectories in epigenetic landscapes due to dynamic openness 268 269 (Waddington 1968). For example, the HO/E needs to satisfy its energy needs and is tuned to 270 particular cues in the white noise that leads it to satisfy them. This is true of all our senses that 271 permit these cues to synchronize to recognize environmental invariance (Cárdenas-García 2013; 272 Cárdenas-García and Ireland 2017, 2019).
- 273 The portrayal in Figure 2 defines the fundamental relationship of the HO/E, as it exists embedded
- in its environment. There are two essential connections with the environment. One, is shown as a
- single sense element that is the intermediary between the external environment and the internal
- 276 milieu of the organism. This single sense element represents a microcosm of reality, since a typical
- human organism is composed of millions of these sense elements that define each particular sense
- organ in the human body. The other connection is the capacity of the HO/E to physically impact
- the environment, either directly or by other means, including tools and machines in the case of

humans. It has to be recognized at the outset that these two essential connections define an asymmetrical relationship between the organism and its environment, i.e., the impact that the organism has on the environment is not a mirror reflection of the impact of the environment on the organism. Our intent in what follows is to concentrate on the sensorial side of this dichotomy, as the single sense element is the only means that an HO/E has to ascertain the reality of the external environment to successfully engage it.

285 environment to successfully engage it.

286 The transduction role of the single sense element changes the physical (touch, sound, light) or 287 chemical signature (smell, taste) to a corresponding electrical signal or action potential (AP). It is 288 this AP, irrespective of origin, that is used by the human organism, either locally or centrally, to 289 generate information, a difference which makes a difference. In a similar way, as with the 290 thermostat a *Comparator* is used to show how information is created using this single sensor 291 element to begin the cyclic process of, in this case, self-referenced, autonomous information. In 292 other words, of info-autopoiesis as the autonomous, self-generation of information of the HO/E as 293 a reflection of its structural coupling to the environment.

- 294 This process is akin to the *Principle of Undifferentiated Encoding*,
- 295The response of a nerve cell does not encode the physical nature of the agents that296caused its response. Encoded is only "how much" at this point on my body, but not297"what". (von Foerster 2003, 4)
- 298 Except that the AP that needs to be used, either locally or centrally, is representative of info-299 autopoiesis or of information that results from the process of info-autopoiesis. As a result, we 300 suggest that the *Principle of Undifferentiated Encoding* may be alternatively defined in terms of 301 info-autopoiesis. We argue that because of the specificity of the commensurable sensors, info-302 autopoiesis does imply something not just about the "how much" but about the "why", "what", 303 "when", and "where" aspects of information. There is greater specificity in its realization due to 304 the integration of information from several differently commensurable sensors to better specify 305 the nature of information for the organism. This is similar to specifying the location of an object 306 in three or more dimensions rather than with a single dimension.
- 307 Examining Figure 2 shows that the *Comparator* has a feedback circuit that incorporates a quantity k_{fb} and a feedforward circuit with quantity k_{ff} to modify the error, e. The feedback signal 308 independently modifies the incoming sensory AP by subtracting a factor $e k_{fb}$, while the 309 feedforward signal independently modifies the same sensory AP by adding a factor $e k_{ff}$, if and 310 when e is able to surmount the trigger level of the On-Off trigger switch. The feedback and 311 312 feedforward factors, k_{fb} and k_{ff} , respectively, are a function of the needs of the HO/E. We note that the feedback circuit represented in Figure 2 is neither a closed-loop cybernetic feedback circuit 313 314 nor a semi-closed-loop cybernetic feedback circuit. The info-autopoiesis circuit is independent of 315 the resulting actions that stem from its instantiation.
- 316 An equation that can be obtained from looking at the *Comparator*, where *e* is the error and AP is 317 the Action Potential, yields

$$e = AP + ek_{ff} - ek_{fb} \tag{1}$$

319 leading to,

$$e - ek_{ff} + ek_{fb} = AP \tag{2}$$

321 which after factoring we obtain,

322

$$e\left(1 - k_{ff} + k_{fb}\right) = AP \tag{3}$$

323 yielding a relationship between input- and output- given by,

$$\frac{e}{AP} = \frac{1}{\left(1 - k_{ff} + k_{fb}\right)}$$

Each of the quantities k_{fb} and k_{ff} may be regarded as functions of *difference, error or information*, *e*, of time, of historical and other factors particular to the organism under consideration.

(4)

The relationship between input- and output- is capable of many fluctuations, allowing this basic first-order feedback system to be capable of accommodating multifaceted behaviour. For example, with this type of approach reflex-actions and actions requiring a longer fuse may be accommodated. Note also that k_{ff} and k_{fb} do not have to exist simultaneously, or may be even triggered by differing phenomena. Also, all settings related to how k_{fb} and k_{ff} come about are internal to the organism, which does not exclude external influences by way of the environment influencing their behaviour, for example, living in times of scarcity of food or water.

334 For the moment consider only that the comparator has a feedback circuit that incorporates a 335 constant k_{fb} to modify the *difference*, error or information, e, that is generated as the result of the action of the Comparator on the incoming sensory AP and the feedback signal $e k_{fb}$, that is we 336 assume $k_{ff} = 0$. The case for $k_{ff} \neq 0$ is not further considered here because the intent is to 337 338 compare the one parameter feedback loop to that of a mechanism. In general, the effect of the $e k_{ff}$ 339 term is to either enhance or conserve *e*, acting similar to a memory function. We further note that 340 in this homeorhetic example the *error* is not a term that acts as a cybernetic correction factor since 341 we are not dealing with either a closed-loop cybernetic feedback circuit nor with a semi-closed-342 loop cybernetic feedback circuit. Rather it reflects a self-referenced comparison of the sensor 343 element. It reflects what the homeorhetic organism identifies as information in the environment.

344 In the left side of composite Figure 3, we find a plot of the output over the input, i.e., e/AP. In this particular case a constant value of AP = 1 is used, and k_{fb} varies from 0.1 to 1.0 in increments of 345 346 0.1 resulting in 10 curves generated at 10 time-steps of unspecified length. These curves may be 347 ascribed the role of homeorhetic trajectories. Note that the colour coding in the two images on the 348 right side is used to show how the calculation of e/AP is performed. The curves in the graph show 349 the versatility of the reflex-action depending on the value of k_{fb} . The curve for a value of k_{fb} = 1.0 envelops all the other curves as it oscillates between the values of 0 and 1 over time, implying 350 continuous triggering of the reflex-action. All successive curves show an oscillatory reduction over 351 352 time. For example, the curve for $k_{fb} = 0.1$ after reaching a peak of 1.0 at time interval 1, has a

tendency to be stable around a value of 0.9 after time interval 2.

Referring again to Figure 2 (top right insert, in Figure 3), note that an On/Off trigger switch is present. This trigger switch will remain On for *difference*, *error or information*, *e*, values above a certain *reference value* (not to be confused with the *reference parameter e* k_{fb}), but will remain Off below that same *reference value*. Looking at Figure 3, if an arbitrary trigger *reference value* is set to a value of e = 0.85, the reflex-action will trigger once for all values of k_{fb} , but will trigger four additional times for a value of $k_{fb} = 1.0$; one additional time for a value of $k_{fb} = 0.9$; and,

360 will remain triggered continuously for a value of $k_{fb} = 0.1$. Note that this *reference value* as well

as the value of k_{fb} are fully defined by the organism in question, depending on many factors including those mentioned above.

363 To summarize, the above description gives the framework of info-autopoiesis as it relates to 364 phylogenetically derived reflex-actions in the context of the definition of Bateson information: a difference which makes a difference. This definition of information implies a quantitative portion 365 366 (a difference) and a qualitative portion (a difference which makes a difference) (Cárdenas-García 367 et al. 2018; Cárdenas-García and Ireland 2017, 2019). The quantitative portion is the difference, error or information $e = AT - ek_{fb}$ that is evaluated by the Comparator that results in, for 368 example, the movement of an organism appendage due to the reflex-action; the qualitative portion 369 370 is the homeorhetic dynamic of the organism. For example, if the feeling of hunger by an individual 371 recurs, the act of eating restores the homeorhetic dynamic as the organism goes about its business

- of living.
- In short, a four-step conceptualization of how this feedback simulation of the organism consisting
 of a one-parameter *Sensor* and *Comparator* works, is as follows:
- 375 1. A comparator is set to a reference parameter, defined by ek_{fb} ;
- 2. The *sensor* (one of the main senses) distinguishes the value of the *detected parameter*;
- 377 3. The *comparator* obtains the *difference or error* between the *detected parameter* and the
 378 *reference parameter*;
- 379 4. The detected *difference or error* is the *information* needed to allow the actuation of a reflex380 action when it exceeds an organism determined *reference value*.
- 381 This four-step conceptualization is different from that of the homeostatic mechanism (thermostat)
- described above. The described homeorhetic feedback simulation of the organism is capable of the
- 383 generation of richer and non-predictable, yet self-referential and anticipatory, dynamics.

384 2.3 CRISPR-Cas system as info-autopoietic information in prokaryote cells

385 The CRISPR-Cas system is a two-step approach by prokaryotic cells, such as bacteria and archaea, 386 to achieve, first, an ability to record a memory of infection (Incorporation) and, second, a capability 387 to use that memory of infection as a defence system (Interference) against further infections. 388 CRISPR, which stands for Clustered Regularly Interspaced Short Palindromic Repeats, refers to a 389 family of DNA sequences/spacers incorporated to the genomes of prokaryotic cells using Cas 390 proteins (Barrangou 2015). These sequences/spacers are derived from DNA fragments of 391 bacteriophage and conjugative plasmid origin that have been previously incorporated by the 392 prokaryote cell constituting a memory of past genetic aggressions. Subsequently they are used to 393 confer resistance to foreign genetic elements, by recognizing the DNA of newly invading similar 394 viruses and acting to interfere to eliminate such invaders (Morange 2015a, 2015b).

In Figures 4(a) and 4(b) we illustrate stage 1 (Incorporation) and stage 2 (Interference), respectively, the two stages of CRISPR-Cas events in a prokaryote. It is suggested that both stages may be interpreted as info-autopoiesis using a *comparator* to assess the difference between a *reference parameter* and a *detected parameter*.

- 399 Stage 1 or the Incorporation Stage of the CRISPR-Cas system initiates when the invading DNA is
- 400 recognized by Cas proteins, fragmented and incorporated into the spacer region of CRISPR, and
- 401 made part of the prokaryote genome. While the selected fragments are homologous, there does not
- 402 appear to be any particular significance to any selected fragment, except its foreign origin that
- 403 identifies it as not belonging to the invaded prokaryote. By the same token it can be argued that

- 404 there is no information in the various homologous fragments except for being identified as foreign
- 405 (Ishino et al. 2018).
- 406 Stage 1 or the Incorporation Stage of the CRISPR-Cas system may be summarized as a process of407 info-autopoiesis as follows:
- 408 First stage CRISPR-Cas acts as a homeorhetic one-parameter feedback loop tuned to an immune
 409 response. In other words,
- The prokaryote in anticipatory mode is capable of detecting viruses/plasmids, in effect it uses
 a *reference parameter* tuned to viruses and plasmids
- 412 2. A prokaryote *sensor* distinguishes the signature of a virus/plasmid or is capable of identifying
 413 a *detected parameter* or *signature* of a specific incorporating virus/plasmid and excising a
 414 portion of the virus DNA at a specific site
- 415 3. The *comparator* obtains the *difference* or *error* between the *detected parameter* and the
 416 *reference parameter*
- 4. The *detected difference* or *error* is the *information* needed to command incorporation of a portion of virus/plasmid DNA into the prokaryote DNA for future reference
- 419 Stage 2 or the Interference Stage of the CRISPR-Cas system initiates due to prokaryote 420 anticipatory behaviour resulting from a viral DNA invasion. The initial response is the expression 421 of pre-crRNA by transcription of the CRISPR region and the processing into smaller units of RNA, 422 or crRNA. The homology of the spacer sequence present in crRNA allows the capture and 423 alignment of foreign DNA with homologous segments of the crRNA, proceeding to cleavage of 424 the DNA by the nuclease capability of the Cas protein (Ishino et al. 2018).
- 425 Stage 2 or the Interference Stage of the CRISPR-Cas system may also be summarized as a process
 426 of info-autopoiesis as follows:
- 427 Second stage CRISPR-Cas acts as a homeorhetic one-parameter feedback loop to eliminate the
 428 virus/plasmid
- 429 1. The prokaryote in anticipatory mode has several *references of DNA sequences* in its DNA
- 430 2. A prokaryote *cell sensor* such as a lipopolysaccharide is used to distinguish the *signature* of a
 431 virus/plasmid or identifies a *detected parameter* or *signature* of a specific virus/plasmid
- 432 3. The *comparator* obtains the *difference* or *error* between the *detected parameter* and the
 433 *reference parameter*
- 434 4. The *detected difference* or *error*, in this case the "no difference", is the *information* needed
 435 that results in the transcription of the earlier inserted portion of the virus/plasmid DNA to target
 436 and interfere with the DNA of the virus/plasmid
- 437 What these interpretations of CRISPR-Cas actions of incorporation and interference reveal is that 438 there is no need for ascription of information to DNA, i.e., there is no need to recognize that a 439 DNA sequence reflects inherent information [see for example Akhter et al. (2013)], only that it is 440 a DNA signature of foreign origin. What is implied is that the prokaryote bacteria, in using the 441 CRISPR-Cas actions of incorporation and interference, engages in a process of info-autopoiesis. 442 In the process of incorporation, it identifies specific segments of DNA as corresponding foreign 443 origin to the invading virus DNA and makes it its own while clearly differentiating it from its own DNA. The prokaryote then uses these clearly marked DNA spacers to produce a clear response to 444 445 a recurring invasion by a similar virus DNA. The ascription of information to DNA occurs in the 446 process of info-autopoiesis: initially in Stage 1 to detect foreign DNA for incorporation of

- homologous spacers for future reference; and later in Stage 2 to use homologous spacers forcomparison to foreign DNA for interference.
- The author is not aware of a process by which a prokaryote bacterium would be able to distinguish
 'difference' or 'no difference' between selected homologous fragments of DNA. Clearly this is
 one of the distinctions between Stage 1 and Stage 2. In Stage 1 "difference" is necessary; in Stage
- 452 2 "no difference" is necessary. The adaptability of the prokaryote is certainly noteworthy,
- 453 depending on circumstance. Human DNA is a quaternary system with four nucleobases [Guanine
- 454 (G), Adenine (A), Thymine, and Cytosine (C)]. This means that each location can be occupied by
- 455 any of these four bases. An approach to use Bateson information to determine 'difference' or 'no
- 456 difference' might rely on quaternary biomolecular logic gates (Lai et al. 2014). This is beyond the
- 457 scope of this paper.

458 **2.4** Additional illustrative examples

In summary, the process of info-autopoiesis is shown to be a process of self-referenced, selfproduction of information that is common to all living beings. The implication is that information only exists in nature as the result of info-autopoiesis. And, there are no instances in nature where information is found to be independent of a process of info-autopoiesis by living beings. This section further explores the generic nature of info-autopoiesis.

464 2.4.1 Info-autopoiesis and labour

465 Let us begin with an example that few, even today, would ascribe as related to information: the 466 role of human actions and effort (labour) exerted on our environment. If we look at the 467 etymological origin of the word information, we find that it derives from the Latin stem *informatio*, which comes from the verb informare (to inform) in the sense of the action of giving a form to 468 469 something material as well as the act of communicating knowledge to another person (Capurro and Hjørland 2003; Capurro 2009; Díaz Nafría 2010; Peters 1988). The first of these meanings is 470 what allows us to allude a tie of information to human labour exertion. In other words, the term 471 472 information may be said to mediate the act of labour between humans and nature, i.e., the act of 473 labour as a metabolic connection between humans and nature is the action of giving form to 474 something material, i.e., labour *in-forms* matter. This can be regarded as self-referenced, self-475 production of information by the actions of humans on the environment. As a result, matter informs humans by reacting to the efforts of humans. It is a never-ending recursive and interactive 476 477 process of sensing-information-action-sensing-information-action, which is directly correlated to 478 Bateson's 'difference which makes a difference' associated to producing changes in our 479 environment. In other words, info-autopoiesis. This is illustrated by Bateson, when describing a labourer yielding an axe: 480

481 Consider a tree and a man and an axe. We observe that the axe flies through the air and 482 makes certain sorts of gashes in a pre-existing cut in the side of the tree. If now we want 483 to explain this set of phenomena, we shall be concerned with differences in the cut face 484 of the tree, differences in the retina of the man, differences in his central nervous system, 485 differences in his efferent neural messages, differences in the behavior of his muscles, 486 differences in how the axe flies, to the differences which the axe then makes on the face 487 of the tree. Our explanation (for certain purposes) will go round and round that circuit. 488 In principle, if you want to explain or understand anything in human behavior, you are 489 always dealing with total circuits, completed circuits. This is the elementary cybernetic 490 thought. (Bateson 1978, 458-459)

491 This is a description that evolves from a cybernetic perspective of the world by Gregory Bateson

- 492 that identifies differences or information that are pertinent, in this case, to the dynamic and
- 493 evolving labour effort at hand, which is no different from many typical labour tasks, and can be
- 494 ascribed as a series of material-informational efforts involving the use of the human brain, nerves, 495 muscles and sense organs (Cárdenas-García et al. 2017; Cárdenas-García et al. 2019). In short,
- muscles and sense organs (Cárdenas-García et al. 2017; Cárdenas-García et al. 2019). In short,
 labour and information or differences are intimately entwined and every artefact is the result of
- 490 info-autopoiesis and embodies information. This aspect of all human artefacts goes largely
- 498 unnoticed. One result is that we can easily recognize implements manufactured by humans no
- 499 matter their anthropological age (Aubert et al. 2019); as well as, signs of butchery in animal bones
- that are more than 2 million years old (Gibbons 2010; Sahnouni et al. 2018).

501 The effective search for food, the use and creation of tools for hunting and fishing, the 502 domestication of plants and animals, the origination of language and writing are all part of 503 engaging in a process of info-autopoiesis. Other animals also engage with info-autopoiesis: by 504 food selection; nest building by insects and birds; dam building by beavers; the use of pheromones 505 by animals to indicate the presence of food, to signify danger, territory or disposition to mate. This 506 offloading of tasks onto the environment simplifies mental and physical activity. As social 507 organisms, animals developed the capacity to manipulate their environments, build structures in 508 which they embed information enabling them to shape and manage the collective's activities. All 509 of these activities occur because animals interact with their environment in a process of info-510 autopoiesis that enhances their abilities for continued interaction. This is true for all living beings, 511 including plants.

512 2.4.2 Info-autopoiesis and Shannon information

513 The landmark work on the Mathematical Theory of Information by Shannon (1948) and Shannon 514 and Weaver (1949), central to the establishment of 'Information Theory' as a discipline, is a most 515 important example to illustrate the potential of info-autopoiesis. Figure 5 shows a block diagram of the elements of the communication system underlying the Mathematical Theory of 516 517 Communication. The Information Source initiates the communication process by the creation of 518 the message to be transmitted. Consider a human being as the *Information Source*. A human being decides, through a process of info-autopoiesis, to say 'hello' to someone else. The Transmitter 519 520 may be characterized as the point at which an agreed upon coding takes place such as that implied 521 in language. After which, the message gets transmitted by means of a Channel in the form of a 522 Signal, which may incorporate a Noise Source. This is typical of any signal that is launched into a 523 cable or the airwaves, which accumulates noise from multiple sources in its path, some predictable, 524 some not. The Receiver then receives the signal and decodes it, allowing its reception and 525 interpretation at its Destination. It may be even argued that this communication system is of a 526 general nature and each and every communication includes all of these steps (Cárdenas-García and Ireland 2019). 527

528 One aspect of this communication system is that it can be analysed mathematically in great detail, 529 even incorporating probabilistic prediction in order to recognize the originally sent message out of 530 all possible messages that might have been sent. But there is one aspect that this communication 531 system does not take into account, and that is the semantic content of the message. Shannon was 532 clear about the limitations of his theory and stated that "the semantic aspects of communication 533 are irrelevant to the engineering aspects" (Shannon and Weaver 1949: 8) though in some instances 534 the engineering aspects may reveal or imply semantic content. It is also clear that only a human 535 being as an Information Source and at the Destination, create and can make use of, respectively,

536 of this semantic content. This results in confusion as to how exactly the concept of "information" 537 should be used because it is a concept that is content and context dependent. In short, the 538 communication process may be likened to the process of conveying or transmission of messages 539 incorporating information, but it is not the information itself.

540 In the current epoch, producers and consumers of information are not only humans but also 541 machines that are designed and built for that purpose by humans. The info-autopoietic production and consumption of information by humans consists in being the producers and users of 542 543 Information and Communication Technologies (ICTs) such as wireless radios, cybernetic control 544 mechanisms, encryption machines, and television, evolving to the technological levels that make 545 items of common usage today such as cell phones, digital televisions, satellite communications, 546 the internet, social media, etc. These ICTs allow messages to be composed by humans/machines, 547 coded, optimally transmitted as communication signals that are received, denoised, decoded and 548 interpreted by humans/machines. This is the basis for the information age. The recognition that the 549 design, construction and use of ICTs involves a process of info-autopoiesis is undeniable.

550 In the past, we were constrained to use only our primary senses to engage in an info-autopoietic 551 process with nature. It is only recently that we have been able to expand the range of our senses by the artificial creations that we have brought about as a result of our scientific prowess. We have 552 553 satellites that help us with our weather, we have video cameras, we have infrared sensors, we have 554 ultraviolet sensors, we have x-rays, we have the ability to extend our time frame, we can assess vast expanses of space and time. We can send satellites into outer space that become interstellar 555 556 travellers. All of these technological marvels act to expand our sensorial capabilities beyond what 557 our five primary senses allow. And we do it through and as a result of our info-autopoietic 558 creativity.

559 **3. Discussion**

560 The focus of this paper is to propose the process of info-autopoiesis or self-referenced self-561 production of information by living beings as the source of all information. Key to this process of 562 info-autopoiesis is Bateson's *difference which makes a difference*, based on a commensurable, 563 self-referential feedback process inherent to the senses of all living beings.

564 To highlight the general nature of info-autopoiesis, two simulations based on one-parameter 565 feedback were introduced: a homeostatic control mechanism (thermostat) representative of a 566 mechanistic cybernetic system; and, a homeorhetic process inherent to biological systems. Also, 567 the process of active incorporation/interference of viral particles by prokaryotic cells and the 568 activation of CRISPR-Cas was discussed. Table 1 summarizes how one-parameter feedback serves 569 as the common denominator to describe these apparently disparate examples of info-autopoiesis. 570 This serves to illustrate the generic nature of information as a difference which makes a difference. 571 The nature of the difference is immaterial just so long as we deal with quantities/qualities that are 572 commensurable. And this is the nature of our primary senses, they are able to distil the process of

573 comparison to its most fundamental elements.

574 The main theme in all of these one-parameter feedback loop simulations (homeostatic, 575 homeorhetic and CRISPR-Cas system in prokaryotes) is that of info-autopoietic information. In 576 the homeostatic one-parameter semi-closed-loop cybernetic feedback circuit mechanism, 577 information is obtained using mechanical/electrical signals. One originates in an environmental 578 temperature sensor and the other as a set temperature parameter. Their commensurable comparison results in an *error* that generates a signal to turn the Air Conditioner On/Off. In effect this *error* acts as a cybernetic correction factor in pursuit of set temperature T_o . The information generated by the thermostat results from a design and its implementation by a human user that thrives on info-autopoietic information. The thermostat is the result of an info-autopoietic creation as it embodies information in its conception, design, manufacture and implementation.

584 In the theoretical homeorhetic one-parameter feedback loop simulation, information is obtained 585 using an action potential (AP) as a basis for info-autopoiesis. The driving AP originates from 586 selectively filtered environmental noise, which feedbacks into the comparator to make a 587 comparison using a single sensor. Once again it is possible to obtain an error or difference or 588 information from commensurable quantities/qualities that effects a specified reflex-action. The 589 role of this *error*, *difference or information* is not as a cybernetic correction factor, since it has to 590 overcome a specified threshold before instantiation of an action by the organism. It is this info-591 autopoietic information that leads to a dependent activation/inhibition process.

592 In the homeorhetic CRISPR-Cas prokaryote a one-parameter feedback loop simulation is 593 identified which uses DNA segments to engage in a process of info-autopoiesis to preserve its 594 living. This suggest that information only exists as info-autopoietic information. Note that the 595 prokaryote cell engages the perturbation using CRISPR-Cas to preserve some motifs of DNA. 596 Even if the cell can do this, it does not mean that it represents information processing or 597 information in Shannon and computational-connectionist terms. Nor does it mean that the info-598 autopoietic information that it produces has meaning beyond yielding an instantiation for a 599 dependent action. In other words, this does not necessarily mean that the incorporation of viral 600 DNA, which is a non-living particle, denotes information transfer and processing, but rather that 601 the prokaryote enhances its memory domain of a specific virus by uniquely selecting and adding 602 uniquely selected viral DNA portions to its own genome on which structure-determined 603 anticipative models can be constructed.

The CRISPR-Cas identification of exogenomic material that does not belong to the ontogenetic autopoietic organization of the cell and on which there is not yet an anticipative model, although it could be associative in nature, suggests that viral DNA may be non-sense as far as selfproduction is concerned. Either, the cell constructs an anticipative model of viral DNA, or the cell produces the virus continuously until its own lysis. Both of these options may correspond to an ecological behaviour.

610 It is also interesting to consider language and the communication process as an instance of a 611 process of info-autopoiesis similar to that exhibited by the homeorhetic CRISPR-Cas prokaryote. 612 Stage 1 could be construed as the incorporation phase where language gets embedded into the 613 memory of an HO/E through a continuous process of interaction with other individuals. Stage 2 could also be interpreted as an interference stage, where sounds get recognized by a process of 614 615 interference with the pre-existing embedded memory in the HO/E. While this may be considered 616 a far-fetched suggestion, it does allow for the use of info-autopoiesis as a potential explanatory 617 mechanism. 618 In all of these instances, a difference which makes a difference, a form of producing a dependent

activation/inhibition metabolic network, is the underlying framework of a process of infoautopoiesis, or of generating self-referenced information. In general, info-autopoiesis is a relational process that relies on comparing at least two instances of commensurable spatially/temporally quantities/qualities, to achieve self-referenced information. These three 623 examples progress from using commensurable units of mechanical/electrical signals, to action 624 potentials, to DNA segments. The specific nature of the underlying commensurable difference 625 which makes a difference is not important, because of its relational nature. The end result is always 626 info-autopoietic information that yields an instantiation of a dependent action. It can be achieved 627 in widely differing situations. What is primary is the process of a difference which makes a 628 difference. This may be regarded as the source of activation and inhibition of metabolic networks. 629 Additionally, info-autopoiesis only takes place in biological systems coupled with their 630 environment.

631 **4. Summary and Conclusions**

632 One of the most vexing aspects of information is the inability to define it to include 633 syntactic/quantitative and semantic/qualitative elements. The definition by Gregory Bateson of 634 information as a difference which makes a difference is shown to have this ability (Cárdenas-635 García and Ireland 2019). Additionally, a sensory commensurable, self-referential feedback 636 process may be shown to be inherent to Bateson's conceptualization of information. Further, the 637 process of info-autopoiesis, or information self-production, is fundamental to the conception of 638 information. In other words, all information does not exist except as a recursive process of info-639 autopoiesis. The unavoidable implication is that there is no information outside of that generated by living beings through the process of interaction with their environment. In the case of the HO/E 640 the role of labour is fundamental to the in-forming of all human creations. 641

What is suggested above contradicts the common notions of information in biology, where information is considered to be external to the organism and seems to have a purely objective, standalone existence, able to be processed, stored and transferred. In short, info-autopoiesis is a recursive process that exists in all biological systems and is postulated as the basis to understand information in any biological phenomena: from the single cell, to multi-cellular organisms, to consideration of all types of natural and non-natural phenomena, including tools and artificial constructions.

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List of Tables

Table $1-\mbox{Comparison}$ to discover the versatility of auto-infopoiesis

Example	Reference	Detected	Information
	Parameter	Parameter	Effect
Thermostat	T_o	Т	Analog/Digital Trigger of AC
Homeorhetic	e k _{fb}	Action	Action Potential
Model		Potential	Trigger
CRISPR-Cas:	Anticipative	Invading Virus	DNA Virus
Step 1	DNA segment	DNA segment	Storage
CRISPR-Cas:	Stored Virus	Invading Virus	DNA Virus
Step 2	DNA segment	DNA segment	Destruction

Table 1 - Comparison to discover the versatility of auto-infopoiesis

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Figure 1 – A one-parameter feedback loop simulation of a homeostatic control mechanism (thermostat) associated with a room air conditioning system



Figure 2 – A one-parameter feedback loop simulation of homeorhesis



Figure 3 – The effect of decreasing k_{fb} in the generation of richer dynamical behaviours



Figure 4(a) – Stage 1: Incorporation Stage of CRISPR-Cas events in a prokaryote (Adapted from Ishino et al. 2018)



Figure 4(b) – Stage 2: Interference Stage of CRISPR-Cas events in a prokaryote (Adapted from Ishino et al. 2018)



Figure 5 – The communication system