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# Application of the Monte Carlo method in modeling dusty gas, dust in plasma, and energetic ions in planetary, magnetospheric, and heliospheric environments.

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### **Key Points:**

- Kinetic modeling is necessary for understanding various phenomena of planetary and space physics.
- AMPS is a versatile and well-tested code with a long track record of application to simulate various planetary and heliophysics phenomena.
- The paper demonstrates the modeling capabilities of AMPS by presenting several examples of the code's prior application.

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#### 18 Abstract

Typical planetary and planetary satellite exospheres are in non-equilibrium con-19 ditions, which means that a distribution function that describes these environments is 20 far from Maxwellian. It is even more true when considering transportation of energetic 21 ions in planetary magnetospheres, making it necessary to solve the Boltzmann equation 22 in order to capture kinetic effects when modeling evolution of the distribution function 23 describing such environments. Among various numerical methods, the Monte Carlo ap-24 proach is one of the most used one for solving kinetic equations. That is because of the 25 26 relative simplicity of implementing and a high degree of flexibility in including new physical processes specific to a particular simulated environment. Adaptive Mesh Particle Sim-27 ulator (AMPS) was developed as a general-purpose code for solving the Boltzmann equa-28 tion in conditions typical for planetary and planetary satellite exospheres. Later, the code 29 was generalized for modeling dusty gas, dust and plasma, and for simulating transporta-30 tion of solar energetic particles and galactic cosmic rays in planetary magnetospheres. 31 Here we present a brief overview of the design, list the implemented physics models, and 32 outline the modeling capabilities of AMPS. The latter is supported by several examples 33 of prior applications of the code. 34

### 35 1 Introduction

Analysis of the rarefied gas flows in conditions typical for space and planetary environments can be performed by solving the Boltzmann equation (Eq. 1) that describes the temporal evolution of a distribution function  $f_1(\mathbf{x}, \mathbf{v})$ :

$$\frac{\partial f_1}{\partial t} + \mathbf{v}_1 \frac{\partial f_1}{\partial \mathbf{x}} + \dot{\mathbf{v}}_1 \frac{\mathbf{F}}{m} \frac{\partial f_1}{\partial \mathbf{v}} = \frac{\delta f}{\delta t},\tag{1}$$

where  $\delta f/\delta t$  is the source term. That term accounting for collisions between gas molecules is the standard collision integral derived from kinetic theory

$$\left(\frac{\delta f}{\delta t}\right)_{coll} = \int |\mathbf{v}_2 - \mathbf{v}_1| \left(f_1(\mathbf{v}_1')f_1(\mathbf{v}_2') - f_1(\mathbf{v}_1)f_1(\mathbf{v}_2)\right) d\sigma d\mathbf{v}_2.$$
(2)

Here,  $\sigma$  is the total scattering cross section. The integral describes collisions, by which collision partners having velocities  $\mathbf{v}'_1$  and  $\mathbf{v}'_2$  get velocities  $\mathbf{v}_1$  and  $\mathbf{v}_2$  after the collision. The nature of the term  $\delta f/\delta t$  allows one to include more complex physical processes in a simulation (such as chemical/photolytic reactions, energy exchange with internal degrees of freedom or interaction between multiple phases of the simulated environment).

A kinetic description becomes necessary when the collision frequency is not high 46 enough to maintain the distribution function at the equilibrium state on the temporal 47 and spatial scales of interest. In planetary and planetary satellite exospheres it is com-48 mon that the gas density is low and the resulting collision frequency is insufficient to main-49 tain the state of equilibrium in a simulated dusty gas flow because the temporal evolu-50 tion of the distribution function in these environments is dominated by non-thermal pro-51 cesses (such as sputtering, sublimation, or photolytic reactions). The formal criterion 52 for the need of a kinetic description is defined by the value of the Knudsen number 53

$$Kn = \frac{\lambda}{L},\tag{3}$$

where  $\lambda$  is the local mean free path, and L is a characteristic length related to the dominant processes in the simulated environment. In a gas of hard spheres, the mean free path is  $\lambda = 1/(\sqrt{2n\sigma})$ , where n is the gas number density and  $\sigma$  is the total collision cross-section.

The purpose of this paper is to introduce AMPS to a broad community. We do not intend this paper to be a manual for the code since the journal paper format is not ap<sup>60</sup> propriate for that purpose. Instead, the paper presents a range of the prior code's ap-

plications, so it could be used by the research community to decide whether the code is
 appropriate for their research.

AMPS is the result of almost two decades of active development. Its general-purpose 63 modules contain about 130,000 lines of code written in C++. AMPS is not an open-source 64 code in the strict sense of this definition, but it is available to the community in the source 65 code as a component of the Space Weather Modelling Framework (SWMF). The SWMF 66 is available for download at the Center for Space Environment Modeling (CSEM) of the 67 University of Michigan (http://csem.engin.umich.edu/tools/swmf). AMPS is also avail-68 able for runs on request through NASA's Community Coordinated Modeling Center (CCMC), 69 where it can be used for tracing trajectories of charged particles in simulated magnetic 70 fields (https://ccmc.gsfc.nasa.gov/models/modelinfo.php?model=AMPS). We still work 71 on the preparation a comprehensive manual for the code. At this point, the most straight-72 forward way of adapting AMPS to study a new problem is to start with multiple exam-73 ples of the prior code's applications that also are a part of the code distribution. Those 74 examples are simplified versions of simulations that we performed with AMPS previously. 75 We not only keep them as the starting point for adapting the code for simulating a new 76 environment, but also run them as a part of AMPS' test routine to check the code's con-77 sistency and ensure that any code modification has no negative effect on other simula-78 tions. These tests are performed nightly on several computers, local Mac and Linux work-79 stations, and a supercomputer. 80

81

## 1.1 Direct Simulation Methods

The Direct Simulation Monte Carlo (DSMC) method introduced by Bird (1994) 82 is one of the main numerical techniques used for modeling gas flows in the intermedi-83 ate to high Knudsen number regimes. The most important aspect of the method is that 84 modeling particle interaction via collisions is decoupled from that of their motion. The 85 latter is achieved using a probabilistic simulation of relevant physical processes performed 86 at the individual model particle level. The main advantage of such an approach is that 87 it allows one to study the effect of a wide range of non-thermal physical processes such 88 as chemical and photolytic reactions, relaxation of the internal degrees of freedom, or 89 interaction between multiple phases in a dusty gas flow. One of the most important fea-90 tures of the DSMC method is that it does not require the formulation of the integro-differential 91 equations that describe the evolution of a distribution function. 92

The basic idea behind the DSMC method is that the simulated system is represented 93 by a large but finite number of model particles. The dynamics of those particles is gov-94 erned by the same physical laws that govern the dynamics of real atoms and molecules 95 in the simulated gas flow. Macroscopic parameters of the gas or plasma (such as bulk 96 velocity, density, or temperature) are calculated by sampling microscopic properties of 97 the model particles (such as location and velocity). Statistical weight, which is the num-98 ber of the real molecules or atoms represented by a single model particle, is defined to qq relate the model particle population to the real gas flow. 100

Various numerical schemes of the DSMC method are based on the same assumptions as those that form the basis for the phenomenological derivation of the Boltzmann equation. The key concept that is in the foundation of all particle collision models is the concept of the collision frequency,  $\nu$ . Using a probability density,  $\omega$ , of a transition  $(\mathbf{v}_i, \mathbf{v}_j) \rightarrow$  $(\mathbf{v}'_i, \mathbf{v}'_j)$  for a pair of the particles, the collision frequency is defined as

$$\nu = \frac{n}{N} \sum_{i < j} \omega \left[ (\mathbf{v}_i, \mathbf{v}_j) \to (\mathbf{v}'_i, \mathbf{v}'_j) \right] d^3 \mathbf{v}_i \mathbf{v}_j = \frac{n}{N} \sum_{i < j} \sigma_t(g_{ij}) g_{ij}, \tag{4}$$

where  $g_{ij}$  is the relative speed between particles of species *i* and *j*,  $\sigma_t(g_{ij})$  is the total collision cross section that, in general, is a function of the relative particle velocity, *n* is the number density, N is the total number of the model particles in a cell, (N-1)N/2is a number of the collision pairs,  $\mathbf{v}_{i,j}$  and  $\mathbf{v}'_{i,j}$  are velocities of the colliding particles before and after a collision (Ivanov et al., 1998). The Boltzmann collision integral describes the effect of the binary collisions. Following that, particle collision schemes developed within the frame of the DSMC method are limited to modeling the effect of the binary collisions.

Due to the statistical nature of the DSMC method, statistical noise is always present in any simulation. Noise filtering techniques that could be used to decrease the effect of this statistical noise are developed and discussed by, e.g., Boyd and Stark (1989), Kaplan and Oran (2002). For the purposes of the variance reduction and improving the statistic sample, a variable particle weight is often used too.

There are multiple applications of the DSMC method for modeling various planetary phenomena. Some of them are discussed by, e.g. Combi (1994), Tucker et al. (2013), and Prem et al. (2019).

<sup>122</sup> 2 Adaptive Mesh Particle Generator (AMPS)

One of the primary objectives that defined the design of AMPS, was to create a general-purpose code for solving the Boltzmann equation that could be applied to simulating various space environments at conditions that go beyond those specified at the stage of designing the code. The most straightforward approach to achieve this goal was separating the general-purpose core from the specifics of particular applications.

This resulted in a multi-layer structure of AMPS that consists of three major com-128 ponents: (1) a preprocessor of the user input file, (2) the general-purpose core contain-129 ing the library of models of the physical process describing the dynamics of the rarefield 130 gas flows, the functionality that supports execution of the code when run on massively 131 parallel computers, and (3) a user module that contains models of physical processes and 132 characterization of the initial and boundary conditions that are specific to a particular 133 simulated environment. Therefore, AMPS is designed as a tool-box that a user can eas-134 ily apply for building models suitable for various environments and conditions. Such a 135 design allows the code to be indeed a general-purpose one by providing the capability 136 to model various environments without any modification of the core. The library of physics 137 models included in AMPS contains various models of particle collisions, internal degrees 138 of freedom, and photochemical reactions, as summarized in Table 1. Impact vaporiza-139 tion, thermal desorption, photo-stimulated desorption, sputtering models were used in 140 our modeling of the lunar exosphere. AMPS provides to a user a framework for imple-141 menting customized models of various physical processes. Among many, that includes 142 providing a user with a framework for implementing customized models of impact va-143 porization, thermal desorption, photo-stimulated desorption, and sputtering that would 144 fit the user-specific application needs. Table 2 summarizes environments simulated with 145 AMPS. The unique feature of AMPS is that those user-defined functions need only be 146 registered with the core in order to be used in a simulation. Hence, a user does not need 147 to know the intimate details of how AMPS is organized. That was one of the guiding 148 principles of the AMPS' design. 149

The other purpose of the core is the domain decomposition, load balancing, and memory management that are necessary for efficient computations on massively parallel computers. The domain decomposition procedure is based on splitting the Morton curve. As the criterion of such splitting, AMPS is capable of using the particle number, the actual computational time measured by the code during a course of a simulation, or a user-defined criterion for a domain decomposition.

AMPS employs adaptive Mesh Refinement (AMR). The mesh library is a part of AMPS' general-purpose code. An example of the mesh used in our exploratory study



Figure 1. Example of a mesh used in simulations conducted using AMPS. This mesh was 156 used in our exploratory study of the solar energetic particle propagation in the inner heliosphere. 157 Panels a) and b) show the cut through the computational domain in the ecliptic plane. For that 158 simulation, it is essential to both resolve the particle acceleration close to the Sun as well as their 159 transport toward the Earth, which only can efficiently be achieved using the AMR approach. 160 Panel a) shows a part of the domain that covers the region near the Sun. Panel b) shows the 161 entire computational domain. The unique feature of AMPS is that it does not require a box-type 162 domain. Solar energetic particles preferentially move along magnetic field lines. Therefore, there 163 is no need to discretize the entire inner heliosphere. For saving computational resources, only the 164 region near the Sun is covered completely. At larger heliocentric distances, the domain confines 165 around the magnetic field line connecting the Sun to the Earth. Panel c) shows the shape of the 166 computational domain. 167

of solar energetic particle propagation in the inner heliosphere is presented in Figure 1.
The unique feature of the implemented approach is that the computational domain does
not have to be rectangular. Instead, the domain can be designed such that it represents
the simulated problem more efficiently. Figure 1 shows the computational domain that
includes the Sun, the Earth, and a region surrounding the magnetic field lines connect-

ing them.

Modeling the planetary environment may require to simulate the interaction of the 176 planet or other objects with the ambient gas. AMPS has the capability of modeling the 177 gas/surface interaction with an arbitrarily complex surface. For that, AMPS employs 178 the triangulated surface representation. In a simulation, the object is "cut" out of the 179 computational domain using the cut-cell approach. An example of the surface that was 180 used in one of our prior simulations conducted using AMPS is presented in Figure 2. De-181 tails of that modeling is discussed in Section 3.2. AMPS uses NAIF SPICE for calcu-182 lating the relative positions and orientations of astronomical objects, spacecraft and point-183 ing directions of the spacecraft mounted instruments. 184



Figure 2. The figure illustrates the complexity of the shape used when simulating the coma 185 of comet 67P/Churyumov-Gerasimenko discussed later in Section 3.2. Panel a) shows the entire 186 nucleus and the distribution of the volatile source rate across the surface. The black line is the 187 trajectory of Rosetta during the final landing to the comet's nucleus. The panel b) shows the 188 surface triangulation of the nucleus shape that AMPS can use for representing the objects inside 189 the domain. The color represents the value of the cosine of the subsolar angle at the time of the 190 spacecraft touchdown at 11:19 a.m. GMT on 30 September 2016. The white line on panel is the 191 trajectory of the spacecraft during the final landing phase. AMPS uses NAIF SPICE to calculate 192 the relative positions and orientations of astronomical objects, spacecraft and spacecraft mounted 193 194 instruments.

AMPS is capable of refining the mesh automatically. In the current implementation, AMPS was used only for modeling 3D flows. 2D could be achieved by limiting the third dimension in a 3D simulation. However, the capability of modeling in 1D and 2D was a part of the original design of AMPS. During the code development, only 3D was used, and hence, only development of the 3D modeling capabilities was progressed. However, because of the code's design, the dimension-related parts of the code are isolated and could be added in case needed.

Solving the Boltzmann equation, AMPS is valid in the entire range of collision regimes starting from a collisionless and to collision dominated. In the latter case, the computational cost of employing the DSMC method in a simulation could become prohibitively high. In that case, fluid approaches (Navier-Stokes or Euler) that impose the assumption of equilibrium on the gas distribution function become more computationally efficient (Bird, 1994). In general, kinetic effects become a factor affecting gas flow dynam-

Section	Implemented models
Trajectory integration Particle collision model	Boris algorithm, Second order leap frog integrator Non-time counter (NTC), Majorant Frequency (MF)
Internal degrees of freedom	Borgnakke and Larsen (LB) model
Type of the surfaces	Sphere, Body of rotation, Generic triangulation of the surface shape
Parallel implementation	Domain decomposition, MPI+OpenMP implementation
Real gas effects	Photolytic reaction model
Dust	Charging dust grains, Gas drag

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Table 1. The lists of physics models implemented in AMPS.

ics at the Knudsen number Kn > 0.01. Though valid at smaller values of the Knudsen number, AMPS' application is optimal at Kn > 0.01.

212 2.1 Modeling neutral gas flows

Because modeling of all physical processes is done on the level of individual model 213 particles, a realistic simulation of a dusty gas flow with the DSMC method requires em-214 ploying an efficient physics model of the relevant processes. The numerical procedures 215 and functions that simulate those processes would be executed multiple times during a 216 single time step and applied to pairs or individual model particles. The underlying as-217 sumption of all physics models implemented within the DSMC method is that a char-218 acteristic time associated with a simulated physical process is much shorter than the typ-219 ical time associated with the evolution of the simulated system as a whole. That allows 220 one to treat such processes as a serious of instantaneous events that occur with a rate 221 defined by the properties of the simulated environment. 222

#### 223 2.1.1 Collision Dynamics

A number of collision models have been developed within the frame of the DSMC method. In simulations relevant to planetary applications of AMPS, the collision time during which particles can interact with each other is much shorter than that of the mean time between collisions. That makes it possible to introduce a probabilistic model that would describe the state of the collided particles after a collision based on their parameters before the collision.

All collision algorithms developed within the frame of the DSMC method share a 230 similar criterion of acceptance of a collision, but differ in the way the number of the col-231 lisions is calculated. In the first class of the algorithms, the total number of particle col-232 lisions between the model particles that could happen in a computational cell during a 233 single time step is evaluated at the beginning of the simulation procedure. In another 234 class of the collision schemes, a collision frequency is assumed to be constant during a 235 time step, and, therefore, the time intervals between following collision events are dis-236 tributed according to Poisson distribution. The characteristic of all collision models de-237 veloped within the DSMC method is conservation of energy and momentum on the level 238 of the individual colliding particle pairs: 239

$$\mathbf{p}_1' = m_r \mathbf{c}_r' + \frac{m_r}{m_2} \left( \mathbf{p}_1 + \mathbf{p}_2 \right) \tag{5}$$

$$\mathbf{p}_2' = -m_r \mathbf{c}_r' + \frac{m_r}{m_1} \left( \mathbf{p}_1 + \mathbf{p}_2 \right) \tag{6}$$

Environment	Employed model components	References
Mars' exosphere and corona	Neutral particles, non-thermal popula- tion, thermalization via collisions with the background atmosphere	Valeille et al., 2009a, 2009b; Lee et al., 2014a, 2014b
Exosphere of the Moon	Neutral particles, non-thermal pop- ulation, the non-inertial frame of reference that accounts for rotation of the Moon, the orbital motion of the Moon around the Earth, and the orbital motion of the Earth around the Sun; thermal accommodation at the Moon's surface, surface ad- sorption/desorption, volatile surface reservoir, photoionization	Tenishev et al., 2013
Coma of comet 67P/Churyumov- Gerasimenko	Neutral particles, non-thermal pop- ulation, irregularly shaped nucleus, photolytic reactions, internal degrees of freedom, inter-particle collisions,	Tenishev et al., 2008; Fougere et al., 2012, 2014, 2016; Combi et al., 2012, 2020,
Dust in a comet's en- vironment	gas/surface interaction Concurrent modeling of dust and gas, acceleration due to drag by the ambient gas	Tenishev et al. 2011, 2016
Hydrogen pop- ulation in the outer helio- sphere	Non-thermal population, interaction of the neutral hydrogen population with solar wind via charge exchange, production of the energetic neutral atoms (ENAs) via charge exchange reactions	Kornbleuth et al., 2020

**Table 2.** Summary of the prior applications of AMPS.

Here,  $\mathbf{p}_{1,2}$  and  $\mathbf{p}'_{1,2}$  are the momenta of colliding particles before and after collision,  $m_{1,2}$ are masses of the individual particles,  $m_r = m_1 m_2/(m_1 + m_2)$  is the reduced mass, and  $\mathbf{c}'_r$  is the relative velocity of the particles in the center of mass frame of reference.

Two particle collision models were implemented in AMPS and can be used in modeling gas flows when collisions are important.

Non-Time Counter scheme. The Non-Time Counter scheme (NTC) is one of the schemes
that are based on evaluating the number of prospective collisions between the model particles in a cell and then selecting a colliding particle pair from the particles in the cell.
The number of prospective collisions is evaluated before the randomly selected pairs are
checked for a possible collision (Bird, 1994; Abe, 1993). The total number of the model
particle pairs that are checked for collisions is

$$\frac{1}{2}N(N-1)w\frac{[\sigma_T(c_r)c_r]_{\max}}{d_m}\Delta t \tag{7}$$

Here, N is the total number of the model particles in a cell, w is a particle statistical weight,  $d_m$  is the volume of a cell,  $\Delta t$  is the time step and  $[\sigma_T(c_r)c_r]_{\text{max}}$  is the upper limit of the product of the total collision cross section,  $\sigma_T(c_r)$ , and the relative particle velocity  $c_r$ .

Pairs are randomly selected from the pool of particles populating a computational cell. The probability for a particle pair to participate in a collision is proportional to the product of the collision cross-section value and the relative speed of prospective collision partners. To account for that, each selected pair is accepted for modeling a collision event with a probability

$$p = \frac{\sigma c_r}{[\sigma c_r]_{\max}}.$$
(8)

<sup>260</sup> Majorant frequency scheme. Majorant frequency scheme is the second particle collision <sup>261</sup> model available in AMPS. Contrary to NTC, this approach uses an estimate of the col-<sup>262</sup> lision frequency, and the time interval between successive collision events,  $\tau$ , is distributed <sup>263</sup> according to the Poisson distribution  $p(\tau) \sim \exp(-\nu\tau)$ , where  $\nu$  is the majorant fre-

<sup>264</sup> quency of collisions and is defined as

$$\nu = \frac{1}{2}N(N-1)w \frac{[\sigma_T(c_r)c_r]_{\max}}{d_m}.$$
(9)

A pair is selected for modeling a collision with the probability in Eq. 8. The collision frequency can also be defined for the entire computational domain

$$\nu = \sum_{k=1}^{M} \nu_k = \sum_{k=1}^{M} \frac{1}{2} N_k (N_k - 1) w \frac{[\sigma_T(c_r)c_r]_{\max}}{d_m},$$
(10)

where M is the number of cells. The cell where a next pair of particles is checked for a collision is determined with the probability  $p = \nu_k / \nu$ .

Using a collision frequency calculated for the entire computational domain is one 269 of the techniques for reducing the statistical noise that is unavoidable in Monte Carlo 270 simulations. Such techniques are especially important in large-scale simulations. When 271 the number of the model particles per cell is limited, applying collision models on the 272 cell-by-cell basis might result in the frequency of the particle collision events deviating 273 from their theoretical value. To reduce the effect of the statistical noise, the collision event 274 rate can be calculated not for a given cell, but the entire domain. That will significantly 275 increase the collision scheme's accuracy because the number of simulated collisions will 276 increase. The prospective collision is considered successful with probability in Eq. 8. Markelov 277 and Ivanov (2000) suggested that 5-10 particle per cell is usually sufficient for gas flows 278 where chemical reactions are not important. 279

#### 280 2.1.2 Internal Energy Models

Energy exchange between the translation and internal degrees of freedom of molecules may have an important effect on the structure of the rarefied gas flow. A Borgnakke-Larsen model is included in AMPS for simulating that effect. The detail of the Borgnakke-Larsen model is described by Borgnakke and Larsen (1975). The major assumption of the model is that the energy spectrum of internal modes is continuous, and the post-collision energy is sampled from the local Boltzmann distribution

$$f(\epsilon) \propto \epsilon^{\zeta/2-1} \exp\left(-\frac{\epsilon}{kT}\right),$$
 (11)

where  $\zeta$  is number of degrees of freedom, and  $\epsilon$  is the internal energy.

The probability that in a collision event, there will be energy exchange between internal and translation degrees of freedom is  $p = 1/Z_{r,v}$ , which is the fraction of inelastic collisions. Here,  $Z_{r,v}$  are the rotational and vibration collision numbers, respectively. The generally accepted approximation is  $Z_r = 5$  (Gimelshein et al., 1998; Gimelshein et al., 1999).

Polyatomic molecules process several vibration modes. Each of the modes contributes
to the total vibration energy and can participate in the energy exchange independently.
The vast majority of the polyatomic molecules show a single vibration relaxation (Lambert,
1977), which means that only one vibration mode participates in the energy exchange
during a collision. Following Gimelshein et al. (1999) vibration relaxation model implemented in AMPS prohibits multiple relaxations (such as vibration energy relaxation in
both collision partners or two different modes of the same particles).

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#### 2.1.3 Photolytic reactions

The model of the photolytic reactions implemented in AMPS employs a speciesspecific and radiation-environment-specific life-time,  $\tau_0$ , to determine the probability that a model particle participates in such a reaction. The probability of the reaction to occur during time interval  $\tau$  is expressed by

$$p = 1 - e^{-\tau/\tau_0}.$$
 (12)

Photolytic reactions are often associated with excess energy released in e.g., photodissociation of a molecule. In AMPS, parameters of each reaction are stored in tables containing rates for each reaction channel, the list of the products, and the excess energies for each reaction channel. Appending those tables, a user can include new photolytic reactions in the simulation.

AMPS provides users with the capability for employing more sophisticated models of photolytic reactions. For that, a user needs to develop and register with the core two functions where the first one returns a lifetime of a particle. The second one simulates the appropriate transformation of the particle. AMPS would call those functions to determine the probability of a reaction to occur during a given time step and, in case a particle participated in that reaction, to simulate the outcome, respectively.

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### 2.2 Modeling Dust in Gas and Plasma Flows

A wide range of practically important problems requires modeling of the multi-phase dusty gas and plasma flows. AMPS has the capability for modeling neutral and electrically charged dust. In the implemented model, the dust grains are assumed to be chemically inert and spherical (Burt & Boyd, 2003; Benson et al., 2002; Morris et al., 2015). Motion of the dust drains is defined by the equation of motion

$$\frac{4\pi}{3}\rho_d a^3 \frac{d\mathbf{v}_d}{dt} = \frac{1}{2}\pi a^2 C_D \rho \left| \mathbf{u} - \mathbf{v}_d \right| \left( \mathbf{u} - \mathbf{v}_d \right) + \mathbf{F}_d,\tag{13}$$

where  $\mathbf{F}_d$  is the macroscopic force acting upon on a dust grain,  $\mathbf{v}_d$  is the velocity of the dust particle with radius a and bulk density of  $\rho_d$ , and  $C_D$  is the drag coefficient, and  $\mathbf{u}$  and  $\rho$  are the bulk velocity and the mass density of the ambient gas, respectively. In case when gravity has important effect on the dust grain trajectory the external force,  $\mathbf{F}$  is

$$\mathbf{F} = \frac{4\pi}{3}\rho_d a^3 \frac{GM}{r^2} \frac{r}{|r|},\tag{14}$$

where G is the gravitational constant, M and r are the mass and distance to the body (Tenishev et al., 2011).

### *2.2.1 Charging of the dust grains*

In most space environments, dust particles are exposed to plasma and UV radiation and, consequently, carry electrostatic charges. Their motion is influenced by electric and magnetic fields in addition to gravity, drag, and radiation pressure from sunlight. The dynamics of small charged dust particles can be surprisingly complex, leading to levitation, rapid transport, energization and ejection, capture, and the formation of new planetary rings.

The dynamics of the electrical charge in plasma is described by the current balance equation  $dQ/dt = \sum_k J_k$ , where  $J_k$  are the charging currents. In most space plasmas electron and ion collection currents and secondary and photoelectron emission currents dominate (Shafiq et al., 2011; Horányi et al., 2004). Models of the electron collection and ion collection currents, photo-electron current, and secondary electron current are implemented in AMPS following the description of these currents by Horányi (1996).

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### 2.3 Tracing charged particles in the background electromagnetic fields

One of the AMPS' distinct features is the capability to track charged particles in 343 the background electromagnetic field. The code allows a user to import the field infor-344 mation from a file, use the empirical model of the geomagnetic field T96 (Tsyganenko, 345 1995), or to receive simulated plasma and magnetic field data run-time through coupling 346 from the global MHD code SWMF/BATSRUS (Tóth et al., 2012) as described in Sec-347 tion 2.4. AMPS can import .dat files produced by the Tecplot visualization package and 348 import background plasma and field data saved by the SWMF/BATSRUS using the SWMF/BATL 349 library. 350

A unique feature of the code is that it allows one to use precalculated time-dependent fields stored in a set of files. For that, AMPS replaces the fields loaded in memory runtime and applies a linear interpolation in time and space to determine the field value in an arbitrary location.

AMPS provides users with the capability for using customized data formats for storing all background data. The procedure for reading and parsing the files needs to be developed by the user. This procedure would read the data, and saves it in the appropriate location of the cell centers' state vector that is defined during initializing AMPS.

The Boris algorithm and the guiding center approach are implemented in AMPS for tracing charged particles. Both relativistic and non-relativistic versions of those are implemented. For particle tracking, the time step is determined according to the expected characteristic value of the particle velocity and the cells size. To resolve a particle gyration, the time step can be split into multiple sub-steps, such that the gyration is correctly simulated. When the gyroradius is much smaller than any of the characteristic scales of the simulated problem, gyration becomes unimportant. In that case, guiding center approximation is a better choice.

AMPS provides a user with capabilities to use a customized procedure for particle tracking. To use these capabilities, users need to register such a function with the core. This mechanism allows one to build a sophisticated particle moving procedure. For example, this mechanism would enable one to create an advanced particle mover that applies relativistic treatments for some species (e.g., electrons) and non-relativistic for others (e.g., protons) or switch between those depending on the particle energy.

AMPS also provides a user with the capabilities of visualizing trajectories of the individual particles. Particles, whose trajectories would be saved in a separate file, are selected according to a user-defined criterion that needs to be registered with the core.

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#### 2.4 Coupling to the Space Weather Modeling Framework

AMPS is a fully functioning component of the Space Weather Modeling Framework 377 (SWMF) (Toth et al., 2012), where it is coupled to the global MHD code BATSRUS. 378 Two- and one-way AMPS/BATSRUS coupling has been implemented. One-way coupling 379 was used previously for simulating sputtering the surface of Europa by heavy ions. In 380 that simulations, BATSRUS was used to model Europa interacting with the Jovian mag-381 netosphere. AMPS was used to trace heavy ions in the magnetic field received from the 382 MHD simulation run-time via the coupling. A simplified version of that model serves now 383 one of the nightly tests that we run to verify the consistency of both codes nightly. 384

We use the two-way AMPS/BATSRUS coupling in a study of the solar wind propagating in the outer heliosphere as a part of the "Solar-wind with Hydrogen Ion Exchange and Large-scale Dynamics" (SHIELD) model (Kornbleuth et al., 2020). In the outer heliosphere, the interaction of the neutral population and solar wind ions via charge-exchange has a significant effect on the solar wind propagation. In that study, we use AMPS for simulating the neutral species. Being coupled with BATSRUS, it receives parameters of the simulated solar wind plasma from BATSRUS, simulates the plasma interaction with the neutrals and then passes the source terms to BATSRUS.

Recently, much effort has been made to implement the Particle-In-Cell (PIC) capabilities in AMPS to enable the code to calculate the electric and magnetic field selfconsistently. AMPS employed the energy-conserving semi-implicit particle-in-cell method (Lapenta, 2017). The semi-implicit nature of the methods allows the code to employ a coarser grid with a larger time step comparing to that of explicit PIC methods. The essential property of such an approach is that when the periodic boundary conditions are used in a simulation, the total energy, i.e., the sum of wave energy and particle energy, in the computational domain, is conserved within the round-off error.

AMPS and BATSRUS could be used in a plasma simulation, concurrently. The do-401 mains where the codes are applied are defined as AMPS simulating plasma in the regions 402 where the kinetic effect dominates, and BATSRUS is used elsewhere. In a realistic space 403 plasma simulations, kinetic effects are significant only in a relatively small part of the domain. Therefore, a combined approach would enable global plasma modeling that oth-405 erwise would be prohibitively expensive. The coupling is conducted run-time with ca-406 dence determined by the codes' numerical stability and the characteristic time of the sim-407 ulated physical processes (Daldorff et al., 2014). Unlike other particle-in-cell models, AMPS 408 provides users with the capability of employing the PIC approach in irregularly shaped 409 areas. These capabilities could find practical applications in, e.g., modeling Earth's mag-410 netotail and bow shock region. The PIC extension of AMPS is already implemented and 411 is currently in the testing stage. A publication describing the details of the updated code 412 is under preparation. Then the new PIC capabilities of AMPS will be available to the 413 community. 414

### <sup>415</sup> 3 Application of AMPS to model space and planetary environments

AMPS has a long successful track record of modeling various space environments.
 This section summarizes some applications and illustrates the potential of the code for
 modeling various physical phenomena.

419

### 3.1 Sodium Exosphere of the Moon

The example presented in this section illustrates application of AMPS to modeling the sodium component of the lunar exosphere (e.g., Tenishev et al., 2013). The dynamics of the lunar environment is controlled by a complex interaction of multiple physical processes (Mendillo, 2001; Stern, 1999). Molecular collisions in the lunar exosphere are negligible above the surface. As a result, the structure and dynamics of the exosphere are determined mainly by the source processes (e.g., sputtering, impact vaporization) and photoionization of the exospheric species.

With AMPS, we have studied the temporal and spatial variability of the sodium 427 component of the lunar exosphere and tail. Simulations were performed starting from 428 the lunar surface extending up to 400 lunar radii in the anti-sunward direction. The re-429 sults of this study are illustrated in Figure 3 that shows a comparison of the simulated 430 sodium brightness with the ground-based observations, and the effect of the Earth's grav-431 ity on the structure of the extended sodium tail. The most interesting feature present 432 in the latter is the effect of so-called gravitational focusing, which is an enhancement of 433 the sodium density in the lunar tail in the vicinity of the Earth caused by the Earth's 434 gravity. 435

The specifics of the lunar environment have been described in the user module. In particular, sodium efficiently sticks to the surface of the Moon on the night-side, and it is released back into the exosphere on the day-side. We have performed this modeling in the non-inertial frame of reference where the x-direction was pointing toward the Sun, and the y-directions was opposite to the Moon's velocity. The rate and the vector of the rotation were calculated in the user module using NAIF SPICE. The user module also calculated the centrifugal and centripetal accelerations.

453

### 3.2 Dusty gas coma of comet 67P/Churyumov-Gerasimenko

The tenuous cometary coma is a unique phenomenon in the solar system because 454 of the small influence that gravity has on the gas escaping from the cometary nucleus. 455 The main difficulty in modeling these environments is a rapid increase of the mean free 456 path with distance from the comet. As a result, the flow regime can vary starting from 457 a fluid continuum at the sub-solar point near the nucleus to a free flow at larger distances 458 or on the night side (Tenishev et al., 2008). Hence, studying a cometary coma requires 459 the use of a kinetic approach (Combi, 1996; Crifo et al., 2005; Skorov et al., 2016). We 460 have used AMPS to investigate the coma of comet 67P/Churyumov-Gerasimenko in many 461 occurrences as illustrated in this section (Tenishev et al., 2008; Fougere et al., 2012; Fougere, 462 2014; Fougere et al., 2016a, 2016b; M. Combi et al., 2020). The results reviewed in this 463 section were obtained using a comet nucleus model having about 100,000 triangular facets. 464 The boundary conditions are solely based on local solar illumination taking into account 465 self-shadowing from the nucleus. 466

The model results were compared with observations performed with ROSINA (Balsiger et al., 2007) and VIRTIS-H (Coradini et al., 1999) instruments onboard Rosetta, providing the first direct comparison between these measurements. The correlation between the model and the data was observed to be larger than 0.8, clearly showing agreement of the model results with observations. Examples of the calculated number density in the coma, and dust brightness are presented in Figs. 4 and 5.



Left: Modeled brightness of the sodium D2 line at the lunar limb as it would be Figure 3. 443 seen from the Earth. The plot presents a comparison of the model results with available ground-444 based observations (The statistics of observations is adapted from Sarantos et al. (2010)). Right: 445 Simulated density distribution in the sodium tail. The coordinate frame is defined as follows: x446 is directed to the Sun, y is opposite to the velocity of the Moon, and  $z = x \times y$  completes the 447 right-handed system. The upper panel (a and b) shows the density distribution and streamlines 448 in the tail as it passes the Earth. The lower panel (c and d) presents the same only when the 449 Earth is far from the tail. The vertical arrows show the position of the Earth. It can be seen that 450 Earth's gravity deflects the tail and causes a slight enhancement of the sodium density behind 451 the Earth. In detail, the results are discussed in our paper by Tenishev et al. (2013). 452

The user module included several components defining 1) the volatile source rate at the irregularly shaped nucleus and the outcome of the gas/surface interaction processes, 2) specifying injection of the dust particles into the coma, and 3) setting the calculation of the column density and dust brightness integrals. For that, the user module called the NAIF SPICE library to calculate Rosetta's location and orientation relative to the comet's nucleus and the pointing directions of the spacecraft mounted instruments.

491

### 3.3 Hot Oxygen Corona of the Mars' Atmosphere

Observations of Mars suggest that during the early Solar System its atmosphere 492 was much thicker and warmer than it is at the current epoch. Due to lack of an appre-493 ciable magnetic field, the Mars' upper atmosphere directly interacts with solar wind on 494 a global scale, enhancing the atmospheric loss through various escape mechanisms. Heavy 495 species, such as O and C, have been known to escape the Martian atmosphere via non-496 thermal mechanisms, generating the hot atomic corona in its upper atmosphere. Mod-497 eling Mars' extended hot oxygen corona was one of the planetary applications of AMPS. 498 Results of that study were detailed by e.g., Valeille et al. (2009a, 2009b), and Lee et al. 499 (2014a,2014b). A comparison of the modeled OI 130.4 nm brightness simulated using 500 AMPS with the MAVEN/IUVS coronal limb scan data for orbit 236 is presented in Fig-501 ure 6. The OI 1304 nm brightness is obtained by integrating the simulated exospheric 502 O density along the line-of-sight of the instrument and adopting a g-factor of  $4.74 \times 10^{-6}$ 503 The IUVS observation in Figure 6 shows the two-component structure of the exospheric 504



Figure 4. Left: Logarithm of the simulated H<sub>2</sub>O column density (in m<sup>-2</sup>) calculated at the location and orientation of the nucleus with respect to the Sun as on December 3rd, 2014, at 07:02 UT within the Rosetta/OSIRIS WAC FOV. Right: Comparison of the modeled H<sub>2</sub>O density with the density measured by ROSINA/COPS onboard Rosetta (in cm<sup>-3</sup>). It was shown in Bieler et al. (2015) that the model and the data have a correlation greater than 0.8. This results are discussed in detail by Fougere et al. (2016a, 2016b).



Figure 5. Comparison of the cometary dust brightness map observed by Rosetta VIRTIS-M
[observation I1\_00387442903 taken on 2015-04-12T07:14:00 (Migliorini et al., 2016)], with that
from our kinetic modeling of gas and dust in the coma of comet 67P/ChuryumovGerasimenko.
The observed brightness map is shown in the left panel, and the modeled one is shown in the
right panel. X- and Y-axes represent the instrument pixel grid. In detail, the results are discussed in our paper by Tenishev et al. (2016).

O density profile composed of thermal and non-thermal populations with different scale heights. The non-thermal population with a relatively larger scale height dominates the total exospheric O density at altitudes above 600 km. The non-thermal component of O is optically-thin, allowing the direct calculation of the O 130.4 nm brightness. As shown in Figure 6, the non-thermal O brightness predicted by AMPS reproduced the structure and magnitude of the observed OI 130.4 nm brightness at altitudes above 600 km. In this study, the user module contained functions describing the non-thermal oxygen source in Mars' atmosphere. Accounting for collisions of the simulated "hot" oxygen with the background atmosphere is crucial. Therefore, the user module loaded the background atmosphere model files, calculated the energy-dependent collision cross-section, and defined the energy limit below which oxygen atoms are considered thermalized and, hence, are removed from the simulation.



Figure 6. Left: The simulated Martian hot O corona (left) and hot C corona (right). The planes shown here are the Sun-Mars meridian plane with the Sun on the left. The color contour indicates the log10 of the hot O and C density  $(cm^{-3})$ . The arrow penetrating the planet is the axis of rotation, pointing the North pole. *Right:* A sample view of our model-data comparison (adapted from Lee et al., 2015a). Since we model the hot O corona, our focus is on the altitude region where hot O dominates the exospheric O. In this plot, hot O dominates above 670 km. In detail, these results are discussed in our papers by Lee et al., (2014, 2015a,b).

#### 524

#### 3.4 Energetic particles in the Earth's magnetosphere

There are two populations of energetic particles that are important for assessing 525 radiation hazard in geospace. According to the current paradigm, galactic cosmic rays 526 (GCRs) are produced by diffusive shock acceleration in supernova remnants from which 527 they diffuse to fill the whole galaxy (Blandford & Eichler, 1987). The composition of GCRs 528 is dominated by  $H^+$  and  $He^{2+}$  (Simpson, 1983; Mewaldt, 1994). In order to be observed 529 at Earth, these charged particles have to penetrate the electromagnetic fields of the he-530 liosphere, i.e., the region of space around the Sun that extends to farther than 100 au 531 and is dominated by the solar-wind plasma and by the interplanetary magnetic field (IMF). 532 On the other hand, Solar energetic particles (SEPs) are energetic particles ejected by the 533 Sun in events that are associated with coronal mass ejections (CMEs) and solar flares 534 (Reames, 1999). 535

<sup>556</sup> We have used AMPS for simulating propagation of energetic particles in geospace <sup>557</sup> under various geomagnetic conditions. Figure 7 presents results of modeling the prop-<sup>558</sup> agation of GCRs in geospace under the conditions at the magnetopause taken from Badavi <sup>559</sup> et al. (2011). For that we have performed global MHD modeling of the Earth's magne-<sup>560</sup> tosphere using SWMF/BATSRUS and assuming the following parameters of the solar <sup>561</sup> wind:  $v_{\rm SW} = 400$  km/s,  $T_{\rm SW} = 10^5$  K, and  $n_{\rm SW} = 5$  cm<sup>-3</sup>.

Rigidity cutoff is an essential characteristic of the geospace radiation environment. In SI system of units, it is defined as pc/e, where p is the momentum of the particle, cis the speed of light, and e is the electric charge of the particle. Rigidity cutoff is a func-



Figure 7. Left: An example of modeling of GCRs propagating in the Earth's magnetosphere. 536 In this test we have determined variation of the GCRs energy-dependent flux at different geo-537 centric distances ( $r = 3.7 \times 10^7$  m, and  $r = 5.7 \times 10^7$  m), and compared those with GCRs flux 538 outside of the magnetosphere. The latter was adopted from (Badavi et al., 2011). Our results 539 indicate that GCR H<sup>+</sup> with kinetic energy below 100 MeV are shielded by the Earth's magne-540 tosphere, which is consistent with modeling by Badavi et al. (2011). Right: Forward modeling 541 of the energetic particle transport in the Earth's magnetosphere. When flux and energy spectra 542 have to be derived only in a limited number of locations backtracking of the energetic particle 543 trajectory method is preferable because of smaller computation "cost." Here, backtracking means 544 that the particle equation of motion is integrated backward in time. The figure illustrates the 545 topology of the particle trajectories. The linear interpolation implemented in AMPS allowed us 546 to conduct accurate particle tracing starting in the vicinity of the Earth out to the outer part of 547 the magnetosphere. 548

tion of momentum and also implies the minimum energy level that a particle has to have 565 to reach a particular location in geospace. Figure 8 shows a cutoff rigidity map calcu-566 lated by AMPS. The map is calculated for the altitude of 500 km for quiet geomagnetic 567 conditions ( $p_{SW} = 2 \text{ nPa}$ , DST= 1 nT,  $B_y = -0.08 \text{ nT}$ , and  $B_z = 2 \text{ nT}$ ). The right 568 panel in Figure 8 shows a decrease of the cutoff rigidity during geomagnetic storm un-569 der conditions of the geomagnetic storm on March 17, 2015 ( $p_{\rm SW} = 10$  nPa, DST = -200570 nT,  $B_y = -7$  nT, and  $B_z = -10$  nT). The geomagnetic field derived from the T96 571 model (Tsyganenko, 1995) is used for the calculation. Using about 300 cores, it took about 572 1.5 hours for calculating a single cutoff rigidity map containing about  $10^5$  points. 573

Energetic particles were traced backward in time generated at an altitude of 500 574 km uniformly around the Earth. Because of the domain decomposition design implemented 575 in AMPS, the most efficient strategy for parallel calculations is to uniformly inject the 576 model particles from the sphere where the cutoff rigidity is calculated and trace them 577 back toward the computational domain boundary. That requires each particle to con-578 tain information about the location of its origin. The user module has reserved the nec-579 essary space in the particle state vector during AMPS' initialization. The user module 580 injects particles and samples the cutoff rigidity when a particle reaches the computational 581 domain boundary. 582

583



Figure 8. Example of applying AMPS for calculation rigidity cutoff. The map is calculated for an altitude of 500 km. *Left:* Rigidity cutoff map calculated for quiet geomagnetic conditions. One clearly can see the location of the South-Atlantic Anomaly caused by the tilt of the Earth's magnetic dipole with respect to the planet's rotation axis. *Right:* Depression of the rigidity cutoff during a geomagnetic storm. The calculation was performed for conditions of the geomagnetic storm on March 17, 2015. One can see that the general rigidity cutoff patterns have changed mostly in the mid-latitude region.



### 3.5 Hydrogen population in the outer heliosphere





The outer heliosphere is characterized by very large distances, as the solar wind 594 expands into the interstellar medium. This forms a cavity on the order of 100 au sur-595 rounding the Sun and can affect the space environment in the wake of the heliosphere 596 for thousands of au. The solar wind-interstellar medium interaction is another unique application where both fluid and kinetic processes are important. Neutral hydrogen is 598 the dominant component of the interstellar medium and plays a crucial role in control-599 ling the shape and size of the heliosphere (Baranov & Malama, 1993). They stream through 600 the heliosphere interacting with solar wind through resonant charge exchange. The Solar-601 wind with Hydrogen Ion Exchange and Large-scale Dynamics (SHIELD) model is a global, 602 self-consistent kinetic-MHD model of the outer heliosphere which utilizes AMPS to de-603 termine the evolution of the neutral atoms streaming through the heliosphere and the 604 resulting impact of charge exchange on the plasma. 605

Figure 9 illustrates results of the SHIELD model and the application of AMPS to the outer heliosphere (Opher et al. 2015, Kornbleuth et al. 2020). This example illustrates the coupling of AMPS with the Outer Heliosphere (OH) component of the SWMF. Here, in addition to specifying the boundary conditions, the user module needs to define the outcome of the interaction of species simulated with AMPS and those modeled with SWMF/BATSRUS. A simplified version of this model serves as a nightly test.

612 **3.6** Conclusion

The primary purpose of the paper is to present a general-purpose kinetic particle code, AMPS, to the community, describe the basic principles of its design, list the implemented physical models, and give an overview of the range of possible applications. The advantage of AMPS as a modeling tool when simulating dusty gas, dusty plasma, and transportation of the energetic ions (e.g., ions of planetary origin, solar energetic particles, galactic cosmic rays in planetary magnetospheres) is the simplicity and flexibility of adapting the code to a particular environment.

The range of applications of the code is not limited by the functionality implemented 620 in the general-purpose core of the code. The guiding principle of the code design was to 621 create a tool that could be extended in the future to simulating various environments 622 at conditions that go beyond the specifications at the stage of designing the code. The 623 chosen design has allowed us to achieve this goal. The list of the prior applications of 624 the code spans from planetary satellite and planetary exospheres and cometary comae 625 up to modeling transportation of solar energetic particles and galactic cosmic rays in plan-626 etary magnetospheres. 627

AMPS was transitioned to the NASA's Community Coordinated Modeling Center (CCMC). As the code is now available to the community, we expect that AMPS will become a demanded modeling tool, and the list of its successful applications will multiply.

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