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# EO-1 Hyperion Reflectance Time Series at Calibration and Validation Sites: Stability and Sensitivity to Seasonal Dynamics

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**Abstract**—This study evaluated Earth Observing 1 (EO-1) Hyperion reflectance time series at established calibration sites to assess the instrument stability and suitability for monitoring vegetation functional parameters. Our analysis using three pseudo-invariant calibration sites in North America indicated that the reflectance time series are devoid of apparent spectral trends and their stability consistently is within 2.5–5 percent throughout most of the spectral range spanning the 12+ year data record. Using three vegetated sites instrumented with eddy covariance towers, the Hyperion reflectance time series were evaluated for their ability to determine important variables of ecosystem function. A number of narrowband and derivative vegetation indices (VI) closely described the seasonal profiles in vegetation function and ecosystem carbon exchange (e.g., net and gross ecosystem productivity) in three very different ecosystems, including a hardwood forest and tallgrass prairie in North America, and a Miombo woodland in Africa. Our results demonstrate the potential for scaling the carbon flux tower measurements to local and regional landscape levels. The VIs with stronger relationships to the CO<sub>2</sub> parameters were derived using continuous reflectance spectra and included wavelengths associated with chlorophyll content and/or chlorophyll fluorescence. Since these indices cannot be calculated from broadband multispectral instrument data, the opportunity to exploit these spectrometer-based VIs in the future will depend on the launch of satellites such as EnMAP and HypIRI. This study highlights the practical utility of space-borne spectrometers for characterization of the spectral stability and uniformity of the calibration sites in support of sensor cross-comparisons, and demonstrates the potential of narrowband VIs to track and spatially extend ecosystem functional status as well as carbon processes measured at flux towers.

**Index Terms**—Earth Observing-1, eddy covariance, flux sites, forest, grassland, Hyperion, imaging spectroscopy, Pseudo-Invariant Calibration Sites, reflectance, remote sensing, seasonal carbon dynamics, spectral time series, vegetation function, woodland.

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## I. INTRODUCTION

WITH the changing climate, it has become critical to understand land cover dynamics as ecosystems cycle through seasonal changes and respond to variable environmental conditions. The ability to monitor ecosystem carbon accumulation is of great interest when evaluating the carbon balance between various ecosystems. Long term satellite observations and land cover records are required for such environmental monitoring and change detection. However, multi-date satellite monitoring of the terrestrial environment requires adequate radiometric stability of the data to carry out biophysical and geophysical parameter surveys that are of sufficient sensitivity and accuracy, and are reproducible over time.

The Hyperion<sup>1</sup> spectrometer carried on the Earth Observing One (EO-1) satellite has provided a rich high resolution data record over more than a decade (2001–2012+). Hyperion spectra cover the 400 to 2500 nm range with 242 overlapping spectral bands (196 of which are well calibrated) at approximately 10 nm spectral resolution and 30 m spatial resolution, typically imaging a 7 km × > 100 km scenes. Use of these hyperspectral images to characterize terrestrial surface states and processes has rapidly increased since 2009, when the EO-1 Hyperion archive was made available at no cost by United States Geological Survey (USGS) [1]. Hyperion data have several advantages over multispectral satellite systems: they provide information critical for atmospheric correction of top of atmosphere (TOA) radiances to derive surface reflectance, they enable the use of a broad array of spectral indices derived throughout the continuous spectra, and they provide the ability to simulate bands equivalent to broadband systems covering similar spectral ranges [2].

Hyperion data have been successfully used in discriminating among land cover types and species groups [3], deriving VIs [2], [4], estimating biophysical products such as forest canopy nitrogen [5], [6], primary production [6], forest canopy closure [7], vegetation fractional cover [8], [9], and canopy biophysical properties such as greenness, wetness and pigment content [10].

Earlier studies, describing the signal to noise ratio (SNR) of Hyperion's radiance measurements in comparison to AVIRIS, the Landsat series and others [10]–[14], have found the data comparable to ~1990 Airborne Visible/Infrared Imaging Spectrometer (SNR ~ 160:1 in the 0.4–1.0 μm; and ~ 40:1 in the

<sup>1</sup>Any use of trade, firm, or product names is for descriptive purposes only and does not imply endorsement by the U.S. Government.

1.0–2.5  $\mu\text{m}$ ). Aggregating the 10-nm Hyperion bands into simulated ETM+ multispectral bands has allowed radiometric cross calibration between the Hyperion and Landsat ETM+, or MODIS data, for comparison and conformation of the obtained results [15]. However, most of these studies analyzed individual images, or carefully selected scenes from the same season. In 2008, the EO-1 mission expanded the collection of time series for calibration and validation efforts, to assemble datasets essential for vegetation assessments. Currently there are collections of ten or more images at select sites with eddy covariance towers for examining the dynamics of various land covers at high spectral and spatial resolutions [1].

The goal of this study is to assess the potential of Hyperion reflectance time series to describe seasonal changes in ecosystem functioning. Specific objectives include: 1) evaluation of the temporal stability of Hyperion's reflectance measurements over three pseudo-invariant calibration sites (PICS) which have little seasonal variation in land cover, and 2) quantification of the relationships between VIs from Hyperion's reflectance time series and ecosystem carbon accumulation (e.g. Net and Gross Ecosystem Production), measured over three validation flux sites (VFS) which have strong and very different seasonal leaf area index patterns.

The incoming surface radiance signal is subject to several factors that influence the stability and sensitivity of Hyperion (and any orbital) spectral data. These include sensor shortcomings such as relatively low SNR, and deficiencies in the information and algorithms utilized in initial calibration and processing streams (e.g., standards, measurements, models and accuracy of calibrations performed along the data processing chain). To study surface properties using imaging spectrometer data, accurate removal of atmospheric absorption and scattering effects (e.g., through implementation of atmospheric correction routines) is necessary to derive surface reflectance from top of atmosphere (TOA) radiances. These procedures account for the differences in solar illumination during the year at various locations and correct for differences in atmospheric conditions at the time of acquisition [16]. However, they may also introduce variations into the reflectance data, due to differences between the modeled and real atmospheres at the time the data were collected [2], [16]. As a result, the cumulative error may lead to a variation in the reflectance signal of several percent in each spectral band, which could severely limit the sensitivity of the data, and/or introduce an apparent spectral trend.

Vegetation properties are often assessed by computing VIs using a combination of two or more spectral bands. VIs are designed to relate more clearly to biophysical variables (e.g., chlorophyll, water content) than the original bands alone [17]. Also they tend to minimize variations not related to the variables of interest, such as illumination levels [4], [17]. VIs have been applied to the characterization of vegetation cover using broadband systems, but have even more robust application using hyperspectral data and specific narrowbands for the estimation of vegetation function (e.g., stress, water and light use efficiency, and chlorophyll fluorescence parameters) [5], [17], [19] and a range of leaf-level traits (e.g., pigments, cellulose and water content) [5], [18]–[21]. Roberts *et al.* [4] summarized VIs into three general categories of vegetation properties: structural, bio-

chemical, and plant function/stress. Recent reviews of hyperspectral VIs [4], [17] note that their use with reflectance time series remains to be thoroughly examined.

## II. METHODS

### A. Study Sites

To assess the stability of the Hyperion reflectance spectra we used three desert calibration sites and three vegetated validation sites. The vegetated sites were selected to provide a variety of vegetation types.

1) *Pseudo-Invariant Calibration Sites (PICS)*: The three desert Pseudo-Invariant Calibration Sites (PICS) are located in the western USA and include: Frenchman Flat (FMF), Ivanpah Playa (IP) and Railroad Valley Playa (RRVP). They are characterized by high reflectances, high spatial and temporal uniformity, high sun elevation, and minimal cloud cover. The PICS (Table I) are among the sites endorsed by the Committee on Earth Observing Satellites (CEOS, <http://www.ceos.org/>) as standard references for the post-launch calibration of space-based optical imaging sensors [22]. The FMF site is situated in the homogeneous section of the Frenchman Flat dry lakebed located NNE of Mercury, Nevada, USA on the Nevada Test Site range. It serves as The LED-based Spectral (LSpec) vicarious calibration test site [23]. The site is also included in the Aerosol Robotic Network (AERONET [24]), and is ideal for use with sensors with less than 300 m pixel size. The IP site is a dry-lake playa, located in the Prim Valley on the border of California and Nevada, USA and is large enough for use with mid spatial resolution sensors ( $\sim 1$  km). The RRVP site is located in a large dry lakebed in central Nevada, approximately 300 miles north of Las Vegas and 100 miles east of Tonopah, and has a dry climate typical of the high desert of western USA [25]. Because of its large size, RRVP is suitable for sensors with even larger footprints (1–10 km), and is automated with instrumentation used extensively for the vicarious calibration of terrestrial imaging sensors covering the visible (VIS), near-infrared (NIR) and shortwave infrared (SWIR) wavelength ranges [26], [27].

The surface layers and composition of all three sites are relatively smooth and spatially homogeneous, consisting of compacted clay-rich lacustrine deposits [22]. All sites suffer from the presence of iron ( $\text{Fe}^{3+}$ ) absorption features, which effects the spectral properties and is a typical characteristic of playas in this region of the USA [22], [25]. Compared to RRVP, the surface layers at FMF and IP contain less loose sand and evaporate salts, and are therefore more stable and spatially uniform [22], [25]–[28].

2) *Vegetated Validation Flux Sites (VFS)*: The selected Validation Flux Sites (VFS) are located in Konza Prairie near Manhattan, Kansas, USA, the Duke Forest near Durham, North Carolina, USA, and Mongu, Zambia in Africa. Their characteristics are also described in Table I. The vegetated sites were part of the FLUXNET network, and had instrumented towers providing meteorological and eddy covariance data (Table I). The Konza and Mongu VFS are a part of the network of Earth Observing System (EOS) Land Validation Core Sites for land product validation.

TABLE I  
DESCRIPTION OF CALIBRATION AND VALIDATION STUDY SITES: LOCATION AND LAND COVER TYPE/SPECIES COMPOSITION

Site Name	Center Latitude	Center Longitude	Elevation (m asl <sup>†</sup> )	Land Cover and Vegetation Type
Frenchman Flat, NV, USA	36.80928	-115.93479	940	Playa, no vegetation
Ivanpah Playa CA, USA	35.5692	-115.3976	813	Playa, no vegetation
Railroad Valley NV, USA	38.50	-115.69	1435	Playa, no vegetation
Duke Forest NC, USA	35.973583	-79.100433	170	Mixed Hardwoods: <i>Carya tomentosa</i> , <i>C. glabra</i> , <i>Liriodendron tulipifera</i> , <i>Liquidambar styraciflua</i> , and oaks ( <i>Q. alba</i> , <i>Q. michauxii</i> , <i>Q. phellos</i> ); Loblolly plantation: <i>Pinus taeda</i> L.
Konza, KZ USA	39.080002	-96.559998	320-440	Tallgrass prairie, C4 grasses: <i>Andropogon gerardii</i> , <i>Sorghastrum nutans</i> , <i>Panicum virgatum</i> , <i>Schizachyrium scoparium</i>
Mongu, Zambia	-15.4377	23.2527	1080	Miombo Woodland: <i>Brachystegia bakeriana</i> / <i>Brachystegia spiciformis</i> (95/5 %)

<sup>†</sup>asl - above sea level

The Duke Forest (North Carolina, USA) study site includes two adjacent ecosystems, with flux towers operating during 2001–2010 by Duke University. One of the towers was located in an even-aged 20+ year-old loblolly pine (LP) plantation and the other in a mixed hardwood stand (HW). The two forests differ in predominant vegetation cover type and canopy structures, while experiencing nearly identical climatic and edaphic conditions. The LP site was established in 1983 following a clear cut and a burn events [28], [29], and is comprised primarily of *P. taeda* with some emergent *Liquidambar styraciflua* L. and a diverse sub-canopy. The mixed HW mature forest is a 90–110-year old stand, dominated by oaks (*Quercus alba* L., *Q. michauxii* Nutt., *Q. phellos* L.) and hickories (*Carya tomentosa* (Poir.) Nutt., *C. glabra* (P. Mill). Sweet.), with some yellow poplar (*Liriodendron tulipifera* L.) and sweetgum (*Liquidambar styraciflua* L.) [28], [29].

The Konza Prairie site (Kansas, USA, Table I) includes two flux towers located in grasslands managed under one- and four-year burn cycles [30]–[32]. The vegetation is primarily (>90%) native tallgrass (TG) prairie species dominated by perennial C<sub>4</sub> grasses such as *Andropogon gerardii*, *Sorghastrum nutans*, *Panicum virgatum* and *Schizachyrium scoparium*. However, numerous sub-dominant grasses, forbs and woody species contribute to the high floristic diversity of the Konza Prairie site [30], [31]. It has a continental climate characterized by warm, wet summers and dry, cold winters. The mean annual precipitation of 835 mm is sufficient to support woodland or savanna vegetation. Consequently, drought, fire and grazing are important in maintaining this topographically complex grassland [31], [32].

The African validation site near Mongu, Zambia (Table I) is monitored by one eddy covariance tower located in a forested area [33], [34] where flux measurements have been acquired since 2008. The site is dominated by hardwood trees and referred to as Miombo woodland (MW) with a fractional cover of 65% as measured by Scholes *et al.* [35]. Grass cover is minimal [33] with the remaining surface area consisting of bare aeolian sand. Canopy cover heterogeneity is largely the result of disturbances associated with subsistence forestry, grazing, and fire, which contribute to the patchiness of the land surface. Based on a 20-yr average (1973–93) mean annual rainfall for Mongu

was 879 mm, with 94% of this amount received during the wet season months of October–March [34].

## B. Data Collections and Processing

1) *EO-1 Hyperion Time Series Collection*: The Hyperion image collection in the 2001–2011 timeframe over the study sites is comprised of more than 350 images with varying extents of cloud cover. The comparisons and analyses were restricted to cloud-free (<20%) data with a maximum of five degrees difference in sensor viewing geometry. The optimal Hyperion images (Table II) were selected and downloaded as calibrated at-sensor radiances from the USGS (EarthExplorer, <http://earth-explorer.usgs.gov/>), and processed using ENVI [36], ERDAS Imagine [37] and PRISM [38].

The TOA radiances measured by Hyperion were converted to surface reflectances, using the commercially-available software, Atmosphere CORrection Now (ACORN) [39], designed for pushbroom imaging spectrometers with cross-track spectral calibration variation. ACORN uses the MODTRAN4 [40] radiative transfer model, constrained by the elevation and the observation geometry, to explicitly simulate the absorption and scattering effects of atmospheric gases and aerosols, in order to produce apparent surface reflectance. A key characteristic of ACORN is the use of full spectral fitting methods to solve for the overlap of absorptions between water vapor and liquid water in surface vegetation [39]. From calibrated Hyperion radiances, the ACORN routine (mode 1 pb) produced a high spectral resolution apparent surface reflectance image cube and a water vapor single-band image for each acquisition (Table II) estimated on a pixel-by-pixel basis using the absorption bands at 940 and 1150 nm. Both transmitted radiance and atmospheric reflectance were calculated for each pixel using the derived water vapor, atmospheric pressure, site elevation, and aerosol optical depth estimates. Apparent surface reflectance was derived using either mid-latitude summer or winter atmospheric models [39] depending on the acquisition date and site location. ACORN offers artifact (e.g., spectrally incoherent noise) suppression options, which were not employed in order to preserve original spectral variability. Also, the images were not geographically or geometrically rectified.

TABLE II  
EO-1 HYPERION IMAGES USED IN THIS STUDY

Site Name	Cloud-free Images [number (year)]	Analyzed Scenes by Acquisition Date [doy/year]
Frenchman Flat, USA	6 (2003-2009)	12/2009, 178/2003, 199/2008, 219/2007, 222/2008, 270/2007, 337/2008.
Ivanpah Playa, USA	6 (2002-2005)	68/2003, 81/2002, 148/2003, 169/2005, 180/2003, 244/2003, 12/2006, 41/2006, 120/2007, 135/2007, 165/2001, 174/2004, 176/2008, 181/2001, 197/2001, 209/2007, 234/2006, 272/2005, 284/2005, 288/2007.
Railroad Valley, USA	14 (2001-2008)	
Duke (HW and Pines), USA	7 (2008-2009)	6, 34, 162, 180, 203, 290, 300.
Konza Prairie, USA	7 (2008-2009)	13, 28, 202, 205, 261, 266, 302.
Mongu, Zambia	25 (2008-2009)	22, 40, 107, 115, 141, 145, 158, 163, 171, 173, 176, 181, 191, 194, 199, 201, 204, 225, 230, 253, 284, 291, 296, 302, 365.

TABLE III  
SUBSET OF HYPERION 224 BANDS TO 171 AT PICS AND 159 VFS

Array	Pseudo Invariant Cal. Sites (PICS)		Vegetative Sites	
	Bands	Wavelengths (nm)	Bands	Wavelengths (nm)
VNIR	8-57 (49)	426-926	16-57 (49)	498-926
SWIR1	79-120 (41)	993;-1346	78-120(41)	993;-1346
SWIR2	129-165 (36)	1518-1800	137-164(27)	1518-1790
SWIR3	179-224 (45)	1942-2395	198-213(42)	2133-2285
Total	(171)		(159)	

Band subsetting was used to remove uncalibrated and overlapping bands, and bands with low SNR and high variability (e.g., bands adjacent to water absorption features), resulting in subsets of 171 bands for PICS and 159 for VFS, covering portions of the VIS, NIR and SWIR regions (Table III). The smaller spectral band subset at the VFSs is due to the stronger effect of canopy water absorption features on the adjacent bands, causing errors in the atmospheric correction procedure. Bands in wavelength ranges around 1430 nm and 1930 nm were also eliminated due to strong disturbance by atmospheric water vapor.

Local spatial statistics were used to discern spatially homogeneous areas for spectral extraction at the PICS. We evaluated spatial uniformity for all dates by calculating the Getis Ord ( $G_i^*$ ) statistics and Moran's I index in ENVI [41]. A cluster of pixels with high (or low) digital count values is indicated by largely positive (or negative)  $G_i^*$  values [36], [41]. Moran's I index, a measure of local spatial uniformity, ranges between +1 and -1, where +1 defines spatially correlated pixels (i.e. those with clustered data values) and -1 spatially uncorrelated data (i.e., pixel values with no clustering). We also calculated mean reflectance at each band and used the coefficient of variation (CV) and the ratio of the standard deviation (SD) over the mean ( $x$ ) [22], to characterize the radiometric and temporal stability for each band.

We identified the position of the FLUXNET sites on the images using available maps, photos and images. Spectra were extracted, from corresponding areas, from 20–30 pixels within the Hyperion images. We calculated mean reflectance at each band and used the coefficient of variation (CV) and the ratio of the standard deviation (SD) over the mean ( $x$ ), to characterize the temporal variation for each band. The slight shifts in the overlay of the pixels of spectral extraction on the ground unavoidably

introduce statistical uncertainty and random variation, which maybe more pronounced at the Mongu site, where the woodland canopy cover is more sparse and variable. We chose to retain the nearly full-spectral range of Hyperion data, in order to test the ability of the band depth analysis and spectral VIs to detect changes in vegetation function and to track CO<sub>2</sub> seasonal dynamics measured at the FLUX towers.

2) *Eddy Covariance Data at Validation Flux Sites (VFS)*: Net Ecosystem Production (NEP) provides a comprehensive measure of ecosystem net carbon accumulation which is defined as the carbon accumulation within ecosystems and is the difference between gross primary production (GPP) and ecosystem respiration (Re) [42]. NEP is measured with eddy covariance methods using sonic anemometers mounted on towers above the canopies [42]. For each VFS, we assembled a set of data (e.g., NEP and associated meteorological parameters such as precipitation and temperature) covering the timeframe of the Hyperion image acquisitions. For the analysis, the flux data and the Hyperion reflectance were paired by date of acquisition. Data for the Mongu site were downloaded from the CarboAfrica web site ([http://www.carboafrica.net/data\\_en.asp](http://www.carboafrica.net/data_en.asp), PI for Mongu Dr. W. Kutsch), and flux data from the other sites were provided by their tower managers Dr. Brunsell (KNZ-LTER) for the Konza Prairie site, and Dr. Kimberly Novick (The School of the Environment at Duke University) for the Duke Forest sites.

Midday flux averages were calculated as the average of the observations collected between 11:00 AM and 1:00 PM local time. The data for Mongu and Konza were not gap-filled. The Duke NC data were gap-filled using methods described in Stoy *et al.* 2006 [43].

NEP was partitioned into Gross Ecosystem Production (GEP) and Ecosystem Respiration (Re). For the Duke and Konza sites,

daytime Re was calculated from relationships developed between nighttime NEP and air temperature [44], [45]. For the Mongu site, air temperature alone did not provide a good description of variation in Re, so the Re model used both air temperature and soil moisture. GEP was computed as the sum of NEP and Re.

3) *Reflectance Time Series for Validation Sites*: The Hyperion time series included multiple scenes in order to construct a phenology season, with many images collected over multiple years. Due to the non-continuous temporal resolution (i.e. tasked and targeted image acquisition) of Hyperion datasets used in this study (Table II), annual documentation of seasonally representative vegetation phenological changes was not possible. However, both spectra and the corresponding eddy covariance CO<sub>2</sub> flux measurements were organized in seasonally successive chronological order based on the day of year (DOY), regardless of acquisition year.

4) *Continuum Removal and VIs From High Spectral Resolution Spectra*: We applied the ‘band depth analysis of absorption features’ method [46] that enhances and standardizes known spectral chemical absorption features. Continuum removal normalizes reflectance spectra to allow comparison of individual absorption features from a common baseline [38], [46], [47]. The continuum is a straight line segment fitted over the hull of the spectrum, thus connecting the local maxima [46]. The continuum-removed reflectance is obtained by dividing the reflectance value in the absorption minimum by the reflectance level of the continuum line at the corresponding wavelength. The Processing Routines in IDL for Spectroscopic Measurements (PRISM) provide a uniform and automated approach for obtaining continuum removed (CR) Hyperion reflectances, with spectral profile output having values between 0 and 1 for enhancing the selected features [38], [46].

Six known chemical absorption features have been associated with foliar chemistry, including the VIS chlorophyll absorption features (470–520 nm, 550–750 nm), and SWIR absorption features (1110–1285 nm, 1630–1790 nm, 2005–2195 nm, and 2220–2380 nm) detecting water, lignin and cellulose [38], [46], [48]. We applied the band depth analysis to study the seasonal changes in feature depth (FD) in the well-defined chlorophyll absorption feature (between 500–800 nm). Feature depth was computed as

$$FD = (1 - CR), \quad (1)$$

where CR is the continuum removed reflectance at 675 nm, so that increasing amounts of chlorophyll absorber yield increasing FD. We selected this strong feature because it is in a high Hyperion S/N region, away from atmospheric water absorption bands, and thus less subject to noise or residual atmospheric contamination.

Recent review articles [4], [17] provide a summary of the utility of VIs derived from high resolution ( $\leq 10$  nm bands) reflectance and derivative spectra [19], [20]. At the VFS, we tested the ability of a subset of reflectance VIs applicable for agriculture and forestry to track the dynamics in vegetation CO<sub>2</sub> assimilation parameters (e.g., NEP and GPP). Using the full spectral range of Hyperion, and adjusting the formulas to Hyperion’s

band centers and 10 nm resolution, we generated 76 high resolution VIs: 18 for structure (e.g., LAI and biomass), 31 for biochemical content (e.g., chlorophyll, 18; carotenoids, 2; anthocyanins, 2; photosynthetic pigments, 5; water, 3; and lignin and cellulose, 1); 27 for plant function (e.g., stress, 12; light use efficiency, 4; and chlorophyll fluorescence, 11). We were not able to generate VIs that required the use of blue wavelengths below 450 nm (e.g., SIPI for pigment content), or wavelengths between 1350–1500 nm (for canopy structure, water content) and 1950–2100 nm (for lignin and cellulose content), due to the lower data quality at these wavelengths. These 76 useful VIs included band ratios of derivative (typically first derivative) spectra, which have been demonstrated to be strongly related to chlorophyll concentration, chlorophyll fluorescence and forest stress [19], [20]. The first derivatives (D) of reflectance were calculated using the formula:

$$D_{\lambda_i} = \frac{(R_{\lambda(i+1)} - R_{\lambda(i-1)})}{(\lambda_{(i+1)} - \lambda_{(i-1)})}, \quad (2)$$

where  $D_{\lambda_i}$  is the first derivative and  $R_{\lambda_i}$  is the mean reflectance value at  $\lambda_i$  wavelength. The derivative transformation provides spectral data that are less sensitive to the effects of variable irradiance and the influences of canopy structural and bidirectional properties. To compare the above high spectral resolution VIs with broadband VIs we simulated the bands of Landsat TM (lower spectral resolution), and calculated the most widely used Normalized Difference Vegetation Index (NDVI) and the Enhanced Vegetation Index (EVI).

5) *Statistical Analysis*: Analysis of Variance routines and descriptive statistics [49] are used to assess temporal spectral uniformity and/or VIs at each site. The resulting mean ( $\bar{x}$ ) and standard deviation (SD) statistics are reported as plots for each site and summarized for comparison between the sites. To examine the temporal variability in the spectral properties of VFS, the means and standard deviations for each of the sites were plotted and discussed further in the results section. Also at the VFS, analyses of variances and regression procedures were used to relate the Hyperion VIs to NEP and GEP tower measurements [49]. Spectra were analyzed individually for each VFS, as well as collectively as a multi-site dataset.

### III. RESULTS

#### A. Seasonal Dynamics of Hyperion Reflectance at Pseudo-Invariant Calibration Sites (PICS)

The  $G_i$  statistics and Moran’s I index describe the spatial uniformity of the PICS (Figs. 1 and 2, Table IV). The FMF site offers an approximately  $2 \times 2$  km area with relatively uniform high reflectance distribution, as determined by the  $G_i$  statistics (Figs. 1(a), 2(a)). The homogenous area at IP was approximately  $2 \times 4$  km (Figs. 1(b), 2(b)). RRVP had an area of approximately  $6 \times 10$  km with relatively uniform reflectance (Figs. 1(c), 2(c)). The highest reflectance values were measured across the 650–2000 nm region at FMF, followed by IP and RRVP (Figs. 1, 2). At RRVP, we observed that certain areas of

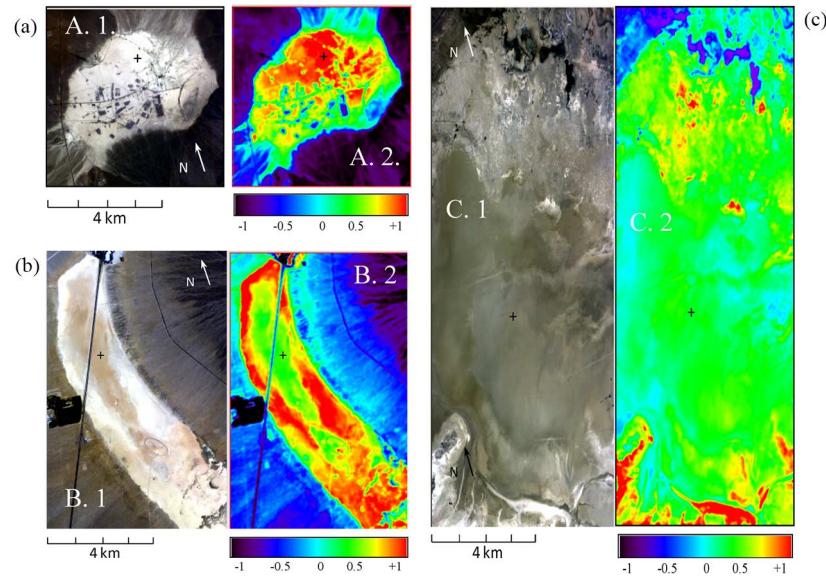


Fig. 1. Pseudo invariant calibration sites (PICS): (a) Frenchman Flat (FMF), (b) Ivanpah Playa (IP), and (c) Railroad Valley (RRVP). The center of the region (30–40 pixels) of reflectance extraction is marked with a cross. Two portrayals are shown for each site from a summer acquisition: natural color composites (fig. (a)1, (b)1, (c)1; RGB: 651,549,447 nm), and the Getis  $G_i^*$  statistics calculated to indicate homogeneous pixel clusters (fig. (a)2, (b)2, (c)2).

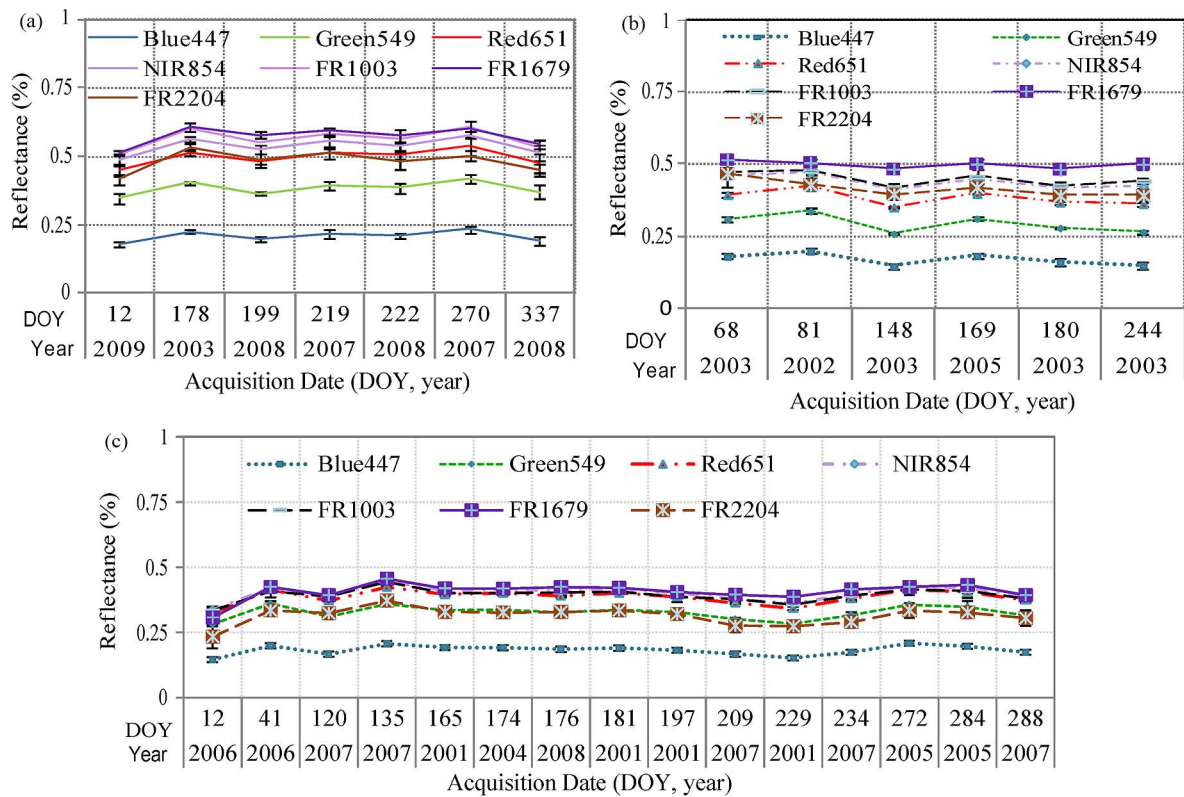


Fig. 2. Temporal variation ( $X \pm SD$ ) in the Hyperion reflectance at select wavelengths: (a) Frenchman Flat (FMF, 7 images acquired in 2005–2008), (b) Ivanpah (IP, 6 images acquired in 2003–2005) and (c) Railroad Valley (RRVP, 15 images acquired in 2001–2008). The seven Hyperion bands selected to represent the seasonal reflectance trends are centered at: 447, 549, 651, 854, 1003, 1679 and 2204 nm.

the site are brighter than others. Since the site is completely devoid of vegetation, this contrast can be explained by soil moisture effects and variations in the mineral composition of the playa's surface.

The three calibration sites (Fig. 3) can be characterized as having high mean reflectance values, across a wide spectral

range, which agrees with previous studies conducted over the same sites using field radiometers and/or space-borne multi-spectral sensors [12], [14], [37]. Mean reflectance in the blue region (450–550 nm) was highest at FMF (25–40%,  $\pm 2.3$ –2.5%, Fig. 3(a)), lowest at IP (8–12,  $\pm 2.1$ –2.8%, Fig. 3(b)), and intermediate at RRVP (18–28,  $\pm 2$ –2.5, Fig. 3(c)).



TABLE IV  
HYPERION REFLECTANCE AND MORAN I STATISTICS FOR SEVEN WAVELENGTHS AT THESE PSEUDO INVARIANT CALIBRATION SITES (PICS)

Wavelength (nm)	Frenchman Flat				Ivanpah Playa				Railroad Valley Playa			
	Reflectance (%)			Moran's I ( $\pm 1$ ) *	Reflectance (%)			Moran's I ( $\pm 1$ ) *	Reflectance (%)			Moran's I ( $\pm 1$ ) *
	Mean	SD	CV		Mean	SD	CV		Mean	SD	CV	
447	0.21	0.020	0.095	0.97	0.17	0.021	0.122	0.94	0.20	0.019	0.095	0.86
549	0.38	0.024	0.063	0.98	0.29	0.031	0.105	0.97	0.34	0.025	0.074	0.87
651	0.50	0.028	0.056	0.97	0.38	0.027	0.071	0.97	0.37	0.027	0.073	0.86
854	0.54	0.032	0.059	0.98	0.44	0.025	0.056	0.96	0.39	0.028	0.072	0.95
1003	0.56	0.037	0.066	0.93	0.45	0.024	0.053	0.88	0.40	0.026	0.065	0.83
1679	0.58	0.038	0.066	0.90	0.5	0.013	0.026	0.87	0.42	0.033	0.079	0.81
2204	0.48	0.039	0.081	0.91	0.42	0.030	0.071	0.89	0.32	0.034	0.106	0.88

+ Mean (x), Standard Deviation (SD), Coefficient of Variation (CV = SD/x)

\*Moran's I index expresses local spatial uniformity, ranges between +1 and -1 (+1 = strong positive spatial autocorrelation, 0 = spatially uncorrelated data, -1 = strong negative spatial autocorrelation)

However, in the green and red region (550–700 nm), reflectivity was highest at FMF (40–45,  $\pm 2.5$ –2.8%), intermediate at IP (35–40,  $\pm 2.4$ –2.8%), and lowest at RRVP (30–40,  $\pm 2.5$ %). The same trend continued in the NIR (700–2000 nm): FMF 50–55% ( $\pm 3.1$ –7.2%), IP 42–48% ( $\pm 1.5$ –5%), and RRVP 37–40% ( $\pm 2.3$ –5.8%); and in the SWIR (2000–2450 nm): FMF 48–52% ( $\pm 3$ –18%), IP 40–48% ( $\pm 2$ –6.5%), and RRVP 32–45% ( $\pm 3$ –7.5%). The highest SDs were associated with artifacts due to imperfections in the atmospheric correction procedures for scattering in the blue region and for absorption by water vapor near absorption features at 930 nm, 1450 nm and 1950 nm. In the 2360–2450 nm region, where the derived reflectance is less stable, due to lowered SNR of the instrument, the variation in the moisture content in the playa caused greater overall impact and the SD were consistently higher at all sites.

The temporal variation in the reflectance characteristics of the three PICS are represented by individual bands in the blue, green, red, NIR, and SWIR regions (means and SD, Fig. 2). While the magnitude of the reflectance differed per spectral band, all reflective regions exhibited relatively uniform and flat temporal profiles, devoid of consistent temporal trends (Fig. 2). Lower reflectance values (with higher SD) across all spectral bands occurred during winter months at FMF (DOY 12, 337) and RRVP (DOY 12, 288), which could be attributed to lower incoming radiation, higher shadow component, local illumination effects not accounted for in the atmospheric correction model, and/or increased soil moisture at these playas. Fig. 3(a), (b), (c) and Table IV summarize the long-term spectral reflectance responses at the three PICS. The statistics (x, SD, CV) summarize band responses across time (Fig. 3, Table IV).

The reflectance time series at the PICS were devoid of apparent spectral trends, allowing us to have sufficient confidence in the data to describe the temporal dynamics at the VFS sites.

### B. Seasonal Dynamics in Hyperion Reflectance Parameters and NEP at Validation FLUXNET Sites (VFS)

Seasonal changes in Hyperion reflectance spectra over the forested Duke LP (Fig. 4(a)) and HW sites (Fig. 4(b)), the Konza prairie TG site (Fig. 4(c)), and the Mongu MW site (Fig. 4(d)) displayed typical vegetation reflectance trends:

relatively low VIS reflectance due to high absorption by leaf photosynthetic pigments, with a peak  $\sim 550$  nm, relatively bright NIR reflectance (700–1300 nm) because absorption is weak but scattering is strongly expressed by canopy structural components and the contrast between indices of refraction for water-rich cells and intercellular air spaces, and strong absorption in the SWIR (1450–2450 nm) by leaf water, cellulose, lignin, and other plant materials causing vegetation to appear relatively dark.

Hyperion's reflectance time series captured seasonal differences among the site-specific VFS carbon dynamics. Red reflectance wavelengths ( $\sim 700$  nm) had the largest variation (large CV) during the year at both the grassland and deciduous hardwood sites where CV > 30% (HW, 50%; TG, 47%; and MW, 31%), but CV was less variable at the pine stand (LP, 26%). The largest spectral variations were observed in the blue and red VIS spectrum (Table V). For blue wavelengths (450–500 nm), there were no statistically significant differences among the seasonal spectra for any VFS, which is attributed to the very large CV (70%–128%) in this region, due to the lower S/N of Hyperion's first detector and imperfections in the correction for atmospheric scattering. Due to a pronounced wet/dry seasonality, the time series of red wavelengths at Mongu (Fig. 4) best tracked fluxes throughout the growing season.

Fig. 5 depicts the temporal profile of the continuum removal analyses for reflectances in the chlorophyll absorption features at each VFS. The normalized reflectances have values between 0 and 1, enhancing the feature and allowing feature depths (FD, measured at 675 nm) to be computed for temporal comparisons at each site, and among sites. At the Duke LP site, the FD did not vary significantly (during the year (mean FD = 0.81–0.84,  $p > 0.25$ ), while at the Duke HW site. FD dramatically changed from 0.23 (DOY 6) to 0.90 (DOY162). The FD also varied greatly throughout the year at the Konza TG site, from 0.81 (DOY 205) to 0.05 (DOY13) and at the Mongu MW from 0.83 (DOY 22) to 0.33 (DOY 253). At the Konza TG prairie site, the very low FDs (0.05–0.16) can be explained by the dormancy and complete dieback of the above ground tallgrass biomass.

Attempts to analyze the dynamics in the SWIR absorption features (2220–2380 nm) associated with lignin, cellulose and



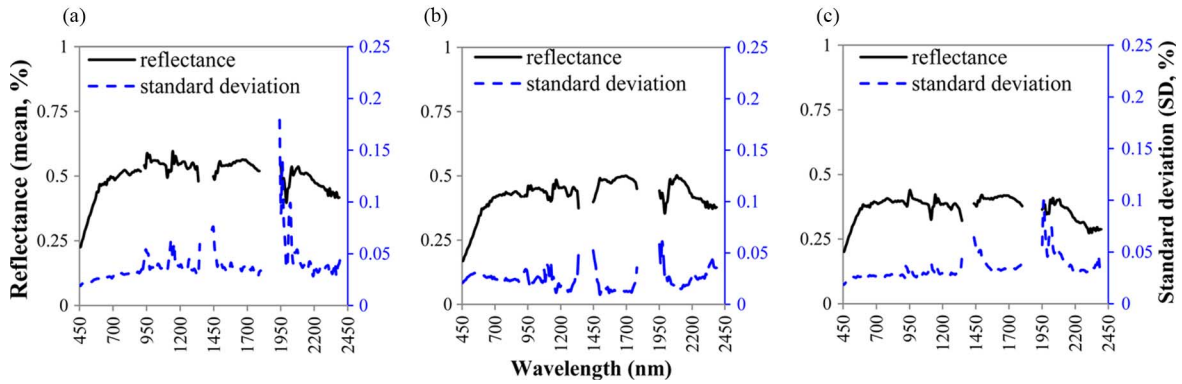


Fig. 3. Mean reflectance (% , solid line) and standard deviation (% , dashed line) for time series for: (a) Frenchman Flat (FMF,  $n = 7$ ), (b) Ivanpah Playa (IP,  $n = 6$ ), and (c) Railroad Valley Playa (RRVP,  $n = 15$ ). Means and standard deviations are listed in Table IV.

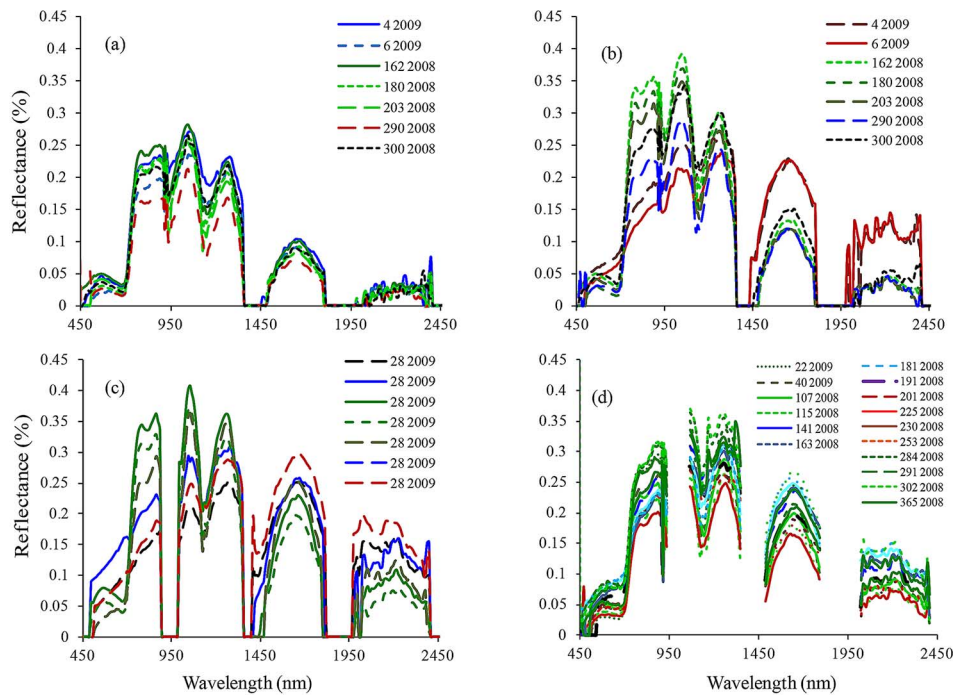


Fig. 4. Temporal variation in the Hyperion reflectance acquired over the validation sites: (a) Duke (loblolly pine, LP), (b) Duke (hardwoods, HW), (c) Konza (tallgrass, TG), and (d) Mongu (Miombo woodland, MW). Each line represents the mean site reflectance from a single day, designated in the labels as “DOY year”.

nitrogen contents were not successful, due to low SNR which obscured the features and precluded the band depth analysis.

Hyperion narrowband VIs were calculated using continuous reflectance spectra or reflectance derivative (PRI1, PRI4, Dmax), and included wavelengths associated with chlorophyll content (e.g., PRI4, G94 and G32) and/or chlorophyll fluorescence (e.g. Dmax/D704, Dmax/D<sub>(max+12)</sub>) (Tables VI, VII). Using a regression approach, we established that many of the VIs were closely related to NEP and GEP. The VIs with the strongest relationships to NEP and GEP are listed in Table VI, along with the simulated Landsat broadband VIs (e.g., NDVI, EVI) for comparison. The set of best performing VIs for estimating NEP varied among the sites. At the Duke LP site, the most successful narrowband VI for association with NEP was the DPI ( $r^2 = 0.91$ ) a derivative index using three wavelengths, followed by linear relationships obtained with two variations of the photochemical reflectance index: PRI4

( $r^2 = 0.85$ , Fig. 6(a)) and PRI1 ( $r^2 = 0.84$ ). At the Duke HW site, the performance of PRI4 was non-linear but performed best ( $r^2 = 0.94$ , Fig. 6(a)), followed by Dmax ( $r^2 = 0.83$ ). The PRI4 performed well at both LP and HW (Fig. 6(a)), however it saturated at values  $\geq 0.2$ , not being able to effectively discriminate for  $NEP > 20 \mu\text{mol m}^{-2} \text{s}^{-1}$ .

At the TG Konza site, however, the most successful VIs for relating best to NEP were the narrowband G94 ( $r^2 = 0.95$ , Fig. 6(b), Table VI) and Dmax ( $r^2 = 0.93$ ). G94 uses narrowband wavelengths in the NIR and red ( $r^2 = 0.95$ , Fig. 6(b)) and it saturated at  $G94 > 0.7$  for  $NEP > 27 \mu\text{mol m}^{-2} \text{s}^{-1}$ . At the Mongu MW site, the most successful VIs for relating to NEP were G32 ( $r^2 = 0.83$ , Fig. 6(c)), Dmax/D704 ( $r^2 = 0.79$ ), and Dmax ( $r^2 = 0.77$ ). G32 combines wavelengths from the blue, red and NIR regions (Fig. 6(c)). At values of  $G32 < 0.3$  this parameter saturated for  $NEP < 5 \mu\text{mol m}^{-2} \text{s}^{-1}$ .

TABLE V  
SEASONAL VALUES FOR OF HYPERION REFLECTANCE AT VALIDATION FLUXNET SITES (VFS)

Wavelength (nm)	Duke, NC, USA						Konza, KS, USA			Mongu, Zambia		
	Loblolly pine (LP)			Hardwoods (HW)			Tall Grassland (TG)			Miombo Woodland (MW)		
	Mean	St. Dev	CV	Mean	St. Dev	CV	Mean	St. Dev	CV	Mean	St. Dev	CV
447	0.018 <sup>ns</sup>	0.019	1.11	0.011 <sup>ns</sup>	0.008	0.72	0.035 <sup>ns</sup>	0.044	1.27	0.013 <sup>ns</sup>	0.017	1.28
549	0.035 <sup>†</sup>	0.010	0.29	0.041	0.010	0.25	0.060	0.024	0.40	0.054	0.015	0.27
651	0.026	0.007	0.26	0.039	0.019	0.50	0.073	0.035	0.48	0.060	0.019	0.32
854	0.215	0.026	0.12	0.259	0.076	0.29	0.275	0.076	0.28	0.238	0.030	0.13
1104	0.189	0.031	0.16	0.232	0.038	0.16	0.253	0.033	0.13	0.253	0.034	0.13
1679	0.086	0.011	0.13	0.155	0.048	0.31	0.238	0.037	0.16	0.206	0.029	0.14
2204	0.025	0.006	0.26	0.070	0.041	0.59	0.128	0.041	0.32	0.108	0.023	0.21

ns—no statistically significant differences among means by day of year (based on ANOVA)

<sup>†</sup> when not noted as ns, there were statistically significant differences among means by day of year at  $p < 0.05$  level

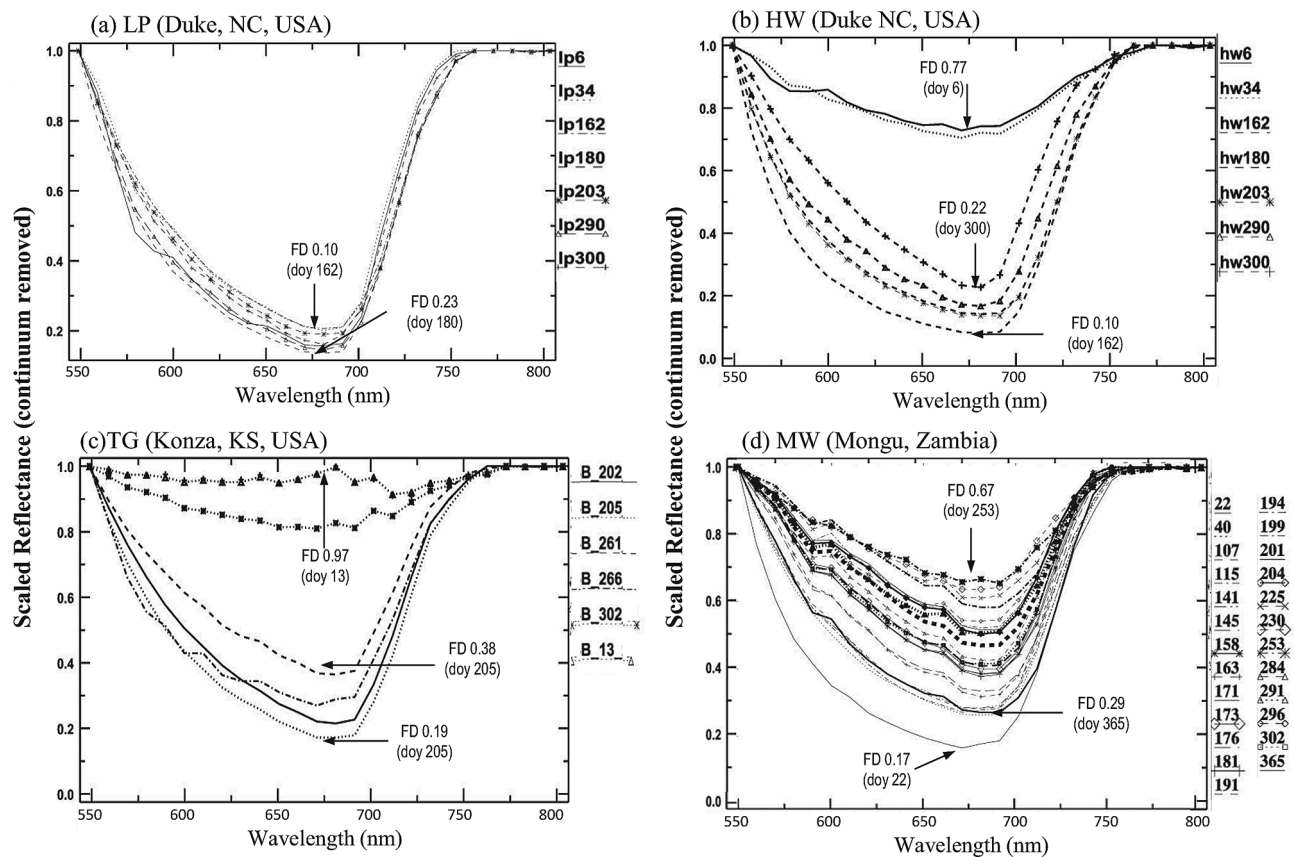


Fig. 5. Temporal variation in the mean normalized reflectance (continuum removed) from the chlorophyll absorption feature, (550–750 nm) illustrating the spectral dynamics at each validation site by DOY: (a) Duke LP ( $n = 6$  days), (b) Duke HW ( $n = 6$  days), (c) Konza TG ( $n = 6$  days, each line is an average of spectra from areas under 1 and 4 year burn), and (d) Mongu MW ( $n = 15$  days).

At all VFSs, the VIs best related to NEP were also strongly related to GEP, with strongest relationship for the Konza TG site (Table VI). The broadband EVI also performed well at the Duke HW site (NEP,  $r^2 = 0.84$ ), Konza TG site (NEP,  $r^2 = 0.83$ ; GEP,  $r^2 = 0.89$ ) and at the Mongu MW site (NEP,  $r^2 = 0.73$ ; GEP,  $r^2 = 0.88$ ). Fig. 7 depicts the best performing VIs for NEP across all sites. Most of these relationships were curvilinear (Fig. 6, Table VI). Hyperion narrowband VIs with the stronger relationship to  $\text{CO}_2$  parameters (Tables VI and VII) were calculated using continuous reflectance spectra or reflectance derivative (e.g. PRI1, PRI4, Dmax), and included wavelengths associ-

ated with chlorophyll content (e.g., PRI4, G94 and G32) and/or chlorophyll fluorescence (e.g. DPI, Dmax/D704).

To assess the potential of using a common high resolution VI for tracking  $\text{CO}_2$  seasonal dynamics across multiple sites and ecosystems, we combined the data from all VFS ( $n = 52$ , Table VII, and Fig. 8). The derivative parameters Dmax (associated with vegetation stress) and DP22 (associated with chlorophyll fluorescence) exhibited a strong relationships to NEP and GEP ( $r^2 = 0.65$ – $0.73$  and  $r^2 = 0.74$ – $0.77$ , respectively), as did the normalized difference water index (NDWI,  $r^2 = 0.74$  to NEP and  $r^2 = 0.67$  to GEP, Table VII). These

TABLE VI  
HYPERION VEGETATION INDICES (VIs) HAVING STRONGEST RELATIONSHIPS ( $r^2$ ) TO CO<sub>2</sub> UPTAKE PARAMETERS (NEP AND GEP), BY STUDY SITE. HYPERION'S BEST PERFORMING HIGH SPECTRAL RESOLUTION VIs ARE LISTED FIRST. THE BROADBAND LANDSAT PARAMETERS ARE LISTED FOR COMPARISON IN ITALICS

Index	Bands (nm)	$r^2$ to NEP	$r^2$ to GEP	L/NL*
<u>a. Loblolly Pine (LP), Duke, NC (n=7)</u>				
<b>DPI</b>	(D688*D710)/(D697*D697)	<b>0.91</b> ; $p<0.01$	0.44, ns	NL
<b>PRI1</b>	(R531-R570)/(R531-R570)	<b>0.84</b> ; $p<0.01$	0.73; $p<0.01$	<b>L</b>
<b>PRI4</b>	(R531-R670)/(R531-R670)	<b>0.85</b> ; $p<0.01$	0.63; $p<0.05$	L
NDWI	(R870-R1240)/(R870+R1240)	0.76; $p<0.05$	0.60, ns	L
<i>NDVI<sub>Landsat</sub></i>	<i>(NIR-RED)/(NIR+RED)**</i>	<i>0.19, ns</i>	<i>0.48, ns</i>	<i>L</i>
<u>b. Hardwoods (HW), Duke, NC (n=7)</u>				
<b>PRI4</b>	(R531-R670)/(R531-R670)	<b>0.95</b> ; $p<0.01$	0.48, ns	NL
<b>Dmax</b>	Dmax= Highest 1 <sup>st</sup> Derivative, 650-750 nm	<b>0.83</b> ; $p<0.01$	0.40, ns	NL
NDII	(R820-R1650)/(R820+R1650)	0.79; $p<0.01$	0.34, ns	L
<i>EVI<sub>Landsat</sub></i>	<i>2.5(NIR-RED)/(NIR+6RED-7.5BLUE+1)**</i>	<i>0.84</i> ; $p<0.01$	<i>0.41, ns</i>	<i>L</i>
<i>NDVI<sub>Landsat</sub></i>	<i>(NIR-Red)/(NIR+RED)</i>	<i>0.63</i> ; $p<0.05$	<i>0.19, ns</i>	<i>L</i>
<u>c. Tall Grassland (TG), Konza, KS (n=14, combining 1 &amp; 4 year burn)</u>				
<b>G94</b>	(R800-R700)/(R800+R700)	<b>0.95</b> ; $p<0.01$	0.90; $p<0.01$	NL
Dmax	Maximum of the 1 <sup>st</sup> Derivative in 650-750 nm	<b>0.93</b> ; $p<0.01$	<b>0.95</b> ; $p<0.01$	L
NDWI	(R870-R1240)/(R870+R1240)	0.86; $p<0.01$	0.91; $p<0.01$	NL
<i>EVI<sub>Landsat</sub></i>	<i>2.5(RNIR-Rred)/(RNIR+6Rred-7.5Rblue+1)**</i>	<i>0.83</i> ; $p<0.01$	<i>0.89</i> ; $p<0.01$	<i>NL</i>
<i>NDVI<sub>Landsat</sub></i>	<i>(NIR-Red)/(NIR+RED)</i>	<i>0.63, ns</i>	<i>0.67</i> ; $p<0.05$	<i>L</i>
<u>d. Miombo Woodland (MW), Mongu, Zambia (n=24)</u>				
<b>G32</b>	R750, 700, 450	<b>0.83</b> ; $p<0.01$	0.81; $p<0.01$	NL
Dmax	Maximum of the 1 <sup>st</sup> Derivative in 650-750 nm	0.77; $p<0.01$	0.87; $p<0.01$	NL
Dmax/D704	Dmax/D704	0.79; $p<0.01$	0.80; $p<0.01$	NL
<i>EVI<sub>Landsat</sub></i>	<i>2.5(RNIR-Rred)/(RNIR+6Rred-7.5Rblue+1)</i>	<i>0.73</i> ; $p<0.0$	<i>0.88</i> ; $p<0.01$	<i>L</i>
<i>NDVI<sub>Landsat</sub></i>	<i>(NIR-Red)/(NIR+RED)</i>	<i>0.52, ns</i>	<i>0.60</i> ; $p<0.05$	<i>NL</i>

\* NEP (net ecosystem production), GEP (gross ecosystem production), L (linear relation), NL (non-linear regression)

\*\* NIR (average R760-900 nm), Red (average R620-690 nm), Blue (average R450-500 nm), after the Landsat bands

results illustrate the possibility for establishing a common spectral approach based on high resolution VIs for tracking CO<sub>2</sub> ecosystem dynamics. Dmax was able to provide separation for most of the data, but it saturated at  $D_{\max} > 0.65$  for  $NEP > 25 \mu\text{mol m}^{-2} \text{s}^{-1}$  (Fig. 8). The use of derivatives, reducing the effects of variable irradiance, canopy structure and bidirectional properties, offers an important potential when analyzing reflectance time series.

It is important to note that the Landsat broadband VIs never performed as well as the narrow-band indices. At the HW, TG and MW sites EVI performed almost as well as the “optimal” narrow-band VIs, but not at the LP site (Table VI) or when analyzing the combined data set (Table VII).

#### IV. DISCUSSION

We were able to evaluate Hyperion reflectance stability over the full instrument spectrum and large portion of the EO-1 life-

time, for which there was available data. Since the collection of sufficient number of free of clouds images to generate the time series took multiple years, we constructed a seasonal time line by arranging the data consecutively by acquisition day from any given year.

Our results from the three PICS demonstrate the spectral stability of Hyperion's reflectance time series, and provide spatial uniformity descriptors at each of these well-known sites where the time series have been collected. This spectral stability verifies the potential of Hyperion's reflectance time series for ecosystem monitoring. As new images are added to the seasonal time lines, the ability of the reflectance time series to assess vegetation function will likely improve. Future work is required to refine the atmospheric correction process and to improve the reflectance estimates in spectral regions with lower stability (e.g. 2000–2450 nm region, and near water absorption bands). Further improvements in the atmospheric correction approach, the

TABLE VII  
SPECTRAL BIO-INDICATORS OF VEGETATION FUNCTION WITH BEST CORRELATION TO CO<sub>2</sub> UPTAKE FOR MULTIPLE SITES (COMBINED DATA SET FROM THE THREE VFS,  $n = 52$ )

Spectral indicator	Formula	$r^2$ to NEP <sup>†</sup> (L/NL)*	$r^2$ to GEP <sup>‡</sup> (L/NL)
Dmax	Highest 1 <sup>st</sup> Derivative, 650-750 nm	0.73, $p < 0.01$ (L)	0.77, $p < 0.01$ (L)
DP22	Dmax/D <sub>(max+12)</sub>	0.65, $p < 0.01$ (L)	0.74, $p < 0.01$ (NL)
NDWI	R(870-1240)/R(870-1240)	0.74, $p < 0.01$ (NL)	0.67, $p < 0.01$ (NL)
MCARIa	[(R700-R675)-(0.2*(R700-R550))*R(700/R675)]	0.41, $p < 0.01$ (L)	0.75, $p < 0.01$ (L)
PRI4	(R531-R670)/(R531-R670)	0.66, $p < 0.01$ (NL)	0.62, $p < 0.05$ (NL)
NDVI <sub>Landsat</sub>	(NIR-R)/(NIR+R)**	0.56, <i>ns</i> (NL)	0.59, <i>ns</i> (NL)

<sup>†</sup>NEP (net ecosystem production)

<sup>‡</sup>GEP (gross ecosystem production)

\* L (linear relation), NL (non-linear relation)

\*\* NIR (average R760-900 nm), Red (average R620-690 nm), Blue (average R450-500 nm), after the Landsat bands

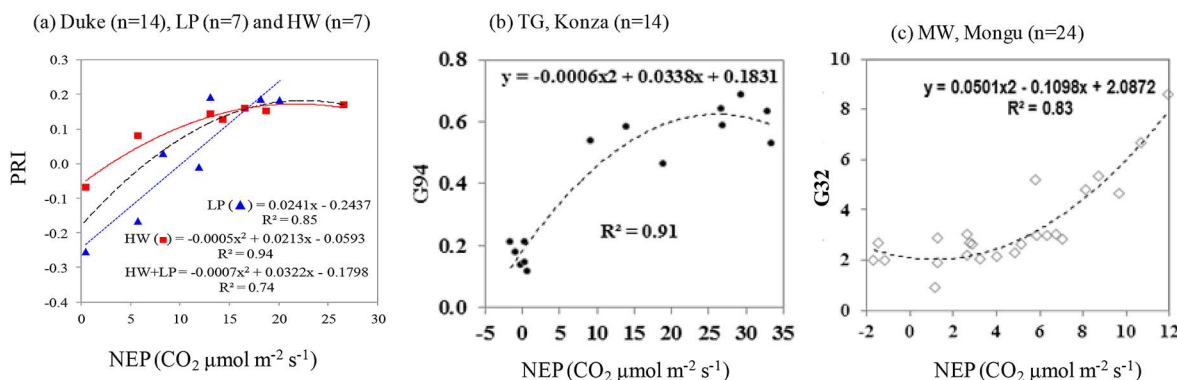


Fig. 6. Relationship of Hyperion's best performing VIs in relation to NEP at each study site ( $p < 0.01$ ). At Duke (Fig. 6(a)), the photochemical reflectance index PRI4 using green and red wavelengths ( $PRI4 = (R531 - R670)/(R531 + R670)$ ), performed well at both LP ( $r^2 = 0.85$ ) and HW ( $r^2 = 0.94$ ) forests. However, the index saturated at  $PRI4 \sim 0.2$ , not being able to effectively discriminate  $NEP > 20 \mu\text{mol m}^{-2} \text{s}^{-1}$ . At the TG Konza site, the most successful VI was narrowband G94 ( $r^2 = 0.95$ , Fig. 6(b);  $G94 = (R800 - R700)/(R800 + R700)$ ), which saturated at  $G94 \sim 0.7$ , not being able to separate  $NEP > 27 \mu\text{mol m}^{-2} \text{s}^{-1}$ . At the Mongu MW site, the most successful VI was G32 ( $r^2 = 0.83$ , Fig. 6(c);  $G32 = (R750 - R445)/(R700 - R445)$ ) combining wavelengths from the blue, red and NIR regions. At low values of  $G32 < 0.3$  this parameter saturated, not allowing separation of  $NEP < 5 \mu\text{mol m}^{-2} \text{s}^{-1}$ .

incorporation of topographic information, and additional calibration of Hyperion reflectances to targeted *in situ* field spectral measurements, are required to expand the number of useful reflectance bands at VFS. These improvements are especially needed in the 850 to 2500 nm range where they may allow better reflectance retrieval and thus, narrow-band VIs using bands in these ranges may be better correlated to NEP and GEP than in the current analysis.

Hyperion's long-term reflectance measurements offer the potential for cross-comparison with other optical sensors. Cross-calibration of current multispectral sensors to hyperspectral instruments such as Hyperion, could serve for inter-comparisons and retroactive data processing. Currently there is a consistent NDVI record that extends more than 2 decades, generated from the Advanced Very High Resolution Radiometer (AVHRR) instruments, which provides a historical perspective on vegetation dynamics necessary for global change research. Long term spectral records are also available from SPOT-Vegetation, SeaWiFS, Moderate Resolution Imaging Spectroradiometer, Landsat ETM+ data and others.

While the spectral vegetation indices (VIs) are considered more directly transferable between sensors, and an intercalibrated, sensor-independent NDVI data record could be feasible, there are significant challenges and higher discrepancies associated with the inter-comparison of biophysical products, which are generated using different instruments with varying spectral and spatial resolution, modeling approaches and ground data [50]–[52].

Simultaneous calibration of old and new sensors against each other over a common set of targets on the Earth is a key requirement for maintaining long-term records (<http://wgcv.ceos.org/>). Our results indicate that the use of space-borne spectrometers allow assessment of the spectral stability and spatial variability of the calibration sites. The reflectance measurements at the PICS confirm that they meet the CEOS/WGCV surface reflectance requirement for above 30% reflectivity and flat spectral signature over the 600–2000 nm range.

In recent years, a growing number of studies have recognized the importance of collecting spectroscopy observations at flux towers to better understand the relationship between vegeta-

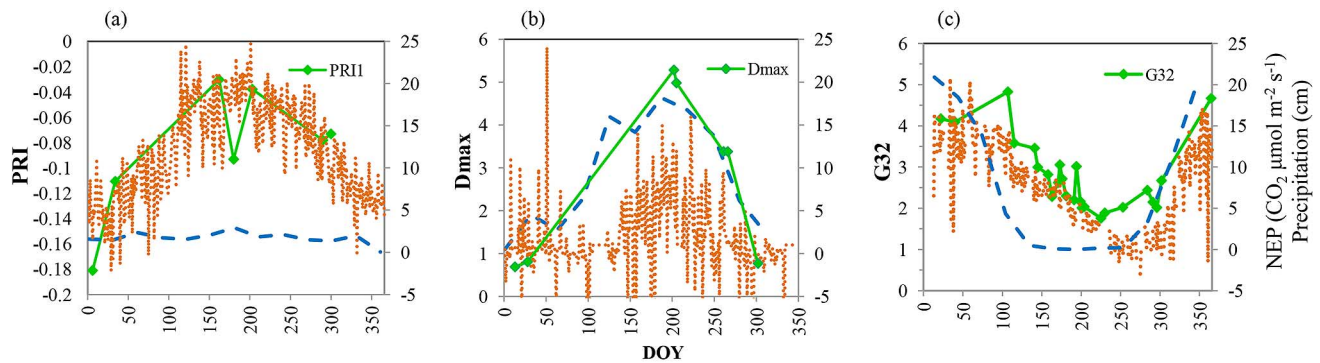


Fig. 7. Temporal variation in the best performing spectral indices for Konza, Duke (LP), Mongu (reflectance means (x) by DOY). Dotted line is NEP while dashed line is precipitation.

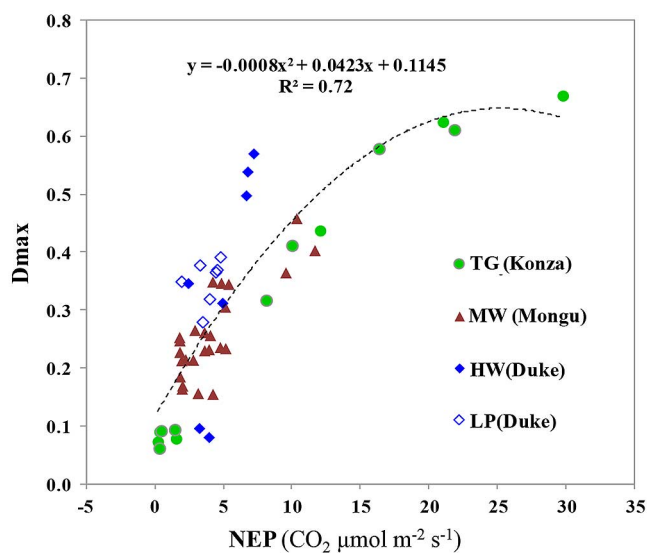


Fig. 8. Relationship of Hyperion's derivative index Dmax (the maximum amount of the first derivative in the 650–750 nm region) to the CO<sub>2</sub> assimilation (NEP,  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ) across the three VFS. Dmax had closest relationship when using the combined data set ( $n = 52$ ,  $p < 0.01$ ). However, this parameter saturated at  $D_{\text{max}} > 0.65$ , precluding the separation of  $\text{NEP} > 20 \mu\text{mol m}^{-2} \text{s}^{-1}$ .

tion function and optical signals [53]. Our results at the three VFSs demonstrate the close link between Hyperion's VIs and carbon flux measurements and the potential for scaling the tower measurements to local, regional and potentially global levels. Multiple observations at individual sites are required to test the ability of the VIs to describe seasonal and transient variations in fluxes. It is noteworthy that the VIs with stronger relationships to the CO<sub>2</sub> parameters were derived using continuous reflectance spectra or reflectance derivatives, and included wavelengths associated with chlorophyll content and/or chlorophyll fluorescence (e.g. DPI, Dmax/D704 and PRI). Since these indices cannot be calculated from broadband multispectral instrument data, the opportunity to exploit these spectrometer-based VIs in the future will depend on the launch of satellites such as En MAP and HypSIRI.

Space borne spectrometers offer potential for establishing a generalized approach for scaling CO<sub>2</sub> dynamics across multiple

sites and to local and regional levels. Site-specific equations provided more accurate results than the multisite groupings, although the trade-offs between the improved accuracy of a site-specific algorithm versus an algorithm for general use have yet been established. The development of common (i.e. global) spectral approaches to track vegetation function and scale up carbon estimates requires diverse spectral data sets, comprised of the major ecosystem types in a broad range in functional states.

The performance of narrow-band VIs from satellite time series remains to be thoroughly examined for various vegetation types exhibiting a range of local conditions. Future work will expand the analysis by extending the time series at our study sites as well as adding additional sites representing other ecosystem types, including rain forest, temperate and sub-arctic vegetation. Testing algorithms over longer time series and more diverse cases will increase our ability to determine fluxes with higher confidence. Using hyperspectral data operationally will allow us to develop new more dynamic and accurate approaches to predict ecosystem parameters.

## V. CONCLUSIONS

With over 11 years of data record including more than 65,000 globally distributed hyperspectral images collected to date (as of July 20 2012), the Hyperion data record provides an important and unique resource for various Earth observations. This study provides a step towards better understanding and broader use of the Hyperion reflectance time series for monitoring of vegetation function and ecosystem dynamics. Hyperion reflectance measurements were shown to be stable over time in all spectral regions. The most stable portion of the spectrum was the visible and near infrared region, providing higher confidence for common use of chlorophyll based VIs. In three different ecosystems, Hyperion's VIs were found to be correlated with CO<sub>2</sub> flux parameters. The VIs with the strongest relationships required continuous spectra, or numerous wavelengths associated with chlorophyll content and/or first derivative parameters, which are not available from broad-band multispectral instruments. This study showed their use with Hyperion's time series, demonstrating their close relationship to ecosystem carbon dynamics and potential for improved monitoring of carbon fluxes.



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