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# NaGdF<sub>4</sub>:Eu<sup>3+</sup> Nanoparticles for Enhanced X-ray Excited Optical Imaging

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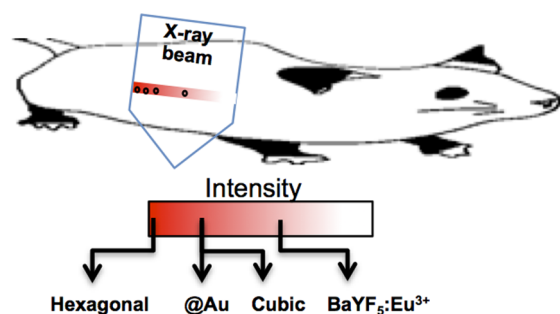
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## S Supporting Information

**ABSTRACT:** X-ray luminescent nanoparticles (NPs), including lanthanide fluorides, have been evaluated for application to deep tissue in vivo molecular imaging using optical tomography. A combination of high material density, higher atomic number and efficient NIR luminescence from compatible lanthanide dopant ions indicates that particles that consist of A<sub>2</sub>LnF<sub>6</sub> (A = alkaline, Ln = lanthanide element) may offer a very attractive class of materials for high resolution, deep tissue imaging with X-ray excitation. NaGdF<sub>4</sub>:Eu<sup>3+</sup> NPs produced an X-ray excited luminescence that was among the most efficient of nanomaterials that have been studied thus far. We have systematically studied factors such as (a) the crystal structure that changes the lattice environment of the doped Eu<sup>3+</sup> ions within the unit cell; and extrinsic factors such as (b) a gold coating (with attendant biocompatibility) that couples to a plasmonic excitation, and (c) changes in the NPs surface properties via changes in the pH of the suspending medium—all with a significant impact on the X-ray excited luminescence of NaGdF<sub>4</sub>:Eu<sup>3+</sup>NPs. The luminescence from an optimally doped hexagonal phase NaGdF<sub>4</sub>:Eu<sup>3+</sup> nanoparticle was 25% more intense compared to that of a cubic structure. We observed evidence of plasmonic reabsorption of midwavelength emission by a gold coating on hexagonal NaGdF<sub>4</sub>:Eu<sup>3+</sup> NPs; fortunately, the NaGdF<sub>4</sub>:Eu<sup>3+</sup> @Au core-shell NPs retained the efficient <sup>5</sup>D<sub>0</sub>→<sup>7</sup>F<sub>4</sub> NIR (692 nm) luminescence. The NaGdF<sub>4</sub>:Eu<sup>3+</sup> NPs exhibited sensitivity to the ambient pH when excited by X-rays, an effect not seen with UV excitation. The sensitivity to the local environment can be understood in terms of the sensitivity of the excitons that are generated by the high energy X-rays (and not by UV photons) to crystal structure and to the surface state of the particles.

## X-ray luminescence from NaGdF<sub>4</sub>:Eu<sup>3+</sup> nanoparticles



## INTRODUCTION

Scintillation materials that emit visible photons when irradiated with  $\gamma$ - or X-rays constitute an extreme form of optical down-conversion. Lanthanides as dopants are often a good choice for such materials, as they have high atomic number and suitable electronic energy states to emit photons in the UV, visible, and near-infrared (NIR) region of the electromagnetic spectrum. Elements with a high atomic number in a host matrix generate sufficiently high-energy electrons that can be down-converted in energy by an appropriate choice of lanthanide sensitizers and emitters. Lanthanide-doped nanophosphors, especially up-converting nanophosphors,<sup>1–5</sup> are increasingly finding application in in vitro and in vivo imaging. Recently, nanophosphors have been explored as agents in radiological applications, especially in vivo tumor detection.<sup>6–9</sup> The combination of insignificant scattering of X-rays in tissues, and the high tissue penetration of NIR optical photons emitted from appropriate phosphors, opens up the possibility of achieving deep tissue optical imaging in vivo with unprecedented spatial resolution. As X-ray scattering in tissues is negligible, optical imaging is

only restricted by the width of the X-ray beam. Because the X-ray excitation path is well-defined, an accurate reconstruction of the target region of interest with a high degree of spatial resolution is possible by employing X-ray luminescence optical tomography (XLOT).<sup>10</sup> Even with the relatively small number of photons that can be generated from optically emitting nanoparticles following excitation by a standard X-ray source, a good signal-to-noise ratio for reconstruction of the luminescent emission region can be obtained, in which the optical signal is proportional to the X-ray luminescent particle concentration.<sup>11</sup> Using this approach, in vitro imaging of nanoparticles in tissue phantoms has already been demonstrated for metal oxides (Gd<sub>2</sub>O<sub>3</sub>:Eu)<sup>7</sup> and for oxysulfides (Gd<sub>2</sub>O<sub>2</sub>S:Eu).<sup>12,13</sup>

New materials with enhanced luminescence properties are very desirable for XLOT applications. Although it has been shown that low bandgap materials (e.g., ZnS:Ag) offer a greater

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efficiency as scintillator materials, fluoride materials offer other advantages in terms of high density, mechanical hardness, and radiation hardness.<sup>14,15</sup> A fluoride matrix offers efficient transfer pathways for self-trapped excitons (STE) to the activator ions.<sup>16</sup> Therefore, selecting a rare-earth activator promotes tuning of the emission upon X-ray excitation, which opens up new possibilities for in vivo applications for nanoparticles using these activated fluoride materials.<sup>17</sup> As an example, BaYF<sub>5</sub>:Eu<sup>3+</sup> nanoparticles (15–20 nm) have recently been shown to be efficient markers for in vivo radioluminescence imaging.<sup>18</sup>

Fluoride-based AlLnF<sub>4</sub> (A = alkaline; Ln = lanthanide) materials are preferred hosts for optically emitting lanthanide phosphors since they have low phonon energy states.<sup>19–21</sup> AlLnF<sub>4</sub> is the preferred matrix for down-conversion, as it is a wide band gap material with a band gap in the range 9–10 eV.<sup>22</sup> The rare-earth dopant causes a contraction of the host material that results in unit-cell densities of as high as 8.44 g/cm<sup>3</sup> with attendant increase in X-ray absorption.<sup>23</sup> In addition, rare-earth doping can serve as both an activator and sensitizer center. With the combined favorable properties that arise from rare-earth doping, improved materials for XLOT imaging applications may be identified.

Gadolinium serves as an excellent photosensitizer for UV-excited down-converting lanthanide emitters.<sup>24,25</sup> The Gd<sup>3+</sup>–Eu<sup>3+</sup> host–dopant combination is the best-studied down-converting system with lower energy UV radiation because the emission energy transitions within Gd<sup>3+</sup> can resonantly couple to the excited state of Eu<sup>3+</sup> ions. Based on this established science, AGdF<sub>4</sub>:Eu<sup>3+</sup> nanoparticles appear to be a very good choice for XLOT, as this material could provide an efficient means to down-convert high energy electrons generated by X-rays to visible or near-infrared (NIR) wavelengths. The efficiency of scintillators in bulk materials is well-documented,<sup>26,27</sup> but a systematic comparison of the efficiency of optical emission by doped fluoride nanoparticles (as well as other doped metal oxide nanoparticles), and their sensitivity to surface states, is not available to the best of our knowledge.

Nanoparticles can be modified by adding coatings to their surfaces to improve the absorption of X-rays. Phosphor materials typically offer maximum densities in the range of 10 g/cm<sup>3</sup>.<sup>27</sup> Metals, on the other hand, have densities of ~20 g/cm<sup>3</sup>. Therefore, in order to improve the X-ray stopping power of the phosphor material, a core–shell structure consisting of a phosphor core and metal shell may offer some advantages. The addition of a gold shell to the phosphors can contribute to the biocompatibility to the nanoparticles, as well as potentially improve the efficiency of the X-ray luminescence. We previously developed a synthesis route for the addition of a gold shell to up-converting phosphors, which yields a significant increase in the efficiency of the up-conversion process.<sup>28</sup> This core–shell material was chosen as a possibly interesting candidate for clinical applications of the XLOT technology and has been examined in the current study, along with bare phosphor particles.

Most of the efforts over many years in developing phosphors for scintillator applications have been devoted to examining bulk and single crystals. The use of these materials in a nanoparticle form stems from the need for in vitro and in vivo imaging methodologies. Currently, very little information pertaining to intrinsic and extrinsic factors influencing the X-ray excited luminescence is available for X-ray excitable nanophosphors that are less than 100 nm in size. Here, a systematic study of NaGdF<sub>4</sub>:Eu<sup>3+</sup> nanoparticles has been

undertaken with the purpose of developing a well controlled nanomaterial platform (in terms of uniformity, size, tunability, and surface modification) for X-ray excited optical imaging with a good understanding of the factors that control the luminescence. The comparison of X-ray excited luminescence of NaGdF<sub>4</sub>:Eu<sup>3+</sup> with other reported materials of a similar size show that NaGdF<sub>4</sub>:Eu<sup>3+</sup> nanoparticles exhibit the greatest X-ray excited luminescence. Therefore, in addition to their potential as an efficient magnetic resonance imaging (MRI) contrast agent and up-converting phosphor, this class of nanomaterial holds the great promise as a new XLOT imaging agent.

## ■ EXPERIMENTAL SECTION

**Synthesis of NaGdF<sub>4</sub>-doped with Eu<sup>3+</sup>.** Nanoparticles of NaGdF<sub>4</sub>-doped with Eu<sup>3+</sup> and Ce<sup>3+</sup> were synthesized by the citrate method.<sup>28</sup> A transparent aqueous solution containing 4 mL of 0.2 M lanthanide chlorides (Sigma Aldrich, MO) and 8 mL of 0.2 M sodium citrate (Sigma Aldrich, MO) was heated to 90 °C. Sodium fluoride (16 mL of 1 M) (Fischer Scientific, PA) solution was added to the solution, upon which the solution turned whitish. The nanoparticles were heated for 2 h. The nanoparticles were centrifuged and washed twice before further measurements were carried out. As-synthesized particles were heated in a Teflon-lined autoclave (Cole-Parmer, IL) at 210 °C for 1 h to obtain hexagonal phase of the nanoparticles. The pH studies were carried out by suspending 6 mg, 2.5 mg, and 2 mg of the nanoparticles obtained from three different batches in 1 mL of phosphate buffer silane with pH values ranging from 2.5 to 7.2. pH buffers below 7.4 were prepared by adding small amounts of 10 mM solution of HCl was used and the pH monitored by ORION 5 STAR (Thermo Scientific, MA) pH meter.

**Synthesis of BaYF<sub>5</sub>:Eu<sup>3+</sup> in Hydrothermal Method.** Water-soluble and polyethyl glycol (PEG)-coated BaGdF<sub>5</sub>:Eu<sup>3+</sup> nanoparticles were synthesized following a reported one-pot hydrothermal method.<sup>29</sup> Then, 0.90 mmol of GdCl<sub>3</sub>·6H<sub>2</sub>O and 0.10 mmol of EuCl<sub>3</sub>·6H<sub>2</sub>O were added to 20 mL ethylene glycol (EG). Then, 1 mmol BaCl<sub>2</sub>·2H<sub>2</sub>O was added to above solution and stirred for 30 min. PEG (1 g) (*M<sub>w</sub>* = 2000) was added and sonicated (Branson 2510, Branson Ultrasonic Cleaner) the solution for 15 min. After that, 10 mL of EG containing 5.5 mmol NH<sub>4</sub>F was added to above mixture. The mixture solutions were stirred and sonicated for another 30 min and then transferred into a 50 mL stainless Teflon-lined autoclave and kept at 200 °C for 24 h. After that, the autoclave was allowed to cool down to room temperature. The reaction mixture was washed and centrifuged several times with ethanol and deionized (DI) water to remove other residual solvents and then suspended in DI water for further use.

**Synthesis of BaYF<sub>5</sub>:Eu<sup>3+</sup> by the Thermal Decomposition Method.** Hydrophobic nanocrystals were synthesized via a thermal decomposition method.<sup>18</sup> YCl<sub>3</sub>·6H<sub>2</sub>O (0.90 mmol), 0.10 mmol of EuCl<sub>3</sub>·6H<sub>2</sub>O, and 1 mmol of barium acetylacetonate were dissolved in 15 mL oleic acid and 15 mL of 1-octadecene at 120 °C under vacuum for 2 h. The mixture was then cooled to room temperature, and a second solution containing 5.5 mmol of NHF<sub>4</sub> in 10 mL methanol was added. The mixture was stirred for 30 min and the methanol was slowly removed at 70 °C. Once the methanol was removed the reaction mixture was raised to 310 °C and heated for 1 h. The solution was cooled to room temperature and washed with ethanol and oleate capped nanoparticles were then dispersed in chloroform. The hydrophobic nanoparticles were rendered water-dispersible by intercalating with PEG-mono oleate ligands (Sigma, *M<sub>n</sub>* ~860) using a method described elsewhere.<sup>30</sup> The PEG-modified nanoparticles then were dispersed in DI water for further use.

**Synthesis of Gd<sub>2</sub>O<sub>3</sub>:Eu<sup>3+</sup>.** Gd<sub>2</sub>O<sub>3</sub>:Eu<sup>3+</sup> nanoparticles were synthesized using an ultrasonic flame spray pyrolysis technique similar to the forced jet atomizer method reported by our group earlier.<sup>31</sup> Briefly, 35.5 mM of Gd(NO<sub>3</sub>)<sub>3</sub>·6H<sub>2</sub>O and 6.3 mM of Eu(NO<sub>3</sub>)<sub>3</sub>·6H<sub>2</sub>O salts were dissolved in ethanol and used as the liquid precursor. The precursor was atomized at 50 mL/h flow using a tunable an ultrasonic

spray nozzle (Sono-Tek Corporation, NY, U.S.A.) operating at 1.9 W with a nitrogen carrying gas (3 L/min) into a hydrogen (2 L/min)–air flame. The precursor droplets were pyrolyzed to form nanoparticles in the high temperature environment. Particles were collected on a 200 nm PTFE membrane filter; the collected powder was washed in Milli-Qultrapure (MQ, 18.3 M $\Omega$ -cm) water to remove any unreacted precursor from the nanoparticles. The nanoparticles were dispersed in DI water for further characterization.

**Powder X-ray Diffraction.** The phases of the calcined powders of coated and uncoated NPs were characterized by Sintag XDS 2000 fitted with a copper K $\alpha$  source, operating at –45KV and 40 mA. Powdered samples were spread on a low background glass slide and scanned in a  $2\theta$ – $2\theta$  geometry from 10 to 60 degrees (See Supporting Information).

**Transmission Electron Microscopy.** The nanoparticles were deposited on a Formvar/carbon coated copper grids from a water solution and dried before taking the bright field transmission electron micrographs from Phillips CM-12 operating at 100–120 KeV and 10–15  $\mu$ A.

**Dynamic Light Scattering Experiments.** A 0.1% solution of these core–shell nanoparticles was prepared in water. The dynamic light scattering experiments were performed with the Brookhaven ZetaPlus instrument. A single run was of 2 min duration and the data was averaged over five runs for each sample (See Supporting Information).

**Absorbance.** The optical absorbance of nanoparticle solutions was obtained from a Spectramax M2 (Molecular Devices, CA) in a quartz cuvette. The concentration of the nanoparticle solution was 1.5 mg/mL.

**UV-Excited Photoluminescence.** A UV lamp (Spectroline, Fischer Scientific, PA) with a long (365 nm) and short wavelength (254 nm) source was used for UV-excited photoluminescence from the nanoparticles. The emission was directed into an Acton SpectraPro 300i series spectrometer, fitted with a PI-MAX camera (Princeton Instruments, NJ). The spectra were collected by Win32 software from Princeton Instruments. The concentration of the solution was 3 mg/mL. The intensity ratios were based on peak area analysis obtained by multicurve Gaussian profile fitting using Igor Pro 6.3 (Wavemetrics Inc., Oregon) software.

**X-ray Luminescence.** X-ray luminescence was measured using an X-ray tube (SB80250, Oxford Instruments, Scott's Valley, CA), controlled with commercial software developed by Source-ray, Inc. (Bohemia, NY). The X-ray tube generates X-ray photons with an energy up to 80 kVp and a maximum X-ray tube current of 0.25 mA. In this study, the X-ray tube was set at 75 kVp and 0.24 mA. An EMCCD camera (C91003, Hamamatsu) was used to measure the X-ray activated optical luminescence. The EMCCD camera was set at maximum EM gain and analog gain with photon mode 1. The EMCCD camera was cooled to –92  $^{\circ}$ C (see Supporting Information for a schematic diagram of the imaging and spectroscopic system). X-ray excited luminescence spectra were obtained with a spectrograph (Inspector V10E, Specim, Oulu, Finland).

A suspension of nanoparticles in a polymer cuvette was used for evaluation of X-ray excited luminescence intensity and spectra. The concentration of the nanoparticle solution was between 3 to 10 mg/mL. In the case of gold-coated nanoparticles, the additional mass of the nanoparticles was taken into account with the knowledge that the molar mass of the phosphor was roughly 3 orders of magnitude greater than gold; that is, the addition of the gold coating did not affect the mass of the particles. Comparisons of the different types of particles were therefore performed on the basis of a constant mass concentration. The intensity ratios were based on peak area analysis obtained by multicurve fitting using Igor Pro 6.3 (Wavemetrics Inc., Oregon) software.

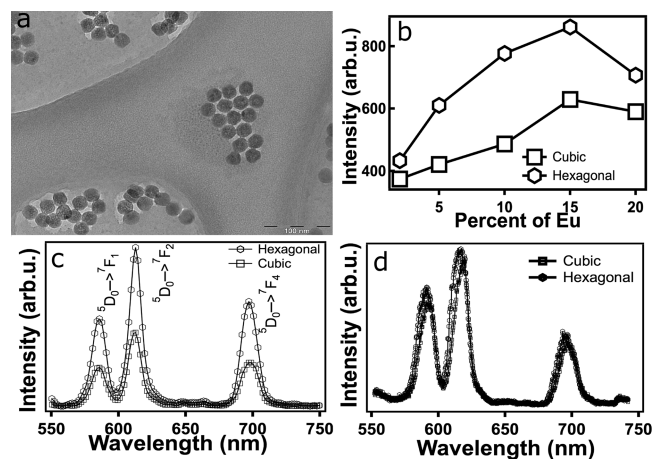
**Cytotoxicity Study.** Primary Human Aortic Endothelial Cells (HAEC) were purchased from Lifeline Cell Technologies (FC-0014) and cultured in Vasculife VEGF cell culture medium containing 2% FBS, 10 mM L-glutamine, 0.75 U/ml heparin sulfate, 1.0  $\mu$ g/mL hydrocortisone hemisuccinate, 50  $\mu$ g/mL ascorbic acid, 15 ng/mL rh IGF-1, 5 ng/mL rh FGF Basic, and 5 ng/mL rh EGF at 37  $^{\circ}$ C in a

humidified 5% CO $_2$  incubator. Calcein and propidium iodide assays (Invitrogen Part #C3100MP and P3566, respectively) were performed on the cells. P5 cells were plated on collagen-coated 50 mm glass bottom culture dishes (MatTek Part # P50G-1.5-39-F), grown to ~95% confluency, and then incubated for 20 h with NaGdF $_4$ :Eu or NaGdF $_4$ :Eu@Au nanoparticles suspended in VEGF cell culture medium at 50  $\mu$ g/mL and 250  $\mu$ g/mL. The culture medium was then gently aspirated out, replaced with 3  $\mu$ M Calcein AM in DPBS and incubated for 15 min, the last 5 of which propidium iodide nucleic acid stain was added to a final concentration of 4  $\mu$ M. The culture dishes were placed on an inverted Olympus IX81 Confocal Microscope and imaged using Fluoview 1000. Image J analysis was performed on the images by setting a threshold to convert them to a black and white format. Particle analysis was used to count the number of apparent particles and the total surface area covered by particles. Particles smaller than 200  $\times$  200 pixels were excluded.

**X-ray Luminescence-Animal Study.** A stock solution of the particles at 30 mg/mL concentration was prepared. Each sample was sonicated and 25  $\mu$ L of it was mixed with 25  $\mu$ L matrigel in a cuvette cooled in an ice tank to produce a final particle concentration of 15 mg/mL. For the control case, 25  $\mu$ L of saline was mixed with 25  $\mu$ L of matrigel. A syringe, cooled in an ice tank, was used to inject the 50  $\mu$ L nanoparticle-matrigel solution under the mouse skin. The mouse was euthanized just prior to injection. The mouse was placed in a light-tight box and irradiated by X-rays (75 kVp, 0.24 mA, 331 mm X-ray tube to mouse distance, 30 s exposure). The X-ray excited luminescence was imaged by the EMCCD camera (using a mirror to reduce direct detection of scattered X-rays in the EMCCD camera, 30 s exposure) and overlaid on a white light photograph of the mouse.

## RESULTS AND DISCUSSION

The typical particle size of the hexagonal NaGdF $_4$ :Eu $^{3+}$  nanoparticles was approximately 30 nm (Figure 1a). In Figure



**Figure 1.** (a) TEM micrograph of hexagonal NaGdF $_4$ :15%Eu $^{3+}$  nanoparticles. (b) Eu concentration dependence of X-ray luminescence of NaGdF $_4$ :Eu $^{3+}$  nanoparticles. Intensities correspond to integrated intensities of all the  $^5D_0 \rightarrow ^7F_j$  transitions observed. (c) X-ray luminescence spectra of cubic and hexagonal NaGdF $_4$ :15%Eu $^{3+}$  nanoparticles. (d) Photoluminescence spectra of cubic and hexagonal NaGdF $_4$ :15%Eu $^{3+}$ . Nanoparticle concentration in all the luminescence measurements was 3 mg/mL.

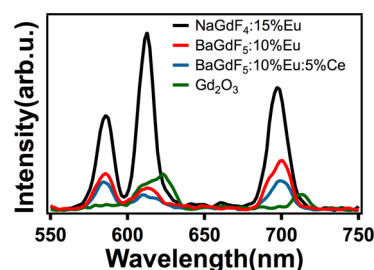
1b, the integrated intensities of the three major  $^5D_0 \rightarrow ^7F_j$  emissions of Eu $^{3+}$  are plotted against the molar percentage of the Eu $^{3+}$  in the nanoparticles. The intensities increased with Eu $^{3+}$  concentration and reached a maximum at about 15 molar percent concentration of Eu $^{3+}$  in hexagonal NaGdF $_4$  nanoparticles. A similar concentration trend was observed for cubic NaGdF $_4$  nanoparticles, albeit with half the intensities. Spectra



of the emissions under X-ray excitation are shown in Figure 1c. The X-ray luminescence optical spectrum was obtained from a solution of nanoparticles with a concentration of 3 mg/mL. As XLOT requires bright luminescent particles, optimization of the dopant concentration is critical for efficient imaging. However, to the best of our knowledge, there are no extant reports with respect to the optimized doping concentration of  $\text{Eu}^{3+}$  for X-ray luminescence. A comparison of X-ray activated optical luminescence spectra from hexagonal and cubic  $\text{NaGdF}_4\text{:Eu}^{3+}$  reveals that the emission peaks are identical in the 300–1100 nm region (Figure 1c). There was no change in the ratio of intensities of the emission peaks that arise from the magnetic dipole and electric dipole transitions,  $^5\text{D}_0 \rightarrow ^7\text{F}_1$  (587 nm) and  $^5\text{D}_0 \rightarrow ^7\text{F}_2$  (612 nm), respectively. The ratio of  $^5\text{D}_0 \rightarrow ^7\text{F}_2 / ^5\text{D}_0 \rightarrow ^7\text{F}_1$  was 1.6 and 1.7 for cubic and hexagonal crystals. This indicates the X-ray luminescence was from a highly asymmetric  $\text{Eu}^{3+}$  for both the structures under X-ray excitation.<sup>32</sup>

The trend of the X-ray luminescence from the two crystal phases resembles that of up-converted luminescence observed from the same host matrix following NIR excitation (i.e., hexagonal crystal emits stronger than the cubic crystal).<sup>33</sup> However, down-conversion photoluminescence of nanoparticles under near UV excitation (365 nm) showed no appreciable difference in the luminescence intensities from two different phases (Figure 1d). A comparison to the X-ray excited luminescence shows that the crystal symmetry surrounding the  $\text{Eu}^{3+}$  ion under X-ray excitation was predominantly from a low symmetry site. The  $^5\text{D}_0 \rightarrow ^7\text{F}_2 / ^5\text{D}_0 \rightarrow ^7\text{F}_1$  ratio indicates that the local crystal structure surrounding the doped  $\text{Eu}^{3+}$  ions remains the same in the cubic and the hexagonal  $\text{NaGdF}_4$  phases. Since the UV-excited luminescence intensity for  $^5\text{D}_0 \rightarrow ^7\text{F}_2$  and  $^5\text{D}_0 \rightarrow ^7\text{F}_1$  remains the same for both structures, albeit smaller at 1.3, it can be deduced that the degree of randomness associated with cations ( $\text{Na}^+$ ,  $\text{Gd}^{3+}$ , and  $\text{Eu}^{3+}$ ) was similar within these crystal structures. The order–disorder of the cations in  $\text{ALnF}_4$  structures are known to be associated with the kinetics of heating.<sup>34</sup> Since the hexagonal  $\text{NaGdF}_4\text{:Eu}^{3+}$  NPs were synthesized by a rapid hydrothermal method, a high degree of randomness in the cation distribution is to be expected.

In order to further understand the effect of crystal structure and compare the luminescence efficiencies, we compared the emission intensities of  $\text{NaGdF}_4\text{:Eu}^{3+}$  with other types of X-ray luminescent NPs reported in the literature (i.e.,  $\text{BaYF}_5\text{:Eu}^{3+}$ ,<sup>18</sup>  $\text{Gd}_2\text{O}_3\text{:Eu}^{3+}$ ).<sup>7,35</sup> To make a systematic comparison, similar sizes of the NPs were studied (i.e., in the range of 20–40 nm) with the same mass concentration. The concentration of the  $\text{Eu}^{3+}$  dopant was similar to the reported methods.<sup>18</sup>  $\text{BaYF}_5\text{:10%Eu}^{3+}$  was synthesized both by the previously reported thermal decomposition method<sup>18</sup> and a hydrothermal method<sup>29</sup> (Figure S1 Supporting Information). A high temperature monoclinic phase of  $\text{Gd}_2\text{O}_3\text{:18%Eu}^{3+}$  was synthesized with a flame spray pyrolysis developed by our group.<sup>31</sup> The luminescence intensities of  $\text{BaYF}_5\text{:Eu}^{3+}$  were lower by more than a factor of 2 when compared with hexagonal  $\text{NaGdF}_4\text{:Eu}^{3+}$  (Figure 2). There was no significant improvement in the luminescence from  $\text{BaYF}_5\text{:10%Eu}^{3+}$  upon doping with 5–20%  $\text{Ce}^{3+}$  sensitizer ions. In addition, flame-synthesized  $\text{Gd}_2\text{O}_3\text{:18%Eu}^{3+}$  NPs that showed the brightest photoluminescence under near UV excitation also had low X-ray luminescence (Figure 2). Therefore, the results suggest that the luminescence obtained



**Figure 2.** Comparison of X-ray excited luminescence intensities from Eu doped into different nanoparticle matrices. All particles were measured at a concentration of 10 mg/mL.

with near UV excitation may not be a good guide to the efficiency of luminescence that arises from X-ray excitation.

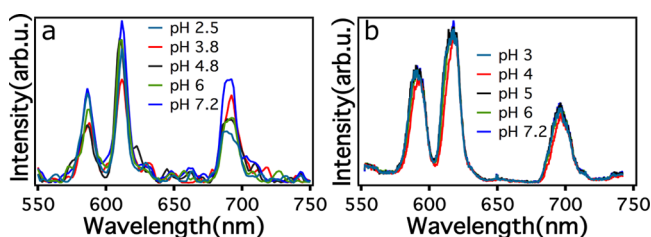
The X-ray luminescence from  $\text{Eu}^{3+}$  doped into hexagonal  $\text{NaGdF}_4$  NPs was the brightest among the NPs that we studied. Our measurements indicated that the cubic crystal structure resulted in lower X-ray luminescence intensities in comparison to hexagonal structure, regardless of the host matrix and synthesis protocol. When cubic  $\text{NaGdF}_4\text{:15%Eu}^{3+}$  was compared with  $\text{BaYF}_5\text{:10%Eu}^{3+}$  and  $\text{BaYF}_5\text{:10%Eu}^{3+}\text{:5%Ce}$ , the X-ray luminescent intensity of the  $\text{NaGdF}_4\text{:15%Eu}^{3+}$  was the highest. In contrast to  $\text{NaGdF}_4\text{:15%Eu}^{3+}$  nanoparticles, X-ray luminescence from  $\text{BaYF}_5\text{:10%Eu}^{3+}$  and  $\text{BaYF}_5\text{:10%Eu}^{3+}\text{:5%Ce}$  appeared to be from  $\text{Eu}^{3+}$  in a more symmetric environment, as the ratio of  $^5\text{D}_0 \rightarrow ^7\text{F}_2 / ^5\text{D}_0 \rightarrow ^7\text{F}_1$  intensities were <1 (0.8), and a higher intensity of the  $^5\text{D}_0 \rightarrow ^7\text{F}_4$  transition was observed.

X-ray luminescence from phosphors involves formation of (a) excitons (electron–hole pairs); (b) their thermalization; (c) transfer of exciton energy to the luminescent center; (d) photon emission following the relaxation of the excited electron on the luminescent center; (e) heat generation. The X-ray excitation leads to the formation of electron–hole pairs with energy greater than or equal to the band gap of the material. The relaxation process proceeds either through a radiative pathway or by heat dissipation.<sup>36</sup> X-ray excited luminescence is influenced by the activator ion,<sup>17,37</sup> interstitial fluorides ions,<sup>38</sup> and the dynamics of the electron–hole pairs in the presence of such impurity centers.<sup>39,40</sup> The UV excited luminescence of cubic and hexagonal  $\text{NaGdF}_4\text{:Eu}^{3+}$  suggests that the efficiencies of the phosphors are similar. If the phonon density of state was an important factor that affected the emission, higher emission intensities from hexagonal nanoparticles would be expected.<sup>41</sup>

It has been suggested that  $\text{Ln}^{3+}$  ions provide an effective mechanism for radiative emission by excitons generated by X-ray irradiation in a fluoride matrix.<sup>37</sup> To constitute an efficient pathway of emission through rare-earth ions, these excitons must be stable and STE emission should be absent.<sup>37</sup> The presence of  $\text{Ln}^{3+}$  ions in a fluoride matrix fulfills these two criteria.<sup>17</sup> For  $\text{ALnF}_4$  structures, cross-luminescence from the valence band to the core band is not a major radiative pathway; the results suggest that visible luminescence is possible through migration of excitons to the doped ion.<sup>42</sup> Therefore, the radiative decay of the conduction band electron through the activator ion in  $\text{NaGdF}_4$  can be expected. The direct relaxation of the STE from the conduction band to the valence band is suppressed by the broadening of the valence band that is formed by the 2p electrons of the fluorine,<sup>38</sup> transferring the holes to the  $\text{Eu}^{3+}$  ions that trap the excitons sequentially. This is followed by a radiative  $f$ – $f$  transition that is promoted by the

broadening of the valence band in hexagonal  $\text{NaGdF}_4$ —broadening of the valence band is expected in hexagonal  $\text{NaGdF}_4$  in comparison to cubic  $\text{NaGdF}_4$  as there are two different sites of fluorine in the unit-cell.<sup>34</sup> It must be noted that the cubic  $\text{NaGdF}_4$  has eight coordinated holes that trap electrons and contribute to nonradiative quenching<sup>23</sup> through reduced migration of the exciton energy to the  $\text{Eu}^{3+}$  ion. In the case of  $\text{BaYF}_5$  nanoparticles, the nonradiative quenching of the excitons by  $\text{LnF}_3$  in  $\text{BaF}_2$  solids is well-documented.<sup>39,43</sup>

Because X-ray luminescence was sensitive to the impurity state, the nature of the nanoparticle surface can also be expected to influence the X-ray luminescence. The pH of the suspending medium can influence surface states on particles and can possibly influence the X-ray luminescence. It is well-known from the quantum dot literature that the local environment affects the exciton emission and can be controlled by introducing quenching centers such as thiols<sup>44</sup> that diminish emission. The  $\text{NaGdF}_4$  particles studied in this work were prepared by a citrate method giving the particles a surface coating of citrate ions. Protonation/deprotonation of the carboxylic acids on the citric acid that occurs between pH 2–7 with  $\text{pK}_a$  values of 3.13, 4.76, and 6.4<sup>45</sup> changes the nature of the surface groups, influencing surface defects. We observed that the X-ray luminescence of hexagonal  $\text{NaGdF}_4\text{:}15\%\text{Eu}^{3+}$  decreased with a corresponding decrease in the pH of the solution (Figure 3) with the intensities of the  $^5\text{D}_0 \rightarrow ^7\text{F}_j$



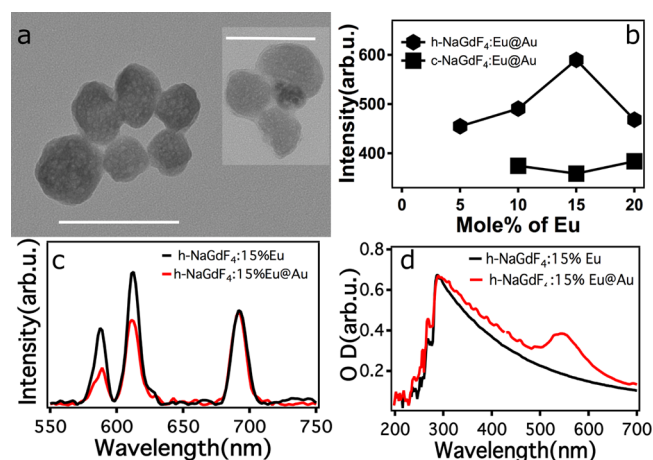
**Figure 3.** Effect of pH on luminescence of hexagonal  $\text{NaGdF}_4\text{:}15\%\text{Eu}^{3+}$  nanoparticles. (a) Under X-ray excitation and (b) UV-excitation (365 nm excitation).

transitions of  $\text{Eu}^{3+}$  showing particular sensitivity to the pH. The modulation of the X-ray luminescence with changing pH was consistent—regardless of the particle concentration (see Supporting Information Figure S2). Furthermore, the  $^5\text{D}_0 \rightarrow ^7\text{F}_2/^5\text{D}_0 \rightarrow ^7\text{F}_1$  ratio remained unchanged (approximately 1.6–1.7) for all the pH values that were studied. On the other hand, UV-excited photoluminescence (excitation at 254 and at 365 nm) did not show a significant change due to variation of the pH. Hence, in combination, the UV and X-ray data rule out the possibility that the effect could be due to the crystal field changes around the  $\text{Eu}^{3+}$  ion. The UV-excited photoluminescence results suggest that the excited 4f electrons within the lanthanide are less sensitive to the environment. Thus, the results strongly suggest that the excitons generated by X-ray excitation are highly sensitive to adsorbed surface groups, defects, and defect-like features (such as broadening of the valence band) in  $\text{NaGdF}_4$  nanoparticles. The pH sensitivity of the emission following X-ray excitation could, in principle, be used as an indicator of the local intracellular environment surrounding the particles, for example, distinguishing between the contrast agent that is present in lysosomes and cytoplasm.

Recently, Chen et al.<sup>12</sup> demonstrated the monitoring of pH through X-ray luminescence based on pH-induced changes in

the concentration of a material that has its optical absorption overlapping the luminescence of  $\text{Gd}_2\text{O}_3\text{:Tb}$  core–shell nanoparticles. In this case, the optically active material was released in a controlled manner following changes in the pH of the solution, increasing the X-ray luminescence. On the other hand, our results showed that the pH of the solution could directly affect the X-ray excited luminescence (and not the UV excited luminescence), presumably through alteration of the electron–hole stability within the nanoparticle.

Gold nanoparticles offer high contrast in X-ray tomography.<sup>46,47</sup> Gold nanoparticles conjugated to near-infrared fluorescent dyes have been shown to be excellent markers for dual-mode imaging that combines X-ray computed tomography and fluorescence. Metals may introduce plasmonic effects. It is known that the emission from lanthanides is affected not just by the lanthanide ion crystal symmetry or size,<sup>33,48,49</sup> surface quenchers,<sup>50,51</sup> enhanced energy migration,<sup>52</sup> and sensitization<sup>53</sup> but also by plasmonic perturbations that can be produced by metals such as silver and gold.<sup>28,54,55</sup> In addition to possible plasmonic enhancement of emission, gold can also provide desirable biocompatibility. Given the high density of gold ( $19.3 \text{ g/cm}^3$ ), we hypothesized that the use of an inorganic scintillator core with a metal shell to increase the stopping power of X-rays, in combination with the possible plasmonic enhancement and biocompatibility, should make a phosphor with a gold shell a good candidate for in vivo imaging. However, little is known about the impact of gold on the X-ray luminescence from lanthanide ions. Previously, we have demonstrated a facile one-pot technique to coat gold on  $\text{NaLnF}_4$  nanoparticles.<sup>28</sup> In this work, we employed the same protocol to coat the gold on  $\text{NaGdF}_4$  nanoparticles. Figure 4a



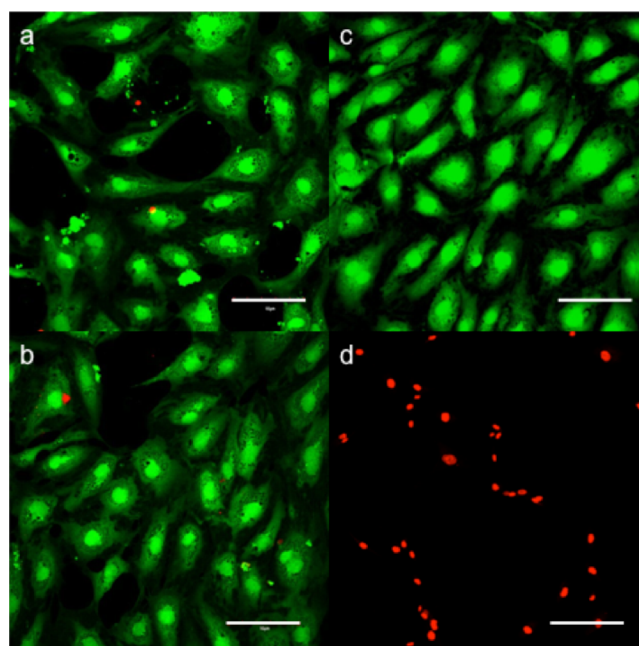
**Figure 4.** (a) TEM micrographs of as-synthesized  $\text{NaGdF}_4\text{:}15\%\text{Eu}^{3+}\text{@Au}$ . (b) X-ray excited luminescence of gold coated hexagonal and cubic  $\text{NaGdF}_4\text{:Eu}^{3+}$  for different Eu concentrations (3 mg/mL). (c) Comparison of X-ray excited luminescence spectra of gold-coated and uncoated hexagonal  $\text{NaGdF}_4\text{:}15\%\text{Eu}^{3+}$ . (d) Absorbance spectrum of gold-coated and uncoated hexagonal  $\text{NaGdF}_4\text{:}15\%\text{Eu}^{3+}$  (1.5 mg/mL). Scale bars correspond to 100 nm.

shows a sample transmission electron microscopy image of the as-prepared gold-coated nanoparticles. In order to understand the effect of gold coating on the X-ray excited luminescence, various doping concentrations of  $\text{Eu}^{3+}$  in both hexagonal and cubic  $\text{NaGdF}_4$  nanoparticles that were coated with gold were studied. The concentration of the particles in suspension was held constant in all cases to within a very small tolerance.

The cubic  $\text{NaGdF}_4\cdot\text{Eu}^{3+}$  did not exhibit any significant dependence on the concentration of  $\text{Eu}^{3+}$  in the gold-coated particles (Figure 4b). For the hexagonal  $\text{NaGdF}_4\cdot\text{Eu}^{3+}$ , the dependence of X-ray luminescence on  $\text{Eu}^{3+}$  concentration was similar to that of uncoated nanoparticles. A  $\text{Eu}^{3+}$  doping concentration of 15% showed the highest luminescent intensity. However, in contrast to the up-converting nanoparticles, where it is known that a gold shell can enhance the up-converted luminescence,<sup>28</sup> the  $\sim 5\text{--}8\text{ nm}$  thick gold shell (Figure 4a) in this case was found to reduce the X-ray excited luminescence. The total luminescence from  $\text{NaGdF}_4\cdot 15\%\text{Eu}^{3+}@\text{Au}$  was 75% of the uncoated material, with  ${}^5\text{D}_0\rightarrow{}^7\text{F}_1$  and  ${}^5\text{D}_0\rightarrow{}^7\text{F}_2$  transitions being 51% and 73% of the uncoated nanoparticle. Surprisingly, the  ${}^5\text{D}_0\rightarrow{}^7\text{F}_4$  emission at around 700 nm wavelength in  $\text{NaGdF}_4\cdot 15\%\text{Eu}^{3+}@\text{Au}$  was fortuitously unaffected (given its relatively low absorbance in tissues) (Figure 4c).

The trend in the X-ray luminescence data can be explained by the taking into consideration the plasmonic absorption of the gold. Figure 4d shows the absorbance spectra of hexagonal  $\text{NaGdF}_4\cdot 15\%\text{Eu}^{3+}$  and  $\text{NaGdF}_4\cdot 15\%\text{Eu}^{3+}@\text{Au}$ . The gold shell had a plasmonic peak around 530 nm. The plasmonic absorption decreased in the 550–700 nm region with increasing wavelength and the observed luminescence intensities from  $\text{NaGdF}_4\cdot 15\%\text{Eu}^{3+}@\text{Au}$  correlated with the plasmonic reabsorption. It should be noted that the experiments were performed in a cuvette with a defined width of 1 cm. It is therefore expected that the plasmonic reabsorption occurred not only from the gold coated on a given nanoparticle but also from the surrounding gold-coated nanoparticles as an inner filter effect.

To further understand the possible applicability of gold-coated and uncoated  $\text{NaGdF}_4\cdot\text{Eu}^{3+}$  nanoparticles for in vitro imaging with human cells, cell viability studies were conducted. Primary human aortic endothelial cells were chosen as typical of endothelial cells that might be exposed to nanoparticles upon injection, as they line the inside of the blood vessels. Calcein AM is a nonfluorescent membrane permeant dye that is hydrolyzed by intracellular acetoxymethyl ester to a fluorescent Calcein conjugate, which then accumulates inside the cell and can be used to indicate membrane integrity and cellular viability (false colored green). Propidium iodide is a membrane impermeant nucleic acid stain that binds the DNA of dead cells and is normally excluded from viable cells (false colored red). A 20 h in vitro comparison of  $\text{NaGdF}_4\cdot 15\%\text{Eu}^{3+}$  and  $\text{NaGdF}_4\cdot 15\%\text{Eu}^{3+}@\text{Au}$  showed that at the 50  $\mu\text{g}/\text{mL}$  concentration there were no visible toxicity or viability changes associated with nanoparticle exposure to the cells, as can be seen by the minimal increase in propidium iodide signal and almost constant cell surface coverage observable through fluorescent Calcein (See Supporting Information Figure S3). At 250  $\mu\text{g}/\text{mL}$ , it was found that the viability decreased for both gold-coated and uncoated particles (Figure 5a)—the gold-coated particles elicited less response (Figure 5b). Image J analysis was performed on previously shown Calcein images, in which total cell count and % surface area coverage were used as a means of quantifying cellular viability (See Supporting Information Figure S4). Using either cell count or % surface area, an analysis showed that gold-coated particles had a slightly less toxic effect on the cells compared to their corresponding noncapped particles. Human aortic endothelial cells exposed to 250  $\mu\text{g}/\text{mL}$   $\text{NaGdF}_4\cdot 15\%\text{Eu}^{3+}$  had 34 viable cells covering 14.9% of the surface area (a) and  $\text{NaGdF}_4\cdot 15\%\text{Eu}^{3+}@\text{Au}$  had



**Figure 5.** Fluorescent microscope images of human endothelial cells exposed to (a) 250  $\mu\text{g}/\text{mL}$  hexagonal  $\text{NaGdF}_4\cdot 15\%\text{Eu}^{3+}$ ; (b) gold-coated hexagonal  $\text{NaGdF}_4\cdot 15\%\text{Eu}^{3+}$ . (c) Calcein/PI control and (d) Calcein/PI Triton control are shown for comparison. The scale bars are 10  $\mu\text{m}$ .

41 viable cells covering 16.8% of the surface area (b) and the Calcein control had 49 viable cells covering 26.6% of the surface area (c). While the Calcein signal decreased, the propidium iodide signal did not increase proportionally, due to the inherently adherent nature of the cells. Following death, the cells ball-up and eventually detach from the surface, becoming freely suspended in the medium and thus out of the plane of imaging. As a result, the propidium iodide stain is not as effective a measure of viability as Calcein; we drew our conclusions from the Calcein images.

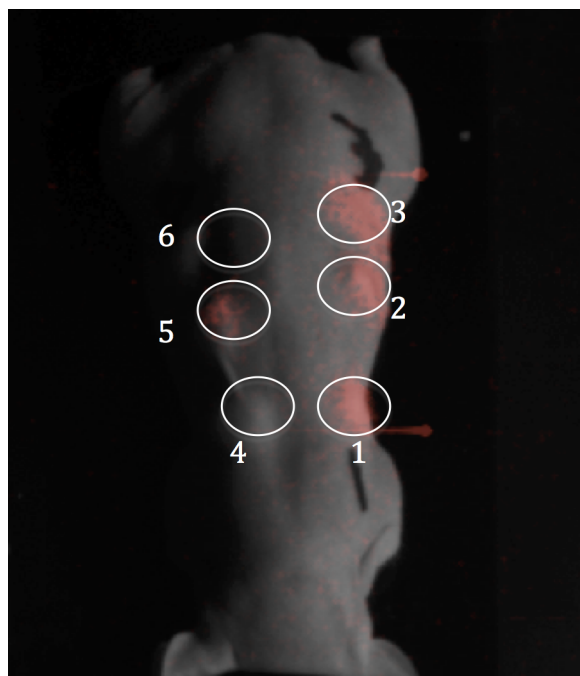
It is not presumed that this assay is conclusive with regard to the relative cytotoxicity of bare vs gold-coated particles. However, for the purpose of this study, this experiment serves as a proof of concept that the addition of a gold coating has the potential to decrease cytotoxicity. A more in-depth cytotoxicity study will include additional types of cytotoxicity assays such as MTT, LDH, and MTS.

The suitability of  $\text{NaGdF}_4\cdot\text{Eu}^{3+}$  particles in X-ray luminescence optical tomography was examined using a mouse model (Figure 6). The luminescence from the  $\text{NaGdF}_4\cdot 15\%\text{Eu}^{3+}$  nanoparticles was bright in comparison to the  $\text{BaYF}_5\cdot 10\%\text{Eu}^{3+}$  that has been used in the past for in vivo X-ray luminescence.<sup>18</sup> The gold-coated phosphor also showed excellent brightness due to reduced interparticle absorption. Our results show that hexagonal  $\text{NaGdF}_4\cdot\text{Eu}^{3+}$  nanoparticles are excellent candidates for applications that utilize X-ray activated luminescence and could have a future role for in vivo molecular imaging studies.

## CONCLUSIONS

X-ray excited luminescence and photoluminescence of hexagonal and cubic  $\text{NaGdF}_4\cdot\text{Eu}^{3+}$  nanophosphors in aqueous medium were studied. X-ray excited luminescence from the hexagonal phase was twice as strong as that from the cubic phase. Surprisingly, the emission intensity was found to be pH





**Figure 6.** X-ray luminescence imaging of (1) hexagonal  $\text{NaGdF}_4\text{:}15\% \text{Eu}^{3+}$ ; (2)  $\text{NaGdF}_4\text{:}15\% \text{Eu}^{3+}@\text{Au}$ ; (3) hexagonal  $\text{NaGdF}_4\text{:}15\% \text{Eu}^{3+}$ ; (4)  $\text{BaYF}_5\text{:}10\% \text{Eu}^{3+}\text{:}5\% \text{Ce}^{3+}$ ; (5)  $\text{BaYF}_5\text{:}10\% \text{Eu}^{3+}$ ; (6) saline control with no particles. The luminescence image was overlaid on a white light image of the mouse. The final concentration of the nanoparticle solution in the matrigel solution was 15 mg/mL. The exposure time for X-ray luminescence imaging was 30 s.

dependent. The UV-excited photoluminescence intensities, on the other hand, from these two phases were identical, indicating that the radiative emission of electron–hole pairs generated by X-ray excitation is influenced by the crystal structure and the local environment. Coating of the particles with a gold shell affected the overall emission of light but the most important NIR line near 700 nm was fortunately not affected, preserving the usefulness of the gold-coated particles for XLOT while improving their biocompatibility. Hexagonal  $\text{NaGdF}_4\text{:Eu}^{3+}$  nanoparticles with an optimal doping concentration of lanthanide ions are an excellent choice for X-ray luminescence optical imaging.

## ■ ASSOCIATED CONTENT

### Supporting Information

Additional figures as mentioned in the text, characterization (X-ray, DLS, TEM), luminescence, absorbance spectra and schematic of experiments. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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### Notes

The authors declare no competing financial interest.

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